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Numerical Investigation of Laboratory Tested Cross-Flow Tidal Turbines and Reynolds Number Scaling

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Abstract

The cross-flow, or vertical axis tidal turbine, is a prominent configuration of marine renewable energy device aimed at converting tidal currents into electrical energy. This paper highlights the hydrodynamic limitations of laboratory testing such devices and uses numerical simulation to explore the effect of device scaling. Using a 2D Reynolds-Averaged Navier-Stokes (RANS) numerical approach, a single turbine blade is initially modelled and validated against published data. The resultant numerical model is then expanded to emulate an experimental cross-flow tidal turbine designed and tested by the University of Oxford. The simulated turbine achieves a close quantitative match for coefficients of power, torque and thrust, forming the basis of a study exploring the effects of Reynolds number scaling in three alternative operating conditions. It is discovered that the coefficient of power (C_p) increases with $\overline{Re}$ without a ubiquitous correlation until an $\overline{Re}$ of $\sim 350,000$. Above this $\overline{Re}$ the C_p values for all three operation conditions become both proportional and predictable. The study represents a significant contribution to understanding the application of detailed numerical modelling techniques to cross-flow tidal turbines. The findings, with regard to scaling from laboratory data, could reduce uncertainty and development costs for new and existing devices.

Keywords: Cross-flow; Low Reynolds number; Numerical; RANS; Scaling; Tidal turbine

29 1. Introduction 30

31 The global requirement for clean, economically attractive energy has inspired many
32 innovative tidal energy devices. One such variant, the cross-flow configuration, is explored in
33 this study. This format of device has received growing interest from both academia and
34 industry alike, with leading examples including the University of Oxford ‘THAWT’ device
35 (now Kepler Energy) [1], and Italian developer Ponti di Archimede International’s ‘Kobold’
36 turbine [2]. Specifically, the device investigated here is a fixed pitch transverse turbine,
37 designed and experimentally tested by the University of Oxford at laboratory scale. This type
38 of experimental test is typical in the development of any tidal device in order to confirm
39 theoretical and numerical predictions of its proposed hydrodynamic performance. However,
40 downscaling is a complex issue, with reduced turbine performance a common issue due to a
41 number of hydrodynamic effects.

42 It is well documented that lifting surface performance, namely its lift and drag
43 characteristics, significantly declines at low blade chord Reynolds numbers (Re). In addition,
44 the flow behaviour around many standard foils below a Reynolds number of $\sim 10^5$ becomes
45 rapidly unstable due to a transitional boundary layer. These two factors contribute to the
46 uncertainty of performance scaling particularly in the case of the cross-flow turbine where
47 upstream and downstream blade performance is inherently coupled. Based on this premise,
48 the research presented identifies and explores a number of hydrodynamic limitations of
49 laboratory scale testing of cross-flow tidal turbines. A review of articles on the topic of low
50 Re conditions, both experimental and numerical, is presented and used to inform the
51 numerical strategy of the research.

52 Using a defined numerical methodology, a mesh sensitivity study is completed for an
53 isolated blade profile in order to assess and maximise the quantitative comparability at lab
54 scale Reynolds numbers. The resulting numerical environment is modified to encompass a 2-
55 dimensional version of the full experimental turbine which is tested at a number of tip speed
56 ratios for validation against experimental data. Finally, the model is used to explore torque,

power and thrust outputs for the turbine at increasing diameters up to full scale. Results are plotted against a mean Reynolds number, the intentionally isolated variable, to explore its effects on performance.

1.1 Turbine basics

The concept of the cross-flow device originates from Darrieus' 1931 patent for a wind turbine [3], the theory of which remains applicable to tidal turbines today. Fig. 1 depicts a cross-section of a three bladed cross-flow turbine where the circular line is the blade's flight path around rotational axis z . The direction of rotation is anti-clockwise at angular velocity ω , at radius r , the multiplication of which results in tangential velocity U_t . Components of the oncoming free-stream velocity vector U_∞ and U_t are summed to give local velocity U , as shown in (1), with the average value for one revolution $\bar{U}$ given in (2). Assuming zero losses at the downstream side of the turbine (omitting wake and induction factor losses), α can be calculated using (3), where θ is the azimuth position of the blade as shown in Fig. 1. A key relationship in turbine design, linking free-stream and rotation velocities, is the tip speed ratio (TSR) or λ , as calculated by (4). This relationship is shown in Fig. 2, where α is plotted with increasing θ for λ values of 2, 3 and 4. Operation of the turbine results from the vector sum of the lift L and drag D supplying positive torque to the rotating system.

$$U = \sqrt{(U_\infty \sin \theta)^2 + (U_\infty \cos \theta + U_t)^2} = \frac{U_\infty \sin \theta}{\sin \alpha} \quad (1)$$

where U_∞ is a function of depth (h)

$$\bar{U} = \frac{1}{2\pi} \int_0^{2\pi} U d\theta \quad (2)$$

$$\alpha = \tan^{-1} \frac{U_\infty \sin \theta}{U_\infty \cos \theta + U_t} \equiv \tan^{-1} \frac{\sin \theta}{\cos \theta + \lambda} \quad (3)$$

$$TSR = \lambda = \frac{U_t}{U_\infty} \quad (4)$$

$$Re = \frac{\rho U c}{\mu} \quad (5)$$

$$\overline{Re} = \frac{\rho \overline{U} c}{\mu} \quad (6)$$

77

78 Evaluating Fig. 2, it is shown that as λ is increased, peak α is decreased and vice versa. The
 79 result is that a turbine blade experiences a fluctuating velocity U as a function of the boundary
 80 condition U_∞ , operating condition λ , and instantaneous position θ . Local velocity U
 81 determines the blade chord Reynolds number Re , as calculated by (5); where ρ is fluid
 82 density, μ is dynamic viscosity and c is blade chord length. Re is a non-dimensional value
 83 representing the relative contributions of inertial and viscous forces acting on the blade, the
 84 result of which determines its lift and drag curves, as discussed in section 2. With both
 85 absolute and relative values of lift and drag being the primary factors in total turbine
 86 performance, Reynolds number provides a suitable factor against which tidal turbines can be
 87 characterised, with Froude number (Fr) becoming increasingly important for high blockage,
 88 near-surface or surface piecing devices [4]. With both Reynolds and Froude numbers being
 89 impossible to satisfy simultaneously over large changes in scale [5], Reynolds number has
 90 been chosen for investigation in the current study.

91 As Re is an instantaneous value, a mean value for one revolution of the turbine, $\overline{Re}$, is
 92 used in this research as the independent variable against which turbine performance is
 93 equated. The calculation of $\overline{Re}$, given in (6), is not corrected for streamwise induction losses
 94 due to the uncertainty of its application for high level resolution models as used in this
 95 research. For example, an induction factor loss applied uniformly across the rotor does not
 96 account for varying performance of the blades throughout the upstream rotation and hence
 97 would not result in reliable velocity corrections for the downstream positions. Additionally,
 98 an attempt to establish a value for U_∞ for any given downstream location would require a
 99 specified position upstream of the blade to be identified. With the flow velocity subject to

high gradients both spatially and temporally, selection of a position too close to the blade and the velocity may already be affected by its wake. Conversely, selection of a position too far from the blade and the velocity is likely to be unrepresentative of the actual flow the blade experiences. A robust approach for highly resolved numerical models is needed if a correction is to be of value, an issue which is currently the subject of ongoing research.

1.2 Experiment Summary

The benchmark for the numerical model is a laboratory test of a cross-flow fixed-pitch tidal turbine conducted at Newcastle University in the combined wind, wave and current tank. The experiment, a preliminary stage assessment of a larger research initiative by the University of Oxford named THAWT (Transverse Horizontal Axis Water Turbine), tested a straight bladed transverse turbine over a range of TSRs. An image of the experimental setup is shown in Fig. 3.

Key features of the experimental test include;

- A three-bladed cross-flow rotor
- Aluminium disk end plates
- Belt driven power take-off coupled to a torque sensor and motor/brake
- Load cell located in a blade to directly measure radially acting force
- A NACA 0018 blade profile, circumferentially mapped such that the chord line falls on the arc of rotation of the blade
- Inclusion of a constriction to allow for the belt drive and instrumentation to be isolated from the flow

A summary of the geometric attributes of the experiment are given in Table 1. For full details of the experimental setup, calibration and error bounds, reference should be made to

publications by McAdam [6-8]; It should be noted that the publications present testing from the THAWT rotor, however, the testing equipment and method are identical to the straight-bladed variant presented in this paper.

Parameter	Symbol	Unit	Value
Flume width	b_c	m	1.8
Constriction width	b_T	m	1.61
Flume depth	h	m	1.0
Height of rotor axis above flume base	h_r	m	0.425
Rotor radius	r	m	0.50
Blade length	L_b	m	1.528
Chord length	c	mm	65.45

Table 1. Summary of experimental flume and turbine geometry

Preparation for the experimental test included using an ADCP (Acoustic Doppler Current Profiler) to analyse the current flow at a number of pump power ratings. The profile itself, given in Fig. 4, shows a high level of shear in the flow ranging from 0.363 m/s at the lower boundary of the turbine to 0.275 m/s at the higher, a difference of 25%. Full turbine numerical models of the experiment include an inlet with flow velocities that are interpolated from the original ADV data, further details are given in section 4.1. Turbulence intensity in the experimental flume immediately upstream of the rotor was not available, but was estimated to be $\approx 1\%$ (from personal correspondence with McAdam [6-8]).

2. Laboratory scale effects

At a nominal TSR of 3, the experimental test has an approximate Re range of 35,000 – 80,000, with the lower and higher boundaries representing rotation away from, and towards, the incoming free stream flow respectively. This Re range is considered low for an aerofoil, causing a highly transitional boundary layer, laminar separation, and often the formation of a

149 laminar separation bubble [9, 10]. The result is an overall poor performance in terms of lift
 150 and drag coefficients; Fig. 5 illustrates this by comparing experimental lift and drag
 151 coefficients at three progressively increasing Re for an infinite (or 2D) 0018 NACA profile
 152 blade [11, 12]. Examining Fig. 5, lift coefficient is seen to increase with Re , and stall is
 153 delayed until higher angles of attack. Similarly, the drag coefficient is higher for low Re
 154 cases, decreasing and extending to higher α with increasing Re . A combination of these
 155 properties results in a poorer lift to drag ratio. This issue is illustrated by McMasters [13]
 156 where an Re value of approximately 10^5 is identified as an average transition point for many
 157 aerofoils from a mixed boundary layer (subcritical) to one that is fully turbulent
 158 (supercritical). The boundary layer in the subcritical range, where the University of Oxford
 159 laboratory test falls, is explored experimentally by Yarusevych [14] at an Re range of 55,000
 160 – 210,000 at 0, 5 and 10 degrees α . Testing with a NACA 0025, two types of boundary layer
 161 are observed; at Re values below 135,000 separations without reattachment occur, for values
 162 above, the turbulence generated in the shear layer is sufficient to promote reattachment
 163 forming a separation bubble. A variant of vortex shedding is also observed throughout the
 164 range tested, a phenomenon specific to low Re conditions that is attributed to Kelvin-
 165 Helmholtz and Tollmien-Schlichting instabilities [15, 16]. Depending on Re , these factors
 166 invariably contribute to the reduction in performance previously identified. However, the
 167 situation becomes further complicated by the effect of free stream turbulence, an issue
 168 experimentally studied by Devinant [17] for aerofoils in Re flows of 100,000 to 700,000. A
 169 superior lift and drag performance is observed as turbulence is increased due to delay of
 170 boundary separation. This behaviour is achieved numerically using Large Eddy Simulation
 171 (LES) by Kim et al. [18]. In a similar manner the surface roughness of the aerofoil can also
 172 influence the lift and drag by increasing boundary layer turbulence and thus increasing lift in
 173 subcritical flow conditions [13, 19].

174 Due to the increased flow complexity at low Re , many studies have been conducted
 175 to assess and improve the suitability of common numerical methods. The most robust of these

176 is Direct Numerical Simulation (DNS) such as that conducted by Shan et al. [20] and Alam
 177 and Sandham [21], however, the mesh densities and timestepping resolution required exclude
 178 this method from practical engineering studies [22]. Large Eddy Simulation (LES) is a less
 179 computationally expensive method and has been used by Uranga et al. [23] and Catalano &
 180 Tognaccin [24], amongst others, to successfully predict pressure and friction distributions as
 181 well as vortex instabilities. However, evidence of a superior performance over RANS
 182 methods is not explicitly established, particularly for values of lift and drag coefficient, as
 183 demonstrated by Yuan [25]. While RANS cannot offer the resolution of the previous
 184 methods, the reduced computational effort makes it the most feasible for current engineering
 185 activities. A number of publications consider various turbulence models and their suitability
 186 to capture both transition and/or lift and drag values. In particular, Windte et al. [26] and Tang
 187 [27] both attempt solutions for the SD7003, a low- Re aerofoil, finding the Menter-baseline
 188 (BSL) and the Spalart-Allmaras (S-A) models superior respectively. Rumsey and Spalart [28]
 189 compare the S-A model with the Shear Stress Transport (SST) models for a NACA 0012 for
 190 $Re = 100,000$. Both models are shown to perform similarly, displaying varying uncertainty
 191 with regard to transition onset.

192 With the SST model proving to be robust at higher Re , as shown by Eleni et al. [29]
 193 and Menter [30], adaptations to account for transition have been attempted. A prominent
 194 example for general-purpose applications is the SST $\gamma - Re\theta$ transition model developed by
 195 Menter et al. [31]. The model adds an intermittency term, γ , and transition momentum
 196 thickness Reynolds number, $Re\theta$, to the transport equations of the SST model. The model has
 197 been empirically calibrated through experimental comparison and integrated into ANSYS
 198 CFX software as described in a paper by Menter et al. [32]. The results of validation studies
 199 by Counsil and Boulama [33] and Langtry et al. [31] show that a significant improvement is
 200 achieved over the SST in terms surface friction, and to a lesser extent the pressure distribution
 201 (due to good baseline performance). Furthermore, the computation of a T106 turbine blade at

202 $Re \approx 91,000$ by Langtry et al. [31] compares steady and unsteady application of the SST $\gamma -$
203 $Re\theta$ model, finding little variance between the two for pressure distribution.

204 Predictably, the more computationally intensive numerical methods, such as LES and
205 DNS, provide increased capabilities, particularly the ability to capture the transitional
206 boundary layers and a greater range of turbulent length scale associated with low Re
207 conditions. However, provided that heavy stall is avoided, RANS models can deliver an
208 accurate prediction of lift and drag forces comparable with the higher resolution models. This
209 conclusion led to the selection of a RANS methodology, with test cases being built to
210 compare the SST and SST $\gamma - Re\theta$ turbulence model options. It was found that the $\gamma - Re\theta$
211 model was particularly sensitive to y^+ and did not converge well close to stall, therefore it
212 was discounted and the standard SST model was chosen as the turbulence model for all
213 further computational modelling.

215 3. Isolated Blade

216
217 The assessment of the individual blade involves the computation of a single aerofoil at angles
218 of attack from 0-25 degrees at a flow speed such that the blade achieves a Reynolds number
219 of 80,000. The study uses symmetrical NACA 0018 blades and a uniform inlet condition to
220 aid validation of the lift and drag components against published data. The resultant numerical
221 and meshing parameters are applied to the cambered blades used in the full turbine model
222 presented in Section 4. In this study the uniform flow testing serves purely as a mesh
223 optimisation exercise, however, research has shown that conformal mapping can be used to
224 predict forces on cambered blades in rotational flow by modelling an equivalent profile in
225 uniform flow [34, 35]. The numerical domain is similar to that used by Wang [36], where a
226 rectangular far-field domain (*Fixed Domain*) with circular sub-domain (*Blade Domain*) is
227 employed, see Fig. 6. The two domains are linked via a sliding mesh that uses a General Grid
228 Interface (GGI) to mathematically resolve the fluxes across the interface [37]. This

arrangement allows the *Blade Domain* to pitch the aerofoil without re-meshing and provides a region for high grid refinement.

231

232 **3.1. Geometry and Boundaries**

233

234 Dimensionally, the computational domain is sufficiently large to negate blockage errors with
235 the $\frac{1}{4}$ chord point of the aerofoil (shown in Fig. 6) located at the centroid of both domains.

236 The boundary conditions are as follows:

237 *Inlet* – A uniform flow is specified, calculated by rearrangement of the Reynolds number for
238 velocity U , see equation (4). Turbulence at the inlet was set by specifying an intensity I value
239 of 1% (see section 1.2). This is converted into values of turbulence kinetic energy k ,
240 turbulence eddy frequency ω and turbulence dissipation ε , in the ANSYS solver using
241 equations (7-10), where μ^t is turbulence viscosity and $C_\mu = 0.09$, a non-dimensional
242 constant.

243

$$k = \frac{3}{2} U_\infty^2 I^2 \quad (7)$$

$$\frac{\mu^t}{\mu} = 1 \quad (8)$$

$$\omega = \rho \frac{k}{\mu^t} \quad (9)$$

$$\varepsilon = C_\mu \rho \frac{k^2}{\mu^t} \quad (10)$$

244

245 *Outlet* – This is set as an ‘opening’ with a relative static pressure of zero; $P_{rel} = 0$.

246 *Top and bottom* – The sides assigned as ‘free-slip’ boundaries, shown in Fig. 6, allow the
247 fluid velocity component parallel to the wall to remain computed, while velocity normal to
248 the wall and the wall shear stress are set to zero; $U_y = 0, \tau_{wall} = 0$.

249 *Periodic faces* – All boundaries in the x-y plane are set as symmetry planes; where normal
250 velocities and advection gradients are set to zero.

251 *Blade surfaces* – These surfaces are set to ‘no-slip’, where pressure is set to zero gradient and
252 velocities are set to zero; $U_x = U_y = 0$.

253

254 **3.2. Meshing**

255

256 Alongside the turbulence model selection, meshing strategy is a key means of extracting the
257 best possible outcome from the numerical model. The *Fixed Domain* contains a structured
258 hexahedral mesh that only deforms at the interface with the *Blade Domain*. The interface was
259 divided into 360 cells at both sides allowing for 1:1 cell alignment when the *Blade Domain* is
260 positioned at 1 degree increments. The *Blade Domain*, shown in Fig. 7, is a mixed mesh
261 consisting of a body fitted hexahedral mesh at the blade surface, with the remaining domain
262 filled with wedges. Convergence studies were performed on mesh expansion ratio of the
263 wedges (beyond the body fitted region) and the number of streamwise cells on the blade
264 surface. The result was a low sensitivity to expansion ratio provided that the boundary layer
265 meshing is sufficient, and that streamwise cells below the recommended aspect ratio of 100/1
266 (width to height) showed little sensitivity provided the ratio wasn’t exceeded. The final values
267 of 200 streamwise cells for the upper and lower blade surface, and a wedge expansion ratio of
268 1.1, were used. This leaves the boundary layer meshing itself as the focus of the testing. In
269 order to capture the desired accuracy of the flow at the boundary layer, as discussed in the
270 background, the meshing is tested for maximum y^+ (or y_{Plus}) values between 1 and 30, see
271 equation (11), where τ_ω is shear stress, y_1 is first layer height, and ν is kinematic viscosity.

272

$$y^+ = \frac{\sqrt{\frac{\tau_\omega}{\rho}} \times y_1}{\nu} \quad (11)$$

273

274 3.3. Solver control

275

276 All models were solved using ANSYS CFX 14.0 software (under an academic license), a
277 general purpose Navier-Stokes code. Using a steady state RANS method with a $k-\omega$ SST
278 turbulence model, the solutions were completed to a residual target of 10^{-5} for mass and
279 momentum terms.

280

281 3.4. Results & Discussion

282

283 Coefficients of lift C_L and drag C_D , given in equations (12-13), generated by the numerical
284 models are compared in Fig. 8 alongside the result of an XFOIL V6.99 panel code simulation
285 developed by Drela [38] and experimental data extracted from Jacobs and Sherman [11]. To
286 correspond with the experimental turbine and numerical tests, the XFOIL solutions were
287 computed with a free stream turbulence intensity of 1% ($N_{crit} = 2.6224$). The experimental
288 values from Jacobs and Sherman are corrected by the authors to profile values (infinite aspect
289 ratio), with turbulence estimated to be around 0.5% - 1% for the wind tunnel used [39].

290

$$C_L = \frac{L}{\frac{1}{2}\rho U^2 c} \quad (12)$$

$$C_D = \frac{D}{\frac{1}{2}\rho U^2 c} \quad (13)$$

291

292 The experimental C_L values are closely matched by all computed y^+ (written yPlus on Fig 8.)
293 solutions up to the onset of stall at an α of 11° , with a maximum error of $\approx 5\%$. The stall point
294 is delayed by the numerical models by $+1^\circ$ to 2° similar to the XFOIL result. Post-stall the
295 SST model predicts a fluctuating lift force, as would be experienced experimentally, with flat
296 line convergence being unachievable. These fluctuations differ with y^+ with the lowest and
297 highest values, 1 and 30 respectively, displaying the most extreme forces. In terms of drag

coefficient, the correlation is very similar, with pre-stall displaying high accuracy and stall being shifted up the same margin as the lift coefficient. Considering the effect of y^+ on the results more closely, divergence is seen as α increases for all pre-stall angles of attack. Additionally, as y^+ increases, C_L is increasingly over-predicted near to stall while conversely, C_D is progressively under-predicted. At a y^+ of 30 the solution is beginning to diverge from the experimental values with the logarithmic wall model taking a greater part in estimating the near wall flow. In the post-stall region the highly unstable result at a y^+ of 1 is due to the model attempting to resolve the viscous sublayer in full, leading to greater pressure fluctuations at the surface of the blade. Conversely, the highest y^+ is excessively coarse, causing large turbulent structures to form and an unrealistically large C_L to be predicted. Mid-range y^+ values offer high accuracy when predicting C_L and C_D at low α and a more stable solution in post-stall conditions, therefore a y^+ of 10 was chosen for all full turbine model simulations.

While the study gave guidance in terms of maximising the accuracy of forces at achievable angles of attack, it is expected that this range would be extended in the final model due to the effects of dynamic stall. The phenomenon is reported by Wang [36], who finds that the SST model is able to capture the delayed stall of an aerofoil in similar low Re conditions to the current study. In addition, the SST model is known to improve in accuracy with increasing Re , this was confirmed by additional numerical models built to the same constraints as those presented here.

4. Full Turbine Model

A fully transient turbine model was developed and initially solved for TSRs between 2 and 5 for comparison with the University of Oxford straight bladed turbine experimental values. To investigate scale, further solutions are generated at a TSR of 3 for turbines up to a 10 metre diameter. In order to test the robustness of possible scaling trends, additional solutions are run

for a TSR of 4, and for a uniform velocity profile.. Table 2 details the numerical tests conducted, where the velocity profile is split into experimental (Exp.) or uniform flows, and $\overline{U_C}$ and $\overline{U_R}$ are mean velocities for the full channel depth and across the rotor respectively (see Fig. 4.)

Test ID	Velocity Profile	$\overline{U_C}$ (m/s)	$\overline{U_R}$ (m/s)	λ	D (m)	$\overline{Re}$
1	Exp.	0.333	0.3698	2	0.5	45,250
2				2.5		55,333
3				3		65,605
4				3.5		75,984
5				4		86,428
6				4.5		96,915
7				5		107,433
8				3	1	131,210
9					2.5	328,026
10					5	656,052
11				4	10	1,312,104
12					1	172,856
13					2.5	432,139
14					5	864,277
15					10	1,728,555
16	Uniform	0.333	0.333	3	0.5	59,112
17					1	118,224
18					2.5	295,560
19					5	591,120
20					10	1,182,241

Table 2. Full turbine numerical modelling test scheme

Using a similar multi-domain approach to the isolated blade tests, the model consists of 3 blade domains, a rotating domain, and an outer fixed domain, as shown in Fig. 9. The geometry represents a centre section through the xy plane of the experiment (see Fig. 6), with turbine dimensions being identical and numerical flume height being equal to water depth.

4.1. Numerical Setup

340 The numerical setup is based on the environment developed in the isolated blade testing in
341 terms of boundaries, governing equations, solver convergence and meshing, with grid sizes
342 ranging from 150,000 to 300,000 nodes. However, the simulation is now transient (unsteady
343 RANS) with solutions running until a quasi-steady result was observed, i.e. varying with
344 equal magnitude with each revolution. The result was considered to be converged when the
345 average torque for 1 revolution deviated from the previous revolution by $<1\%$, this took
346 between 5 and 6 revolutions. Due to the implicit solution method of the software, stable
347 convergence can be achieved at large timestep values. Therefore, timestep size was defined as
348 the period of 0.5° of turbine rotation θ , equating to courant numbers below 100 for all cases.

349 To convert the 3D experimental case into 2D, a number of assumptions were
350 required. In particular, the effect of the experimental channel constriction is simplified into a
351 velocity increase proportional to the decrease in area of the flume. Figure 10 illustrates this
352 issue, where L_b is turbine blade length, b_T is test width, and b_C is channel width. Assuming
353 water depth change is negligible through the constriction, conservation of momentum dictates
354 that the velocity must increase equal to the ratio of area lost, i.e. b_C/b_T or 1.8/1.61. In the
355 experimental case the rotor region (hashed area on Fig. 10) is aligned centrally within the
356 constriction; note that the narrowing and then widening of the constriction occurs inside of
357 the rotor's upstream and downstream extremities. The position of these constriction changes,
358 and hence velocity, are problematic for the 2D model, therefore it is assumed that the whole
359 turbine is subject to the velocity increase and that TSR is maintained for the upstream half of
360 the rotor, i.e. rotational velocity is calculated from the increased mean inlet velocity. The final
361 inlet of the numerical tank took the form of a depth based interpolation of the original
362 velocity profile (see Fig. 4) multiplied by the area ratio 1.8/1.61. It was confirmed that the
363 numerical model succeeded in propagating the velocity profile from the inlet to the rotor with
364 minimal change.

365 Having already made the assumption that depth change is negligible, the model also
366 excludes a free surface, instead using a 'free slip' condition at the upper boundary. These

simplifications have been previously shown to have little effect on the numerical result for overall turbine torque, see [40].

4.2 Results & Discussion

The experimental data was collected by gradual ramping of the turbine rotation from zero up to a TSR of 5 and back to zero during which torque and force sensors recorded the turbine's responses. Due to the cyclic delivery of the torque, the collected data was smoothed using resampling (see McAdam [6]), from which values of power coefficient C_P , torque C_Q and thrust C_T were calculated. The ramping experimental methodology produced a slight variation in the results between the rising and falling data due to the reaction time of the motor/brake; therefore an average of the two has been taken to produce the final values. A similar mean is calculated for torque Q and thrust T from the numerical result by averaging each value over a single 360° rotation of the turbine, where power $P = Q\lambda$, and thrust is the force equal and opposite to the streamwise drag of the entire rotor. Simulations were computed on the University of Bath 'Aquila' high performance computer taking an average of 48 hours on 4 processors to complete. All values of C_P , C_Q and C_T are based upon the available kinetic energy within the limits of the rotor (see Fig. 4), using equations (14), (15) and (16) respectively, where A is the swept area of the rotor seen by the flow, and U_r is the mean flow velocity within rotor area A .

$$C_P = \frac{P}{\frac{1}{2}\rho A U_r^3} \quad 14$$

$$C_Q = \frac{C_P}{\lambda} \quad 15$$

$$C_T = \frac{T}{\frac{1}{2}\rho A U_r^2} \quad 16$$

389 4.2 .1 Lab Scale

390

391 All three parameters given in equations (14-16) are plotted in Fig. 11 for experimental and
392 numerical methods. Comparing the two results for C_P shown in Fig. 11 (a), it is clear that the
393 numerical model achieves high correlation with the experiment. At close inspection the
394 numerical result slightly under predicts C_P below a TSR of 3, changing to over prediction by a
395 maximum of $\approx 10\%$ at a TSR of 4. Qualitatively the numerical result matches the experimental
396 values, showing a rising value of C_P up to a TSR of 4, before losing efficiency and falling as
397 TSR rises to 5. Identical trends for both torque coefficient plotted in Fig. 11 (b), and thrust
398 coefficient in Fig. 11 (c), where the crossing points between numerical and experimental
399 values also fall at a TSR of 3, with peak torque falling at the lower TSR of ~ 3.6 as would be
400 expected.

401 The quantitative error of the numerical model can be attributed to a number of
402 limitations. At low TSR the reduced accuracy and marginal under-prediction of forces of the
403 SST model at post-stall angles of attack, as shown in Fig. 8, would explain the lower than
404 expected values. Above a TSR of 3, the over prediction is more significantly influenced by
405 the required simplification of the 3D constriction of the flume into a 2D model. To achieve
406 this the correction requires an increased angular velocity employed in the numerical model to
407 maintain TSR with the corrected inlet velocity, as detailed in section 4.1, and therefore may
408 result in the over prediction of turbine performance.

409 Despite the limitations imposed by the low Re conditions, the simplified numerical
410 model has accurately predicted trends and quantitative values within a peak error of $\pm 10\%$ for
411 all coefficients. It is worth noting that all numerical results fall into the extremities of the
412 experimental raw data (example shown in McAdam [6]), with the experiment itself being
413 subject to range of instrumentation and experimental error tolerances.

414 To explore the accuracy of the simulation further, Fig. 12 shows the coefficient of
415 distributed normal load C_N , given in equation (17), for experimental and numerical results for

TSRs of 2, 3 and 4; where N is the distributed normal load. For clarity, the load given is acting radially, where positive values are acting away from the turbine axis (see [7]).

$$C_N = \frac{N}{\frac{1}{2}\rho c U_r^2} \quad 17$$

Considering the slowest spinning turbine case, at a TSR of 2, Fig. 12 (a) shows that the numerical simulation achieves broad correlation with experiment, but with diverging force oscillations visible in the 180-360 degree region. Referring to Fig. 1, at rotation angles (θ) below 180 degrees the blades are upstream, and above 180 degrees they are downstream. In the downstream region, due to the low TSR and velocity shadow induced by the upstream wake, the blades experience the lowest blade chord Reynolds numbers modelled in this research, resulting in heavy stall of the downstream blades. In such conditions the unsteady RANS method is unable to accurately resolve the flow shear around the blades resulting in a poor match in this region.

At a TSR of 3, Fig. 12 (b) shows an improved correlation with the experimental readings compared to Fig. 12 (a). The positives include a qualitatively high match, with almost all of the peaks and troughs captured by the numerical model. In particular, the downstream values suggest that the generation and advection of shear flows is taking place with consummate accuracy. The origins of the load force fluctuations are highlighted in Fig. 13 which presents a contour plot of the flow field velocities for the same numerical result. The velocities have been limited to values from 0.125 to 0.625 in order to visually capture the advection of velocity fluctuations generated by the upstream blade wake. By comparing Fig. 12 (b) and Fig. 13 it is possible to correlate the fluctuations in force between θ positions of 170° and 250° to the dynamic vortex shedding shown in the contour plot. Similarly, the wake fluctuations passing the downstream blade between the 270° and 350° positions are also visible in both the force prediction and the contour plot. Quantitatively the zero degree value and the downstream values are below expected. Causes include possible free surface effects

442 for values close to zero degrees and the inability of the 2D model to capture the effect of the
443 diverging flume side walls as shown in Fig. 10.

444 Increasing the speed of the turbine to a TSR of 4, Fig. 12 (c) shows similar attributes
445 to those in Fig. 12 (b). The upstream quantitative values are particularly well matched with
446 the extreme loading predicted within 5% of the experimental value. Downstream the result
447 diverges more significantly from experimental values and appears as a smoother line.

448 The reduced forces numerically predicted at the downstream positions for TSRs of 3
449 and 4 suggest that there is unexpected loss in flow velocity between upstream and
450 downstream locations. Along with the issues raised already in the discussion, this discrepancy
451 may also be a symptom of a higher free stream turbulence than was estimated for the
452 experiment, causing faster wake recovery. Additionally, the influence of the velocity
453 correction to account for the constriction may result in an increased blade efficiency at the
454 upstream position and hence result in a lower flow speed downstream. It should be noted that
455 the experimental plot is an instantaneous result, demonstrated by the 0° and 360° differing in
456 Fig. 12 (a-c), and therefore is subject to variances which may not reflect the exact average of
457 the force acting on the turbine blade.

458

459 **4.2.2 Turbine Scaling**

460

461 To explore the effect of Reynolds number scaling on turbine performance a series of
462 simulations were performed at turbine diameters of 0.5m, 1m, 2.5m, 5m and 10m, with 0.5m
463 being the lab scale model. Each test includes a velocity profile equivalent to the lab scale inlet
464 that has stretched depth-wise such that the overall resolved flow velocities and directions
465 experienced by the blade are equal at all scales. The study includes three sets of results (S1,
466 S2 and S3), referring to Table 2, S1 comprises of tests 3, 8-11, S2 from 5, 12-15, and S3 from
467 tests 16-20. The three sets represent three alternative turbine operating conditions, TSR 3 and
468 TSR 4 in the experimental velocity profile, and TSR 3 in uniform flow conditions.

469 The results for the scaling tests are shown in Fig. 14, where all results are plotted
 470 against $\overline{Re}$. Starting with the Coefficient of power in Fig. 14 (a), the three scaling tests are
 471 plotted with each marker representing a result at each increment of geometric scaling; the
 472 result for test set S1 is labelled as an example. A number of significant findings can be
 473 observed, firstly, the power coefficient increases significantly from low $\overline{Re}$, lab scale
 474 conditions, up to the full scale equivalent. For example, S1 increases by over 200% from the
 475 experimental lab scale, for a rotor experiencing a mean blade chord Reynolds number 20
 476 times higher. Secondly, the rate of increase is non-linear, with all three test cases displaying a
 477 decaying increase in C_p . Additionally, the three test cases show little correlation with each
 478 other. For example, at low $\overline{Re}$, equivalent to lab scale, S2 gives the highest C_p , S3 medium
 479 value, and S1 the lowest. At high $\overline{Re}$ values of $>10^6$, equivalent to a full scale turbine, the
 480 order of performance is altered such that S3 provides the highest C_p , S1 medium, and S2 the
 481 lowest performing turbine. However, at an $\overline{Re}$ of approximately 350,000 the power
 482 coefficients of all three cases rise with equal gradients signifying that the effects of low $\overline{Re}$
 483 conditions are diminishing, with the solution converging towards an asymptote.

484 Fig. 14 (b) shows the change in torque coefficient with $\overline{Re}$, where C_Q is non-
 485 dimensionalised by equation (15). Unlike the plot for C_p the three test results do not cross,
 486 but display an otherwise equivalent behaviour.

487 The final plot, Fig. 14 (c), shows thrust coefficient against $\overline{Re}$. All three sets
 488 experience a lower relative thrust at lab scale than would be expected at full scale. In parallel
 489 to the C_p , the thrust becomes increasingly constant at an $\overline{Re}$ of $\sim 350,000$ and above.

490

491 5. Conclusions

492

493 An experimental test conducted by the University of Oxford has been used as a basis to
 494 develop and validate a numerical model of a three bladed variant of a cross-flow turbine. The

495 resultant model has been adapted to explore performance at increased scales and identify
496 relationships and limitations in both the experimental and numerical methods.

497 An isolated blade case was used to classify and validate prediction of lift and drag
498 coefficients using a RANS numerical model employing the $k - \omega$ SST turbulence model.
499 The result showed a high degree of correlation with experimental values for all angles of
500 attack below stall, with a maximum error in lift coefficient $\approx 5\%$. In post-stall conditions
501 stability of the numerical solution proved to be sensitive to y^+ with values between 10 and 15
502 found to be the most stable. This range falls directly in the transition region, defined as 11.06
503 for ω based models, between the linear near wall layer and the logarithmic region of the
504 boundary layer.

505 The results of the numerical modelling of the University of Oxford laboratory scale
506 turbine confirm that a URANS methodology with 2D simplification is capable of providing
507 accurate hydrodynamic performance predictions for cross-flow turbines. For all practical
508 turbine operation speeds the maximum quantitative error for C_p was 8%, with positive
509 qualitative agreement achieved for all variables (see Fig. 11). Investigating local forces on the
510 blades showed that the numerical model is capturing not only global averages, but also
511 advecting realistic turbulent structures through the turbine in cases where deep stall is
512 avoided. The most prominent example of this is shown in Fig. 12 (b), supported by Fig. 13,
513 where the numerical results capture the downstream fluctuation of C_N due to the generated
514 upstream wake in parallel with the experiment. Limitations to the numerical accuracy of the
515 lab scale result include the negation of the flume narrows, velocity correction and turbulence
516 assumptions, and very low Re ω equation performance in the boundary layer.

517 Scaling of the turbine was approached by focussing on the changes to device
518 performance with mean blade chord Reynolds number $\overline{Re}$. Based on the high validation
519 achieved at lab scale, and the known improvement to blade force prediction using ω based
520 models at increased Reynolds numbers, a purely numerical series of tests were conducted.
521 The scaling tests, detailed in Table 2, generated a number of findings including:

522

- 523 • At full scale/high Re the turbine achieves significantly higher power coefficients than
- 524 an equivalent lab scale model
- 525 • The increase in power coefficient with scale is non-linear and varies inconsistently
- 526 between operating conditions for values of $\overline{Re}$ below $\sim 350,000$.
- 527 • Above an $\overline{Re}$ of $\sim 350,000$, the power coefficients of all operating conditions become
- 528 equally proportional.

529

530 The rise in C_p at higher Reynolds numbers is expected and supports existing

531 literature. However, the inconsistency of the increase in C_p between the three operating

532 conditions shown in Fig. 14 shows conclusively that tests both numerically or experimentally

533 do not scale consistently when referenced against mean Reynolds number. For example, Set

534 2, TSR 4 – experimental flow, was the highest performing of all three cases, but by an $\overline{Re}$

535 $\sim 250,000$ this had fallen to the worst performing. The transition between varying and

536 proportional results falling at $\sim 350,000$ is consistent with the boundary layer transformation

537 of the selected foil from a mixed to a supercritical boundary layer, this change is key to the

538 behaviour demonstrated in the results. Additionally, the boundary layer behaviour has the

539 knock-on effect of triggering dynamic stall with leading and trailing edge vortex generation

540 causing turbulent structures that have a non-trivial effect on upstream and downstream blade

541 performance. For these reasons, the results advocate the use of a minimum $\overline{Re}$ of $\sim 350,000$

542 for laboratory scale tests in order to avoid low Re effects and provide scalability and

543 proportionality to the acquired turbine performance data. Furthermore, the reduction in

544 uncertainty may also improve the isolation and application of additional corrections such as

545 accounting for Froude number and blockage. For alternative turbine geometries differing $\overline{Re}$

546 limits are likely to exist and therefore should be considered alongside other known effects

547 when inferring full scale turbine performance from low Re test data.

548

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553

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