# Supplementary Training Modules on Good Manufacturing Practice

Water for Pharmaceutical Use



## Part 1: Introduction and treatment

WHO Technical Report Series No 929, 2005. Annex 3

#### **Objective**

- Water system requirements
- Water quality specifications
- Application of specific water to processes and dosage forms
- Water purification methods
- Storage and distribution systems
- Commissioning, qualification, operation and maintenance

#### Introduction

- Information on Water for Pharmaceutical Use (WPU)
- Quality of water for APIs, finished products, etc.
- GMP for design, installation, operation of systems
- Supplementary to general GMP guidelines
- See also other guidelines, pharmacopoeia, etc.

#### Additional guidelines

- WHO Guideline for Drinking water quality (WHO)
- Water and steam systems (ISPE)
- Bioprocessing Equipment Standard (ASME BPE 2000)
- European Pharmacopoeia, United States Pharmacopeia, International Pharmacopoeia
- Inspection of Utilities (PIC/S)

#### **Principles**

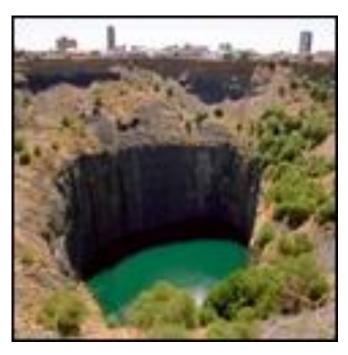
- Like any starting material, production of water should conform to Good Manufacturing Practice (GMP) norms
- Potential for microbial growth
- Systems must be properly validated / qualified
- Water for parenteral use should not be contaminated with pyrogens or endotoxins
- Specifications and periodic testing are required

#### Why purify raw water?

- Although reasonably pure, it is always variable due to seasonal variations, regional variation in water quality
- Must remove impurities and control microbes to avoid contaminating products
- Treatment depends on water's chemistry and contaminants, influenced by, e.g. rainfall, erosion, pollution, dissolution, sedimentation, decomposition

#### **Contaminants of water (1)**

- There is no pure water in nature, as it can contain up to 90 possible unacceptable contaminants
- Contaminant groups:
  - Inorganic compounds
  - Organic compounds
  - Solids
  - Gases
  - Microorganisms



#### **Contaminants of water (2)**

#### Problem minerals

- Calcium, magnesium, copper, aluminium, heavy metals, arsenic, lead, cadmium, nitrates
- Iron, manganese, silicates, carbon dioxide
- Hydrogen sulfide
- Phosphates

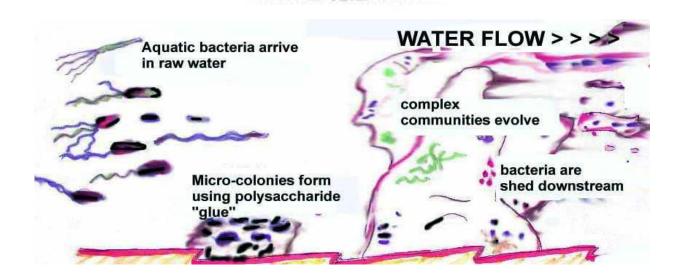
#### **Contaminants of water (3)**

Microorganisms – Biofilm formation

- Protozoa
  - Cryptosporidium
  - Giardia
- Bacteria
  - Pseudomonas
  - Gram negative, non-fermenting bacteria
  - Escherichia coli and coliforms

#### **Biofilm formation**

- 1. Free-swimming aquatic bacteria use *polymucosaccharides* to colonize surfaces
- 2. Complex communities evolve which shed microcolonies and bacteria



#### Background to water requirements and use

- Water is the most widely used substance / raw material
- Used in production, processing, formulation, cleaning, quality control
- Unique chemical properties
  - Able to dissolve, absorb, adsorb, suspend compounds and contaminants
- Different grades of water quality available
- See also EMEA "Note for guidance on the quality of water for pharmaceutical use"

#### Background to water requirements and use (2)

- Control quality of water
  - Production
  - Storage and distribution
- Contaminants, microbial and chemical quality
- Microbial contamination risk and concern
- Water is used on demand
  - not subjected to testing and batch or lot release before use, therefore has to meet specification "on demand" when used
  - Micro test results require incubation periods

#### Water system requirements

- Design, installation, commissioning, qualification / validation, operation, performance and maintenance to ensure reliable, consistent production of water of required quality
- Operate within design capacity
- Prevent unacceptable microbial, chemical and physical contamination during production, storage and distribution
- Quality Assurance involved in approval of use after installation and maintenance work

#### Water system requirements (2)

- Monitoring of water sources regularly
  - Chemical and microbiological
  - Endotoxin level where relevant
- Monitoring of system performance, storage and distribution systems
- Records of results, and action taken
- Validated sanitization procedure followed on a routine basis

#### Water quality specifications

Here address water in bulk (not for patient administration)

Specifications in pharmacopoeia – relevant to national or international recommendations. Types of water include:

- Drinking water / potable water
- Purified water (PW)
- Highly Purified Water (HPW)
- Water for Injection (WFI)

#### **Drinking water / potable water**

- Must comply with specification (WHO, ISO and national or regional agencies) – regular testing needed
- Supplied under continuous positive pressure
- Defect free plumbing system to prevent contamination
- Could be from public water supply system or natural sources
- Source water quality influences the treatment required

#### **Drinking water:**

Natural sources could include springs, wells, rivers and lakes

Treatment includes softening, ion removal, particle reduction, antimicrobial treatment



3.2

#### **Purified Water (PW)**

- Prepared from potable water source
- Meet pharmacopoeia specification for chemical and microbial purity
- Protected from recontamination
- Protected from microbial proliferation

#### **Highly Purified Water (HPW)**

- Prepared from potable water source
- Specification only in the European Pharmacopoeia
- Same quality standard as WFI including limit for endotoxins, but treatment method considered less reliable than distillation
- Prepared by combination of methods including reverse osmosis (RO), ultrafiltration (UF) and deionization (DI)

#### Water for Injections (WFI)

- Prepared from potable water source
- WFI is not sterile
- WFI is not a final dosage form
- WFI is an intermediate bulk product
- According to The International and European
   Pharmacopoeias final purification step should be distillation

## Application of specific water to processes and dosage forms

- Water used for different stages of:
  - Washing, preparation, synthesis, production, formulation, control
- Which grade of water is suitable for a particular stage?
- Consider nature and intended use of intermediate or finished product, and stage at which water is used
- Let's look at types of water and indicate their use

### Complete the table

<u>Use</u>	Which type of water?
Preparation of injectable products	?
Final rinse of equipment after cleaning	/AC ?
Final rinse of equipment and components that come into contact with injectable products	?
?	HPW
?	Potable water

#### Water purification methods

- Manufacturer to select appropriate method of purification
- Appropriate sequence of purification steps
- Influenced by, e.g.
  - Water quality specification
  - Yield (efficiency) of the system
  - Feed water quality
  - Reliability and robustness of treatment system
  - Supplier support, maintenance and operation costs

#### Water purification system considerations

- Leaching from contact materials
- Adsorption
- Hygienic and sanitary design
- Corrosion resistance
- Leakage
- Proliferation of microbiological organisms

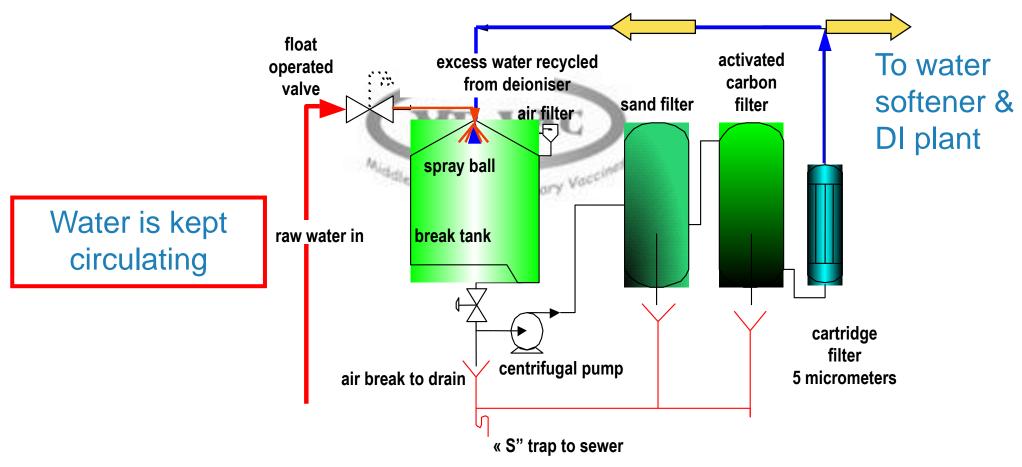
#### Water purification system considerations (2)

- Tolerance to cleaning and sanitizing agents
- Capacity and output capability
- Instruments, sensors, controls, sampling points
- Space needed for installation and structural loading of premises
- Access needed for maintenance
- Regeneration and sanitization

#### **Pre-treatment steps**

- Primary filtration and multimedia filter
- Coagulation or flocculation
- Desalination
- Softening

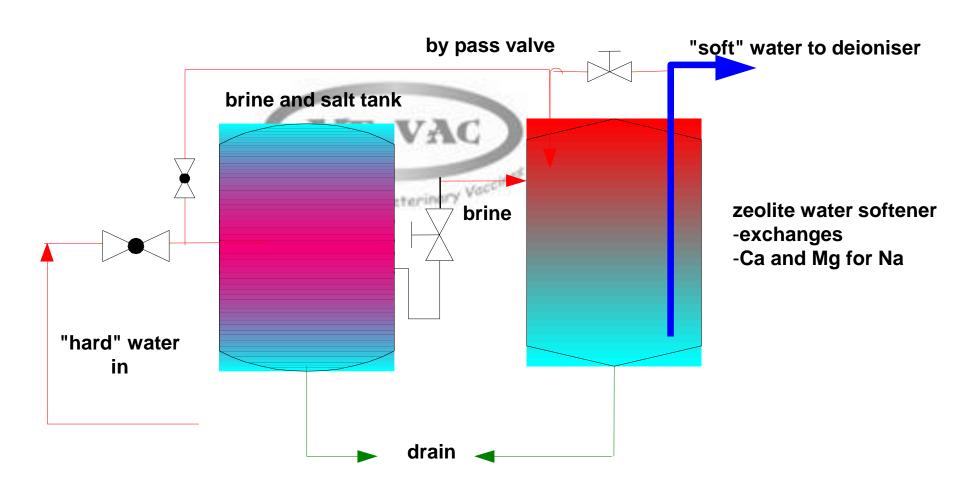
## Pretreatment – schematic drawing



Water pre-treatment complex in a pre-treatment room



#### Water Softener – schematic drawing



## Chlorine removal (Activated-carbon (AC) filtration or bisulphite)

- AC removes chlorine but bacteria can then grow
- AC filtration can remove organic impurities
- Bisulphite leaves sulphate residues but is antimicrobial

#### Production of drinking water

- Derived from raw water (e.g. well, river, reservoir)
- Processes may include:
  - Filtration, softening
  - Disinfection or sanitization
  - Iron (ferrous) removal
  - Precipitation
  - Inorganic / organic reduction

#### Production of drinking water (2)

- When done "in-house" steps used and system configuration documented, and water quality routinely monitored
- Change control in case of changes to system
- Storage of water:
  - no degradation, ensure turnover, routine testing
- "indirect impact system" qualification not needed

#### **Production of drinking water (3)**

- System permits draining and sanitization
- Storage tanks:
  - Closed, with protected vents
  - Allows visual inspection, draining and sanitization
- Care to prevent and control microbiological contamination of sand filters, carbon beds, water softeners
  - Back-flushing, chemical or thermal sanitization and frequent regeneration, continuous waterflow

#### **Production of drinking water (4)**

- Drinking water supplied by tanker associated problems and risks
- Required vendor assessment
- Authorized certification activities
- Acceptability of delivery vehicle
- As receiving any other starting material

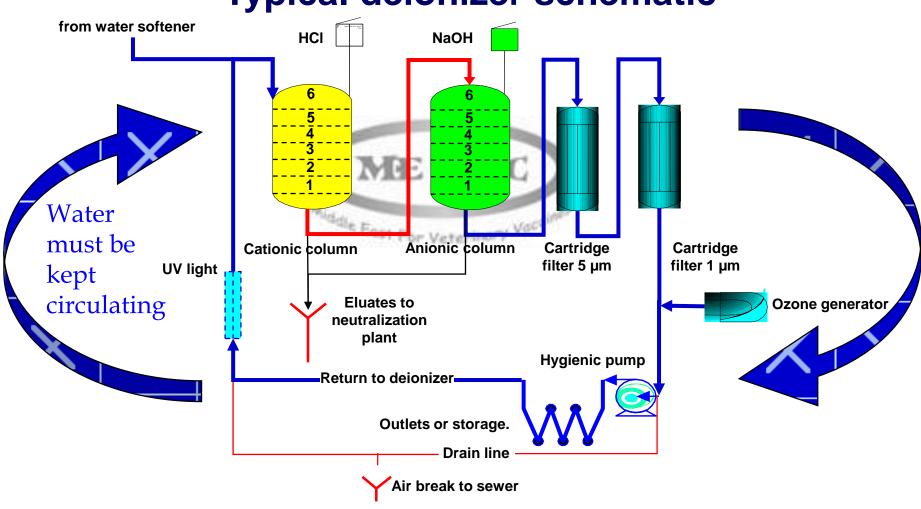
## Water treatment purification stages downstream of the pre-treatment system may include:

- Filtration
- Disinfection

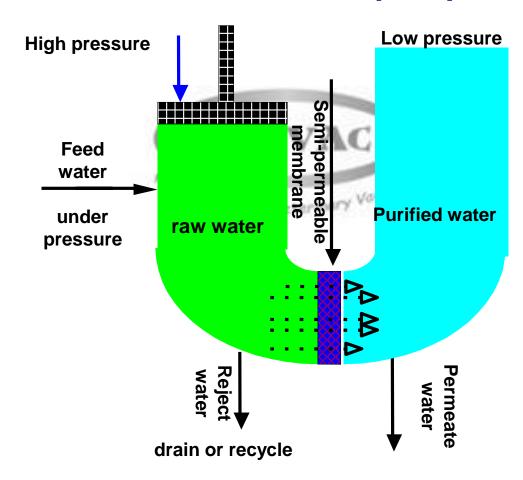


- Reverse osmosis (RO) or deionization (DI)
- Distillation or ultrafiltration

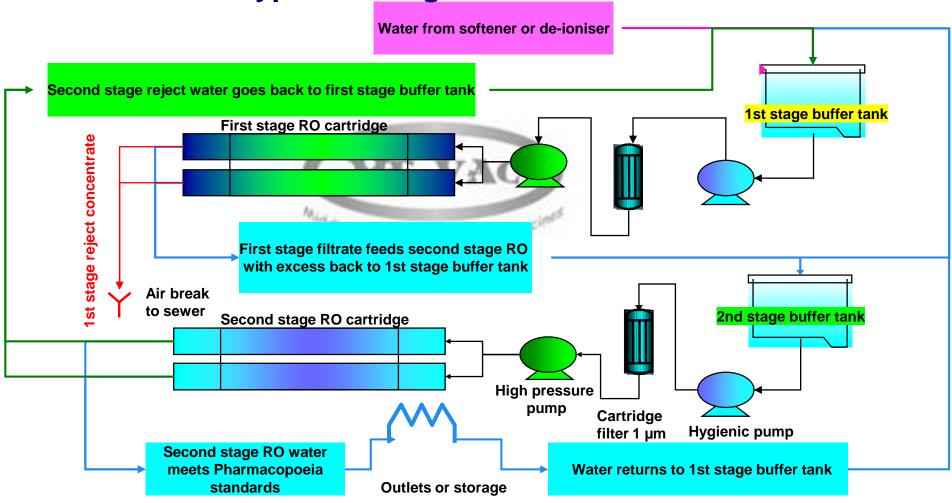




### Reverse osmosis (RO) theory



#### **Typical 2-stage RO schematic**



#### Use of reverse osmosis

- Advantages
- Disadvantages
- Many uses
  - purified water
  - feeding of distillation units or ultrafiltration units
  - Water for Final Rinse
  - Water for Injections (if permissible)

#### **Production of Purified Water (PW)**

- Use appropriate, qualified methods for production
- Factors to consider:
  - Feed water quality and required water quality specification
  - Sequence of purification stages needed
  - Energy consumption, extent of pre-treatment needed
  - Yield and efficiency of unit treatment steps
  - Location and design of sampling points
  - Appropriate instrumentation for measurements

#### **Production of Purified Water (2)**

- Measurements:
  - Flow
  - Pressures
  - Temperature
  - Conductivity
  - pH
  - Total organic carbon (TOC), etc.
- Appropriately controlled, monitored, records maintained



#### **Production of Purified Water (3)**

- Ambient temperature PW systems are susceptible to microbiological contamination – especially when static and periods of low or no demand
- Controls may include:
  - Maintain flow at all times
  - Control temperature in the system ( <25 degrees Celsius)</li>
  - UV disinfection
  - Water treatment components that can be thermally sanitized
  - Chemical sanitization (e.g. with ozone)

#### **Production of Highly Purified Water (HPW)**

- Use appropriate, qualified methods for production
- Appropriate sequence of techniques
- As for PW
- Processes may include:
  - Ion exchange
  - Ultrafiltration
  - Reverse Osmosis

#### **Production of Water for Injections (WFI)**

 Pharmacopoeia requires distillation as preferred technique for final purification step

ME VAC

- Factors to consider:
  - Feed water quality
  - Required water quality specification
  - Optimum generator sizing (prevent frequent start/stop)
  - Blow-down and dump functions
  - Cool-down venting (avoid contamination ingress)