

THE THEORY BEHIND AUTOMATIC INSPECTION TECHNOLOGIES

FOR SUBVISIBLE-TO-VISIBLE PARTICLE DETECTION AND CONTAINER CLOSURE INTEGRITY

Edwin Martinez

Senior Engineering Manager Baxter Healthcare 2016 Pharma EXPO Conference November 9th 2016

Theory Behind Automated Inspection Technologies.

Speaker Bio



Thien Pham
Pfizer
Principle Engineer

More than 20 years of manufacturing operations experience in Electronic Manufacturing Services and Pharmaceuticals and Medical Device Manufacturing in combination with a Bachelor of Science in Electrical and Computer Engineering. This includes production, maintenance, and engineering. This also includes most phases of manufacturing including filling, sterilization, visual inspection, packaging, and utilities.

For the past 10 years, he has designed, developed, implemented, and managed multiple major capital projects at different facilities. The projects included a new packaging facility, multiple automated visual inspection lines, a SCADA system for product autoclaves, a steam plant, and a new sterile filling facility.



Edwin Martinez
Baxter Healthcare
Sr. Engineering Manager

Subject matter expert on automated manufacturing systems, with more than 20 years of manufacturing operations experience; in the design, development, integration and support of various automated processes, in combination with his BS on Electrical Engineering with a concentration on automated controls, vision systems and mechatronic.

Experienced managing numerous projects at several worldwide locations for the deployment and qualification of new advance automated systems, applied to most of the phases of the manufacturing process; filling, sealing, inspection, serialization and packaging.

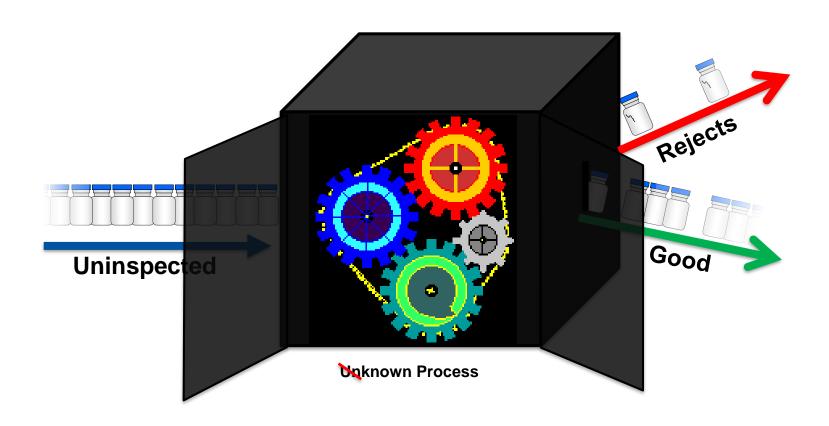
SPE.

Disclaimer

The views expressed in this presentation come from our participation in the industry technical roundtables, field interaction with equipment manufacturers and from my personal interpretation. It do not reflect the views, strategies, preferences or official policy or position of any of my employers.



Inspection Equipment Black Box Paradigm





Agenda

- Particle Inspection Methods
 - Particle Matter
 - Principles of the Static Division (SD) Detection
 - Particle Detection using Camera Charge Coupled Device (CCD) X-Ray Inspection
- **Leak Inspection Methods**
 - High Voltage Leak Detection (HVLD)
 - Pressure & Vacuum Leak Detection
 - Liquid Filled Container (LFC)
 - Head Space Analysis (HSA)
 - Tracer gas (vacuum mode)
- **Qualification Strategies**
- Summary



Visual Inspection



All products intended for parenteral administration *must* be visually inspected for the presence of particulate matter as specified in Injections and Implanted Drug Products.





Filled containers of parenteral products should be inspected individually for extraneous contamination or other defects... the process should be validated and the performance of the equipment checked at intervals. Results should be recorded.



Visual Inspection

The USP: (790) Visible Particulates in Injections

Inspection Procedure

Used along with 100% inspection during the manufacturing process, this procedure is sufficient to demonstrate that the batch is essentially free of visible particulates. A complete program for the control and monitoring of particulate matter remains an essential prerequisite.

Qualification of the inspection process should be performed with reference to particulates in the *visible range* and those particulates that might emanate from the manufacturing or filling process. Every container in which the contents show evidence of visible particulates *must be rejected*. The inspection for visible particulates may take place during examination for other critical defects such as *cracked or defective containers or seals* or when characterizing the appearance of a lyophilized product

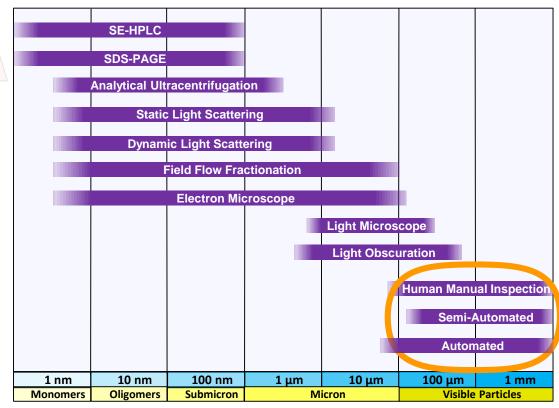


Particle Matter

USP (788) Particulate Matter in Injection

Particulate Matter in Injections extraneous <u>mobile</u> undissolved particles, other than gas bubbles, unintentionally present in solutions.





Adapted from John G. Shabushnig, Ph.D. Insigth

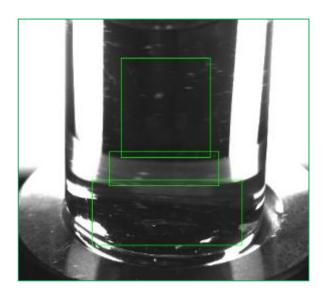
Adapted from Michael Wiggenhorn, Coriolis

Find additional information on inherent, intrinsic, and extrinsic particulates. Subvisible Particulate Matter in Therapeutic Protein Injections (787)

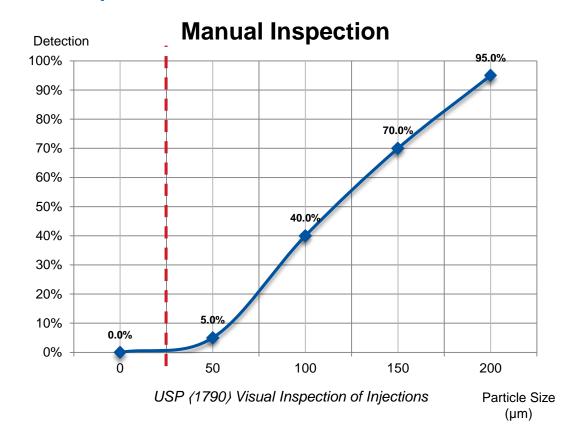


Human Performance

USP (1790) Visual Inspection of Injections The threshold for human vision is generally accepted to be $50~\mu m$. Individual receptors in the eye have a resolution of $11~\mu m$, but typical resolving power is reported as $85-100~\mu m$

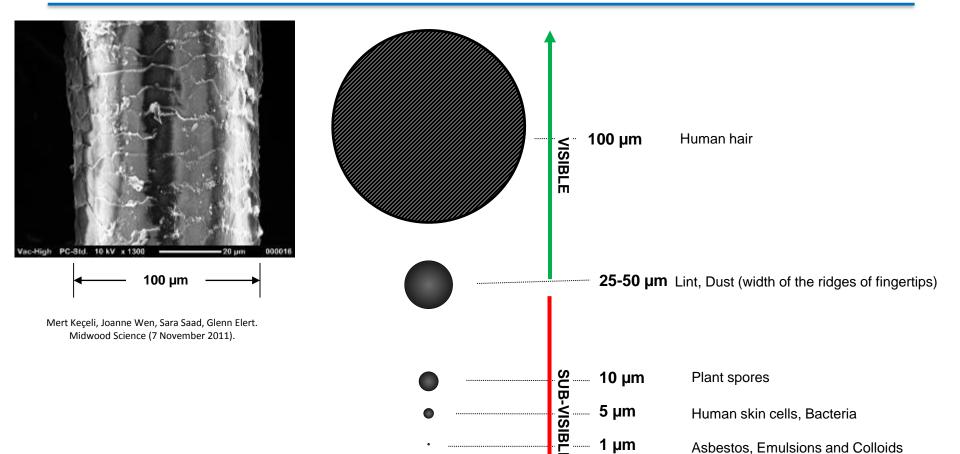


The detection process is **probabilistic**: the likelihood of detection is a cumulative function of visible attributes such as particle **size**, **shape**, **color**, **density**, **and reflectivity**.





Particle Matter



The diameter of human hair ranges from **17 to 181 μm**. Ley, Brian (1999). "Width of a Human Hair". The Physics Factbook.



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0.3 µm

Proteins, Viruses and Macro-Molecules

Machine vs Humans

Automated

- Better sensitivity for some type of defects
- More **repeatable** process
- Better efficiency, higher throughput
- Reduced **ergonomic** injury risk
- Often higher false reject rate
- **High** initial **cost** (0.7 MM to 3.0 MM)



- **Human** (Manual or Semi-automated)
- More flexible
 - New products and packages
- Quicker **response** to new defect types
- Cost effective for small batches slow rates
- Reference standard for all compendia
- Low initial cost



Adapted from John G. Shabushnig, Ph.D, Insigth



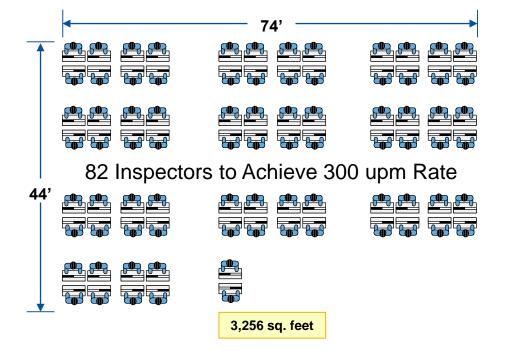
Machine vs Humans

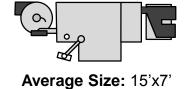
There are workforce and floor space requirements to be considered as a result of the average rate of a manual inspection.



Average Rate: 3.67 upm







105 sq. feet

Based on 1 Shift volume.



Particle Inspection Methods



Static Division (SD) Particle Detection



Defect Inspection using Camera (CCD)

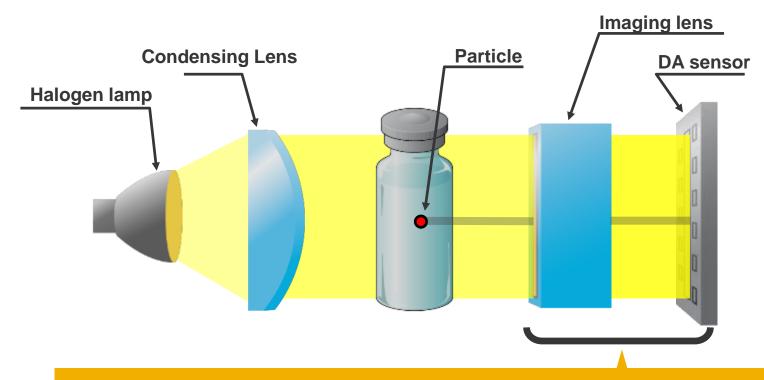


PARTICLE INSPECTION METHODS

PRINCIPLES OF THE STATIC DIVISION (SD) DETECTION



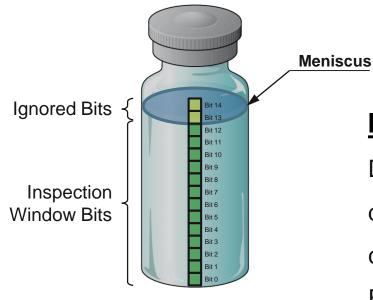
Static Division (SD) Detection Principle



The moving particles create a difference in light intensity while passing the (DA).

The shadow created by particle causes the difference in light intensity reaching to Diode Array (DA) sensor, which is converted to an electric <u>current</u> signal.





Inspection View Selection

DA sensor is made up an array of small photo diodes that are piled up in vertical direction to cover the required inspection view.

Each photo diode of DA sensor is called "Bit"



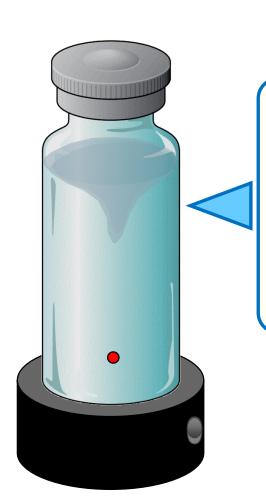
Particle Movement

Detection Cycle

spin

brake

Insp.



Spin Container

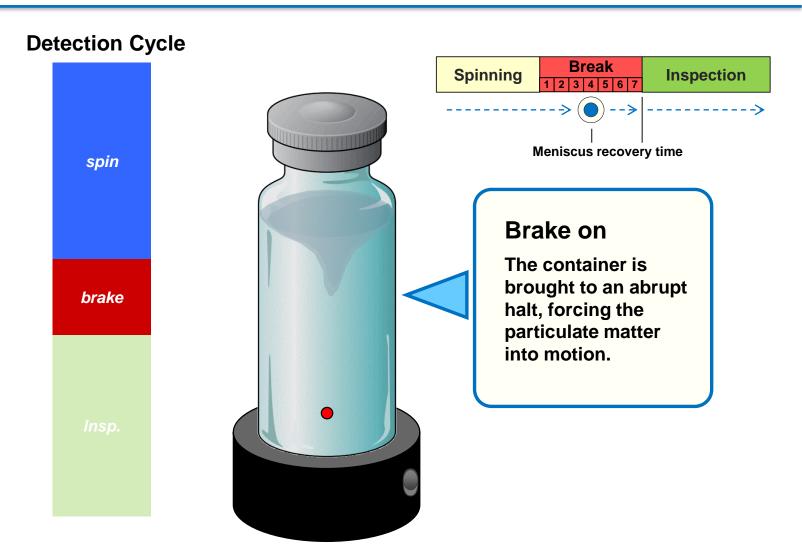
The container is spun, which pushes the contents up the wall of the vial, lowering the level of the meniscus of the solution inside.



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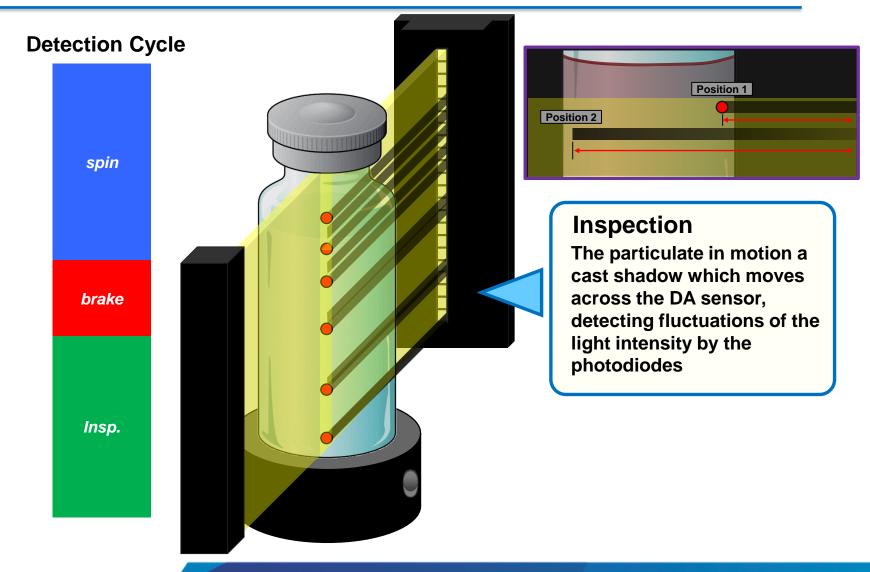
Knowledge

Particle Movement

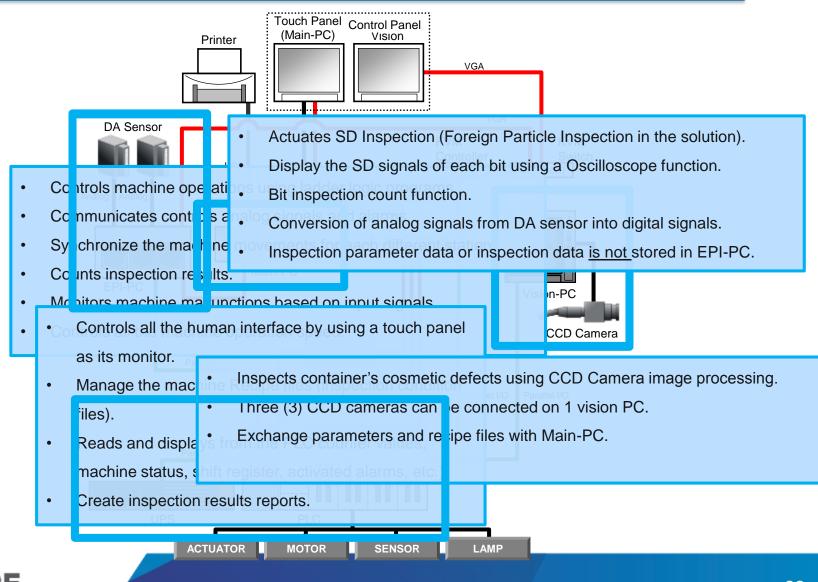




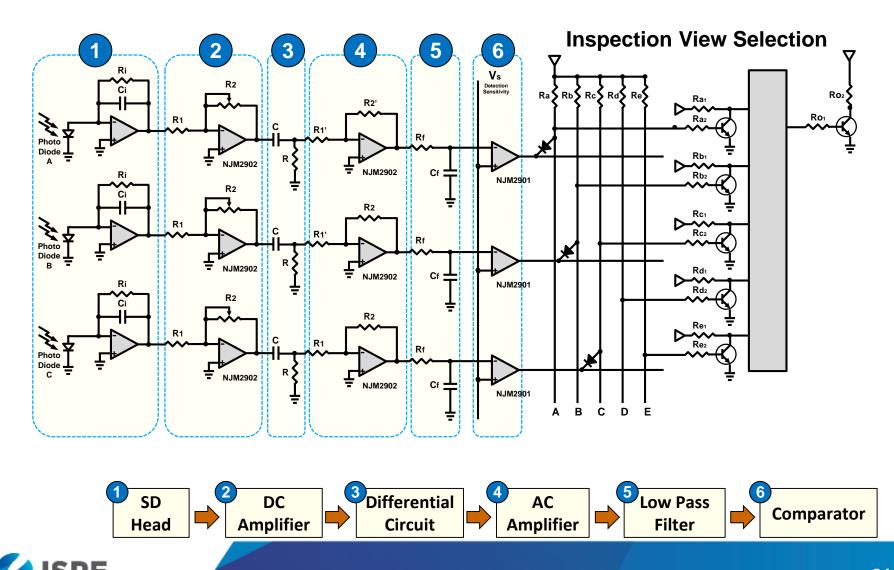
Particle Movement



System Block Diagram

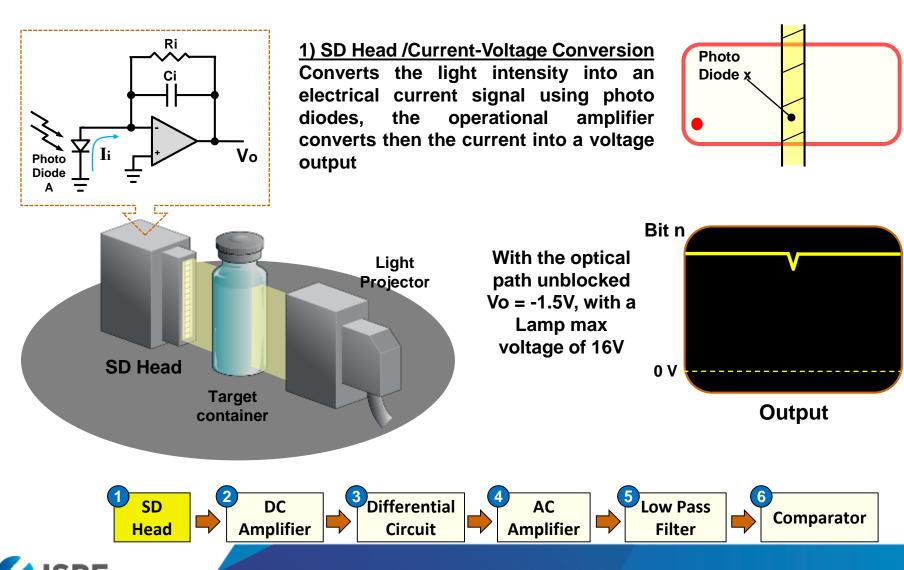


Connecting



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Knowledge



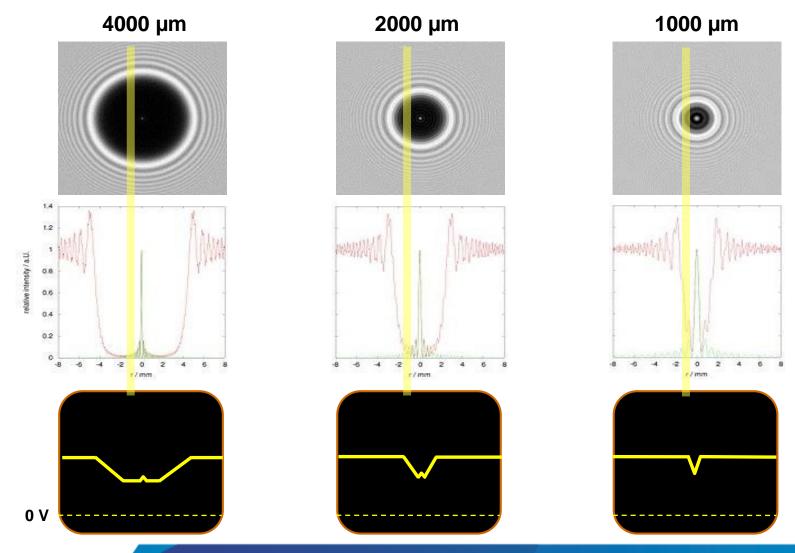
Particle Size Response





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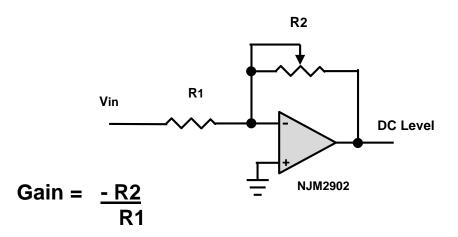
Particle Size Response

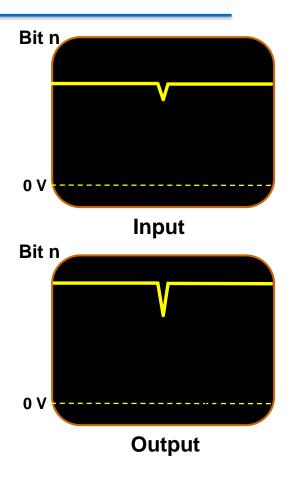


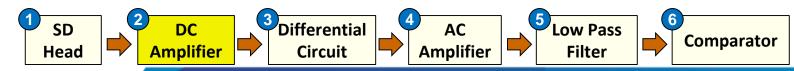


2) DC Amplifier

Currents converted by the current-voltage conversion circuit into voltage. Since the voltages from SD head my vary with bits, they must be adjusted to a fixed voltage value. The DC amplifier output is referred as the DC level.



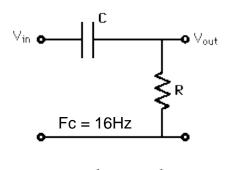




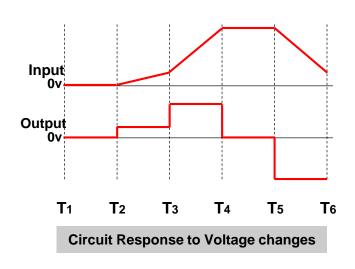


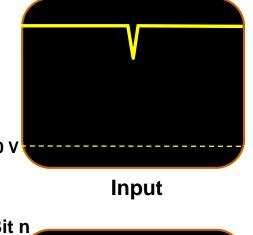
3) Differential Circuit / High Pass Filter

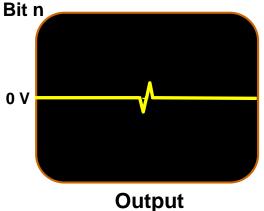
The reactance of the capacitor decreases with the increasing frequency. The output voltage is directly proportional to the derivative of the input voltage



$$X_C = \frac{1}{\omega C} = \frac{1}{2\pi f C}$$



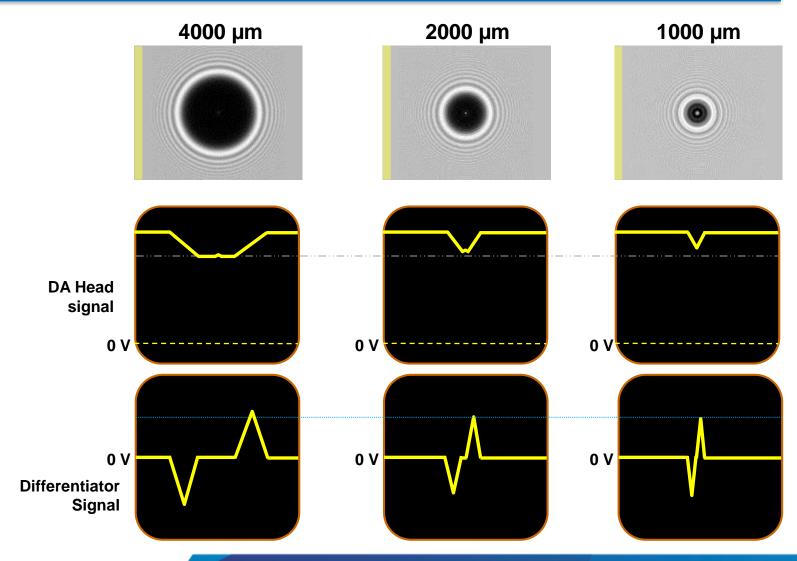






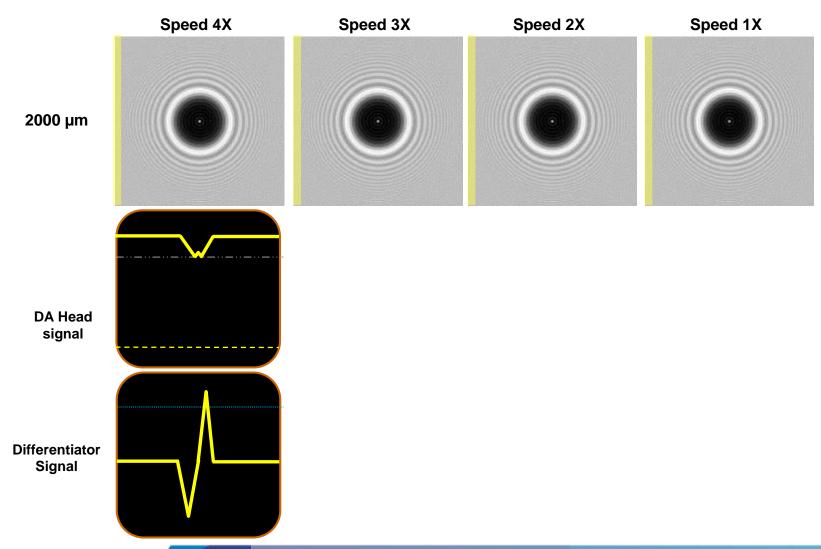


Particle Derivative Response: Size





Particle Derivative Response: Speed



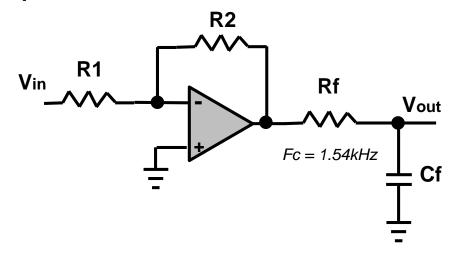


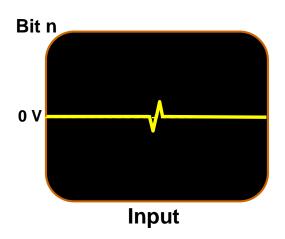
Note: This example simulates the response to different viscous solutions

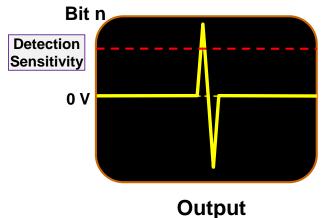
28

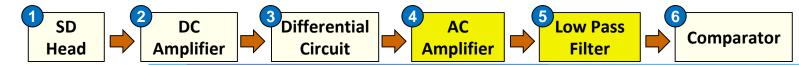
4,5) AC Amplifier / Low Pass Filter

Amplifies and inverts the output of the differentiator circuit with a known gain of -(R2/R1), and the high frequencies elements are eliminated.





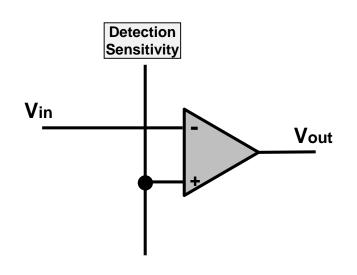


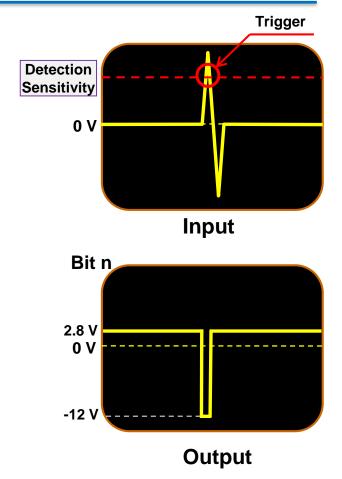


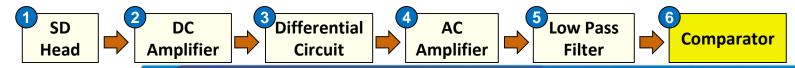


4) Comparator

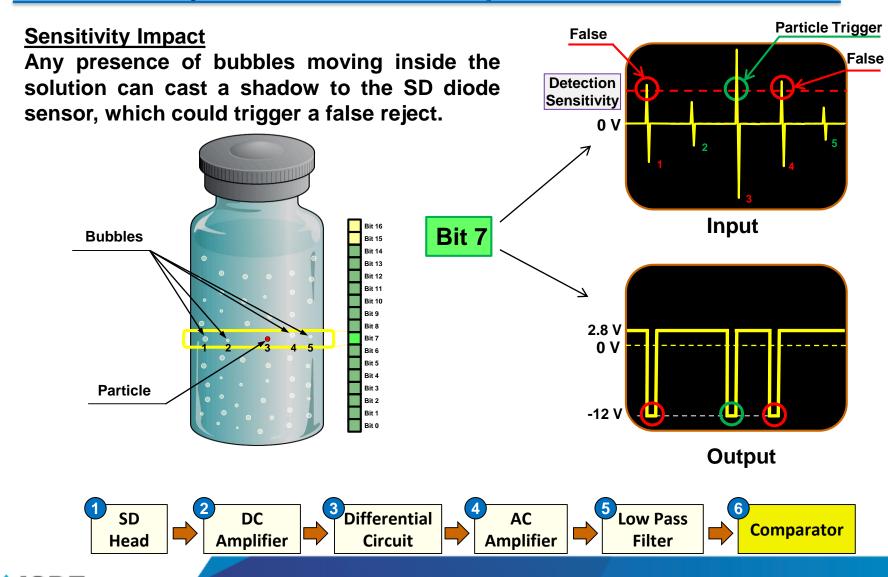
Any signals (Vin) that exceed the reference voltage (Detection Sensitivity) triggers an output.

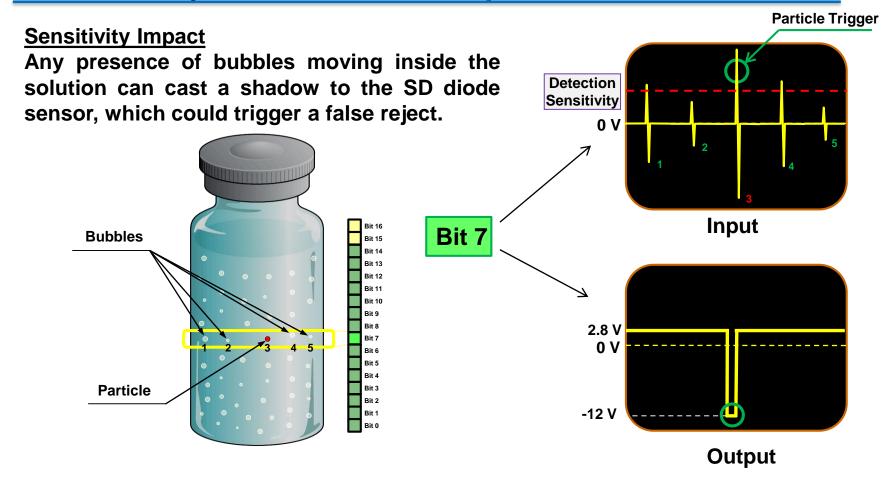








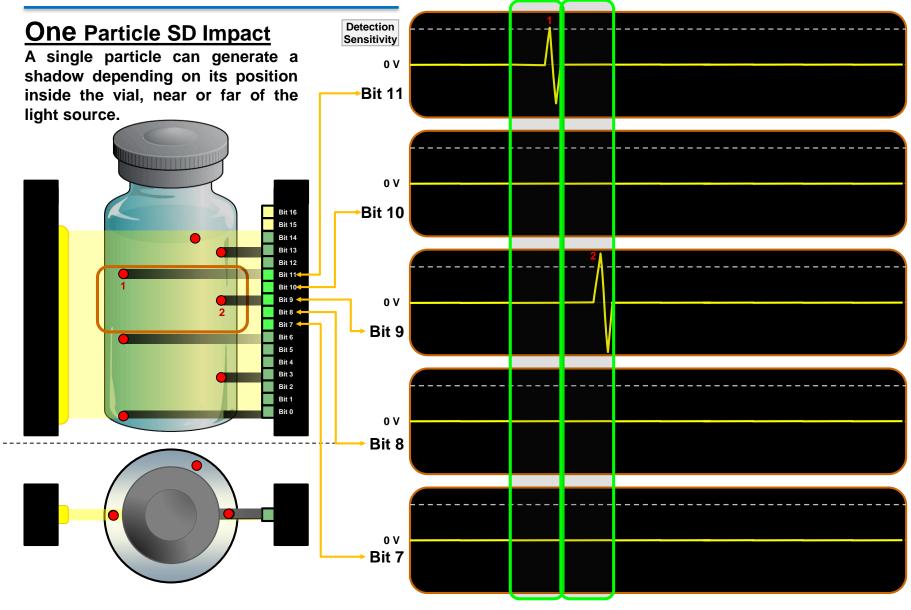


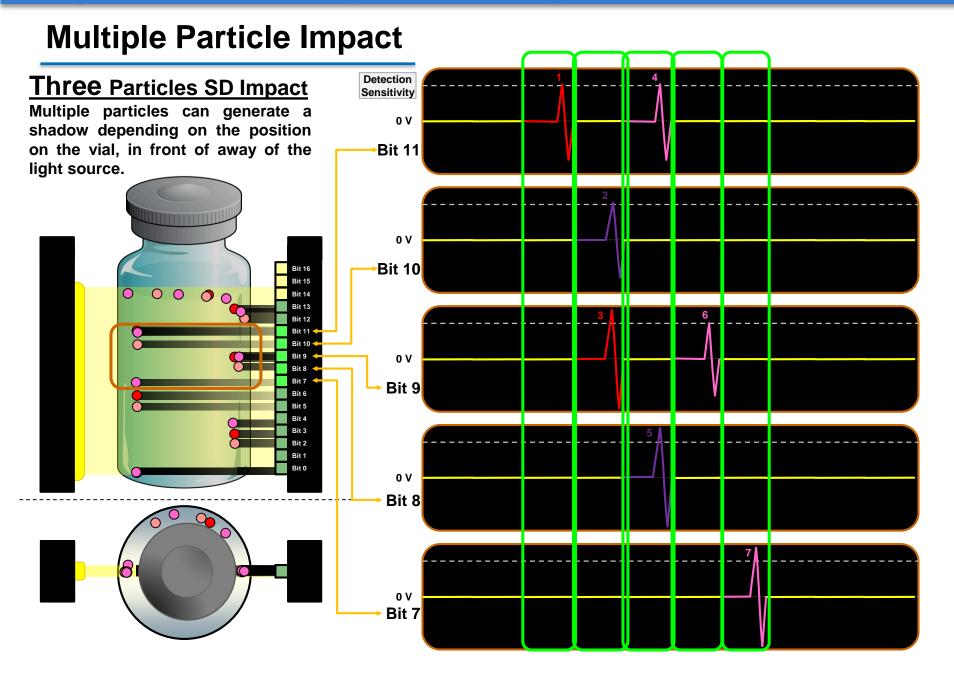




Theory Behind Automated Inspection Technologies.

Multiple Particle Impact





Multiple Particle Impact

Multi Particle Statistical Impact

The value of the detection Confidence level will be based on the conditions that we can't control (value of uncertainty) which will be determined by the probability of the not detection (1- reliability of detection) and this value will be **exponentially increased depending on the amount of particles** included in the vial.



Confidence: 45%

 $Y = 1 - (1 - 0.450)^{1}$

 $Y = 1 - (0.550)^1$

Particles: 1

Y = 1 - 0.550 = 0.450 = 45.0%



Confidence: 45%

Particles: 2

 $Y = 1 - (1 - 0.450)^2$

 $Y = 1 - (0.550)^2$

Y = 1 - 0.303 = 0.698 = 69.8%



Confidence: 45%

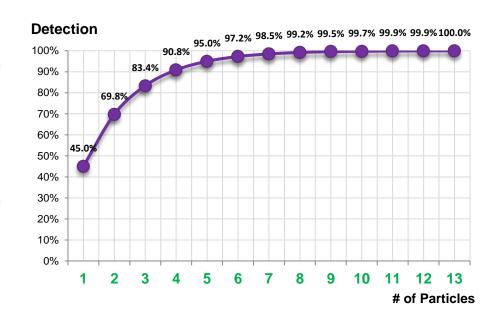
Particles: 3

 $Y = 1 - (1 - 0.450)^3$

 $Y = 1 - (0.550)^3$

Y = 1 - 0.166 = 0.834 = 83.4%







Particle Detection Product Properties Impact

The particle detection its based in the detection of the **particle movement** during a **time lapse**. There are known product properties that directly affect the duration of **particle suspension**, and in consequence the detection performance:

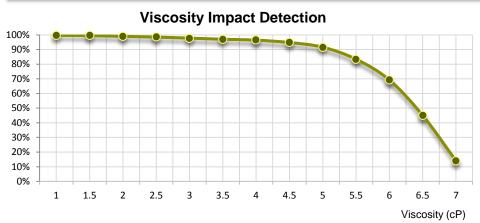
- Viscosity
- Density
- Surface Tension

These properties also influence the probabilities of false rejections due to the generation of **bubbles**.

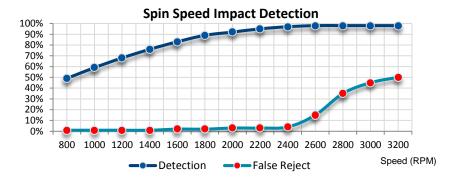
Any proper **recipe** development or **validation** process shall identify as **subgroups** any products with similar properties, and **bracket** those groups.

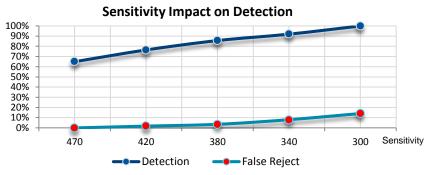


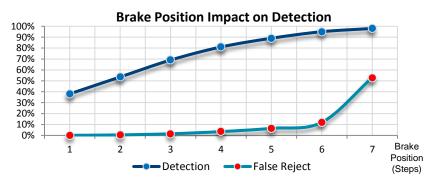
Particle Detection Parameters Impact



Parameter	Description
Spin	Number of complete rotations, revolutions, cycles, or turns per time unit (Minute), it can be adjusted for different viscous solutions. **Range: (500 – 4,000 RPM)*
Sensitivity	Preset detection level against which the detection system compares the amount of light received. If the amount of light is lower than the preset level (sensitivity) the container will be rejected. <i>Range:</i> (0 – 1,000)
Brake Position	Determine at what point of the cycle the container rotation will be halt, before getting in front of the SD sensor. This parameter will tuned in combination with the Spin value. <i>Range:</i> (1 – 7 steps)
Light Intensity	Determine the percentage of electrical power applied to the halogen lamp, which represent the same percentage of the lamp full intensity. **Range: (0 – 100%)
Inspection View	Height of the inspection window. This helps to adjust the inspection window for the different container sizes and fill volume. <i>Range: (1 – 81 Bits)</i>



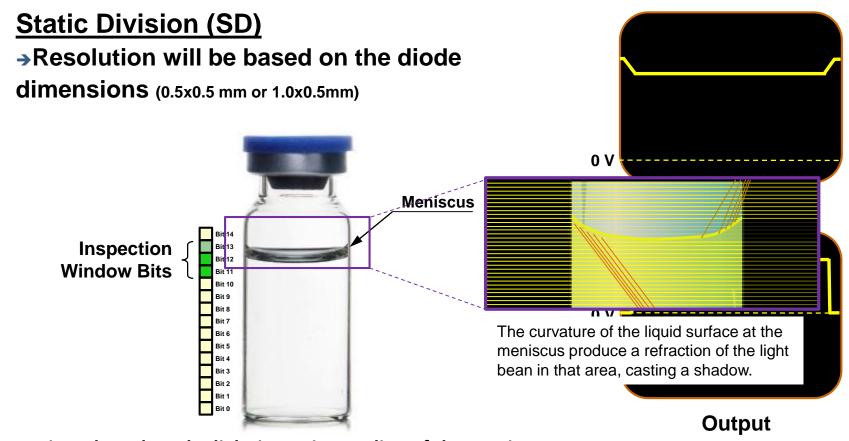






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Fill Level Detection



Rejects based on the light intensity reading of the meniscus shadow in the area of inspection.

Inspection Window: Bits 11 – 13 (Only bits that will trigger an output)

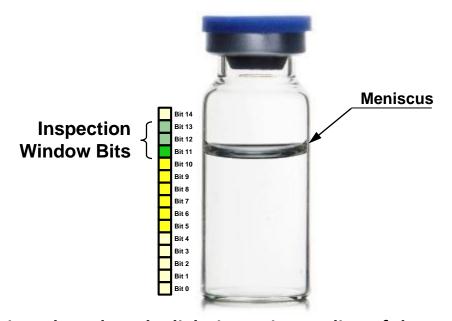


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Fill Level Detection

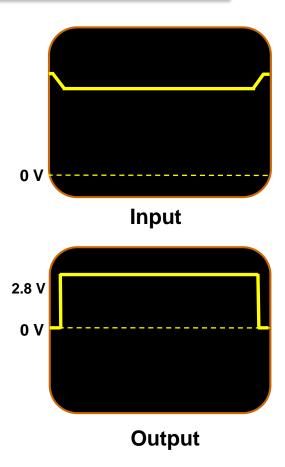
Static Division (SD)

→ Resolution will be based on the diode dimensions (0.5x0.5 mm or 1.0x0.5mm)



Rejects based on the light intensity reading of the meniscus shadow in the area of inspection.

Inspection Window: Bits 11 – 13 (Only bits that will trigger an output)



PARTICLE INSPECTION METHODS

PARTICLE DETECTION USING (CCD) CAMERA



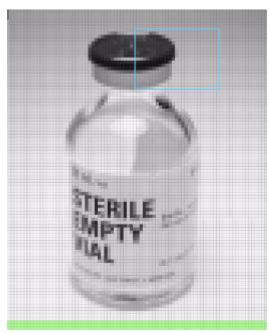
Content

- Particle Detection using Camera (CCD)
 - Raster Image
 - Vision Tools
 - Image Subtraction
 - Cosmetic Inspection using Cameras
 - Fill Level Detection

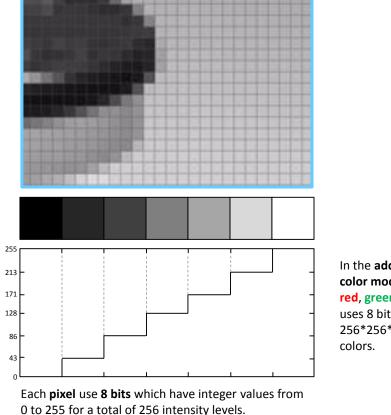


Camera Inspection

The digital raster images acquired by the digital camera have a finite set of pixels which are the smallest individual element in an image, holding quantized values that represent the brightness of a given color at any specific point. The pixels contain fixed number of rows and columns.



Raste via mage



Knowledge

In the additive primaries and the RGB color model each of the pixels in the red, green and blue channel images, uses 8 bits each, which makes 256*256*256 = 16,777,216 possible colors.

Color Raster Image

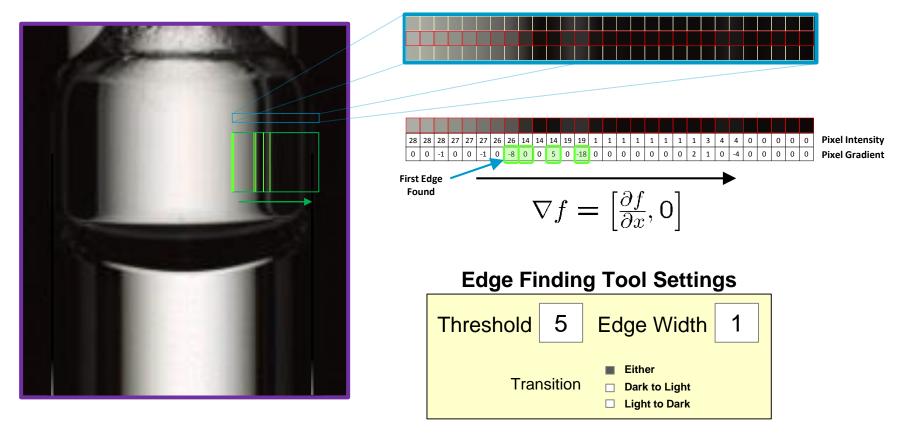


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Intensity Level

Vision Tools: Edge Detection

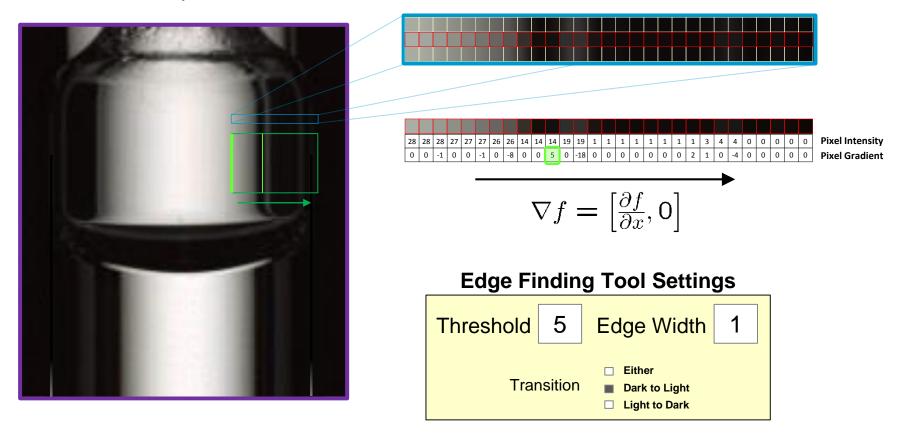
Edge detection is the name for a set of mathematical methods which aim at identifying points in a digital image at which the image brightness changes sharply or, more formally, has discontinuities.





Vision Tools: Edge Detection

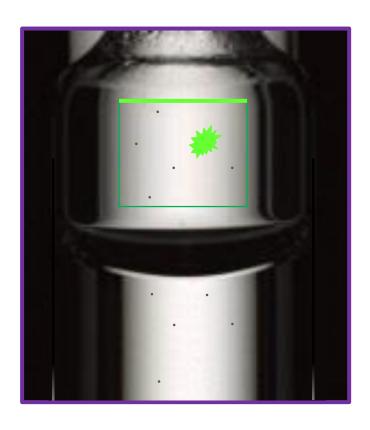
Edge detection is the name for a set of mathematical methods which aim at identifying points in a digital image at which the image brightness changes sharply or, more formally, has discontinuities.





Vision Tools: Blobs

Blob detection scans a regions inside a digital image finding a group of pixels that differ in intensity to the surrounding pixels in the region.





Threshold 20 Number 100

The pixel area needs to meet the criteria of the intensity threshold and the amount of **connected** pixels.

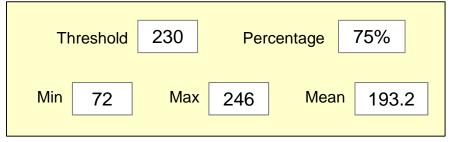


Vision Tools: Histogram

The histogram it's the intensity distribution of a region of a digital image. The graphical representation plots the number of pixels (y) for each tonal value (x).



Histogram Tool Settings



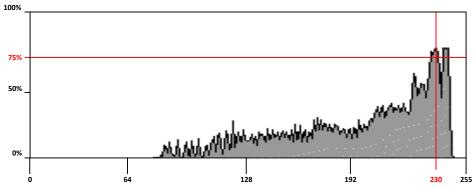




Image Subtraction (Differential Processing)

The result of the difference of the intensities of pixels from the same location in two images. Represent a movement or a difference between the images. And the rejects are based on a pixel count (contrast).

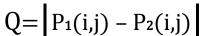
a movement or a difference between the images. And the rejects are based on a pixel count (contrast). $Q = \left| P_1(i,j) - P_2(i,j) \right|$ $\lim_{\text{Image1}} \lim_{\text{Image2}} \lim_{\text{00:00:00}} \lim_{\text{00:00:12}} \lim_{\text{00:00:15}} \lim_{\text{00:00:18}} \lim_{\text{00:00:10}} \lim_{\text{00:00$

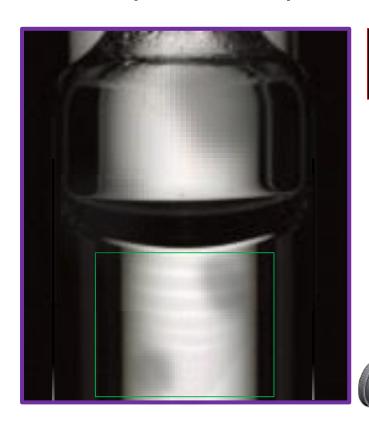


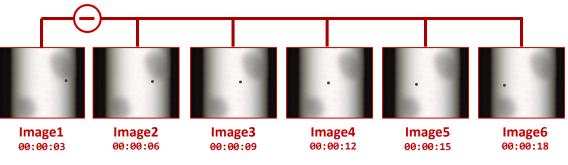


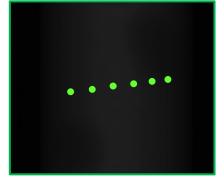
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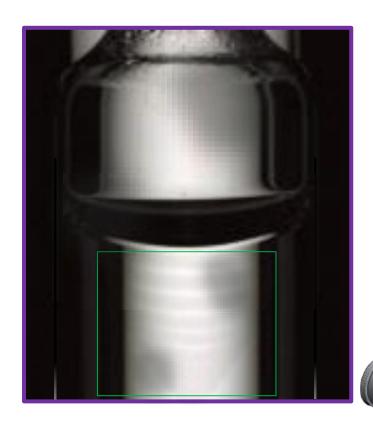


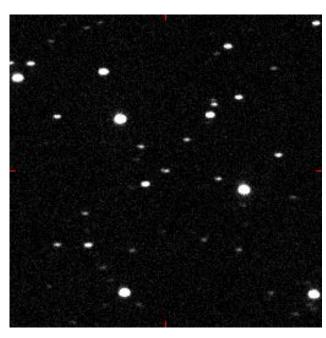


High definition cameras are required; the pixel size need to smaller or equal to the smallest particle size target to be detected.

Image Post Analysis

The resulting images are post-analyzed to *filter* and *remove* any **undesirable elements** from the resulting images. These computer based algorithm removes any objects that could produce traces like **bubbles**, **agglomerates**, **glares** or **marks** on the container while its vibrates.



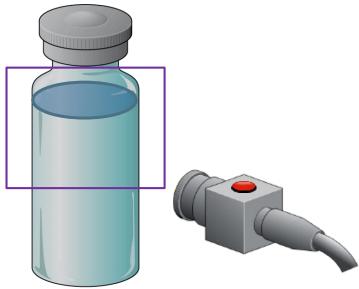


Method often used in Astronomy

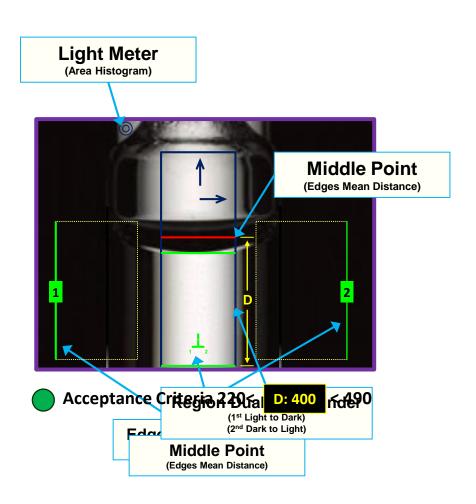
Fill Level Detection

Camera (CCD)

- → Vision Tools as Edge Finder
- → Higher Resolution than SD



Rejects based on pixel distance measurement.



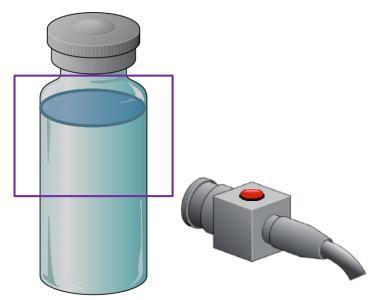


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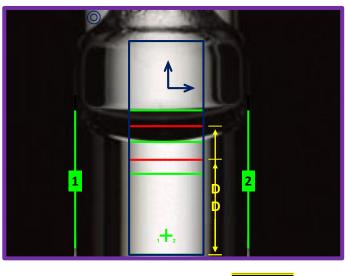
Fill Level Detection

Camera (CCD)

- → Vision Tools as Edge Finder
- → Higher Resolution than SD



Rejects based on pixel distance measurement.



Acceptance Criteria 220<



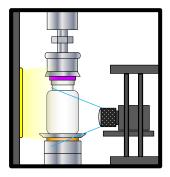
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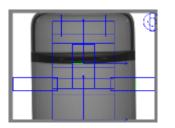
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Cosmetic Inspection

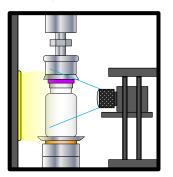
The body of the container its divided in different zones, and independent cameras are dedicated to inspect these different areas. Using servo drives its possible to inspect a 360° of the body.

Lower Body



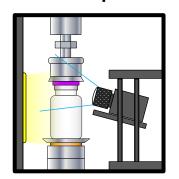


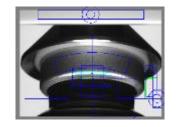
Higher Body



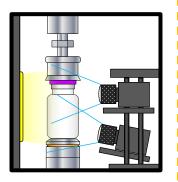


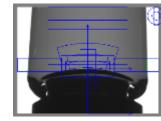
Crimp





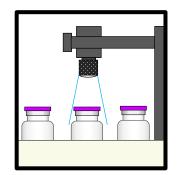
Neck & Heel

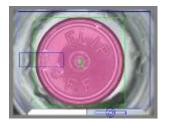


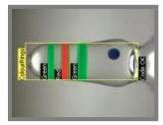




Cap/Band Color









Due to the container average volume, the nature materials of construction and its physical shape, creates a lot of challenges to automate the inspection.

Flexible body

Can generate false rejects produce by shadows and light variance.

Oval Shape

Spinning of the container don't produce a fluid vortex movement.

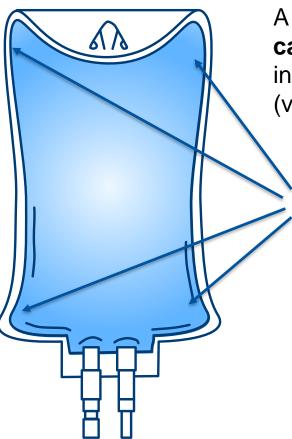
Pre-printed

The container normally has information printed which difficult the inspection process.

Large Volume

A large volume requires a bigger inspection area.





A consistent liquid vortex cannot be created as easy in the rigid tubular containers (vials, syringes, etc.)

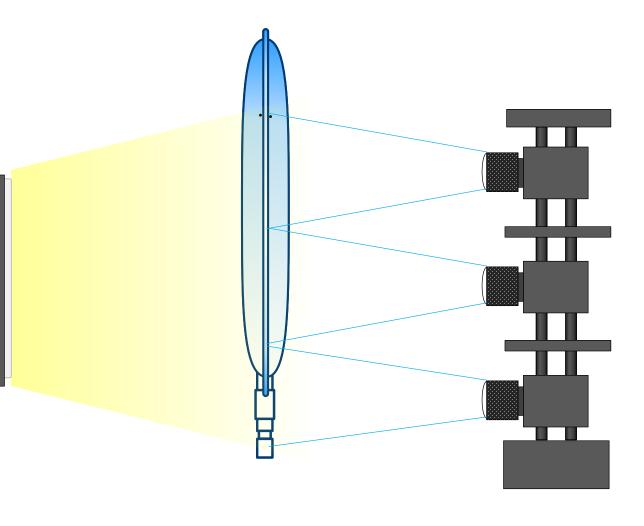


Fluid slow moving areas.

Revolving agitation do not produce a standard fluid movement.

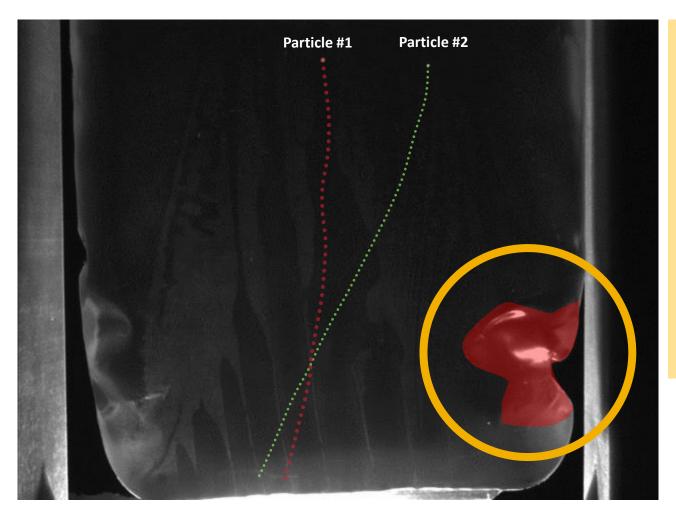






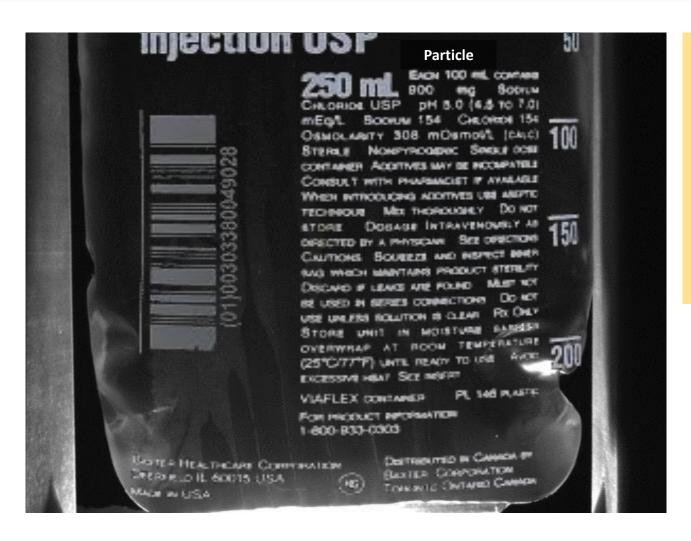
flipping movement similar to the one done in the manual inspection provide process can particle movement. But it will also generate physical variability in the bag itself due to the solution mass that movement, can inspection cause some noise in the image subtraction process.





Through the inspection any solution movement can produce light flares, shadows and/or changes on the physical dimensions on the container that could confuse the image substation algorithm.





Printed information, makes it even more difficult to apply the image substation, and requires a lot of image post processing to filter or mask the printed information out.



Summary: Automated Visual Inspection Constraints

Types of Products that CANNOT be Inspected.

Products

- × Light Sensitive Products
- × Lyo Cake
- Opaque Solutions
- × Extremely High Viscous Products
- Agitation Susceptible (spin cycle)

Containers

- Metallic Containers
- Opaque Container

Some biological products experience shear-induced agglomeration, so care should be taken with regard to agitation of these products.



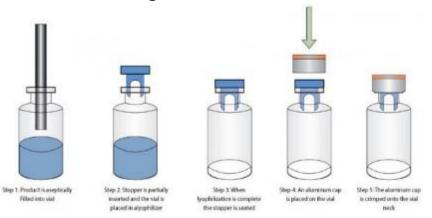
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Lyophilization: Particle Inspection Challenges

Lyophilization or freeze drying is a process in which water is removed from a product after it is frozen and placed under a vacuum, allowing the ice to change directly from solid to vapor without passing through a liquid phase.



The moving particle detection is not possible in freeze-dried, lyophilized products, because the particulates are steady and hidden inside the solidified Lyo cake, in addition any high spinning cycle could damage the cake.



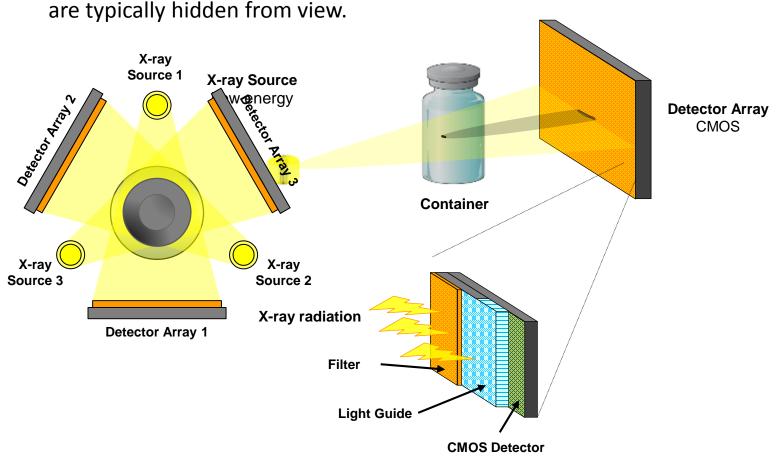
Inspection Guides > Lyophilization of Parenteral (7/93) - FDA



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Automated X-Ray Inspection

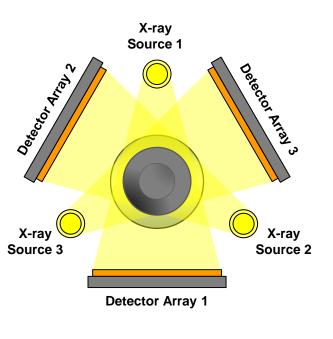
Technology based on the same principles as vision automated inspection. But It uses X-rays as its source, instead of visible light, to automatically inspect features, which



USPE.

Automated X-Ray Inspection

Technology based on the same principles as vision automated inspection. But It uses X-rays as its source, instead of visible light, to automatically inspect features, which are typically hidden from view.



Verification of correct needle alignment 14 h/c condition and correct needle alignment 15 h/c correct needle alignment 15 h/c correct needle alignment 14 h/c correct needle alignment 15 h/c



Particles must be more dense than the container or medium inspected. Plastic, glass and fibers may not work.

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CONTAINER INTEGRITY INSPECTION

Content

- Package Integrity
- Principles of High Voltage Leak Detection (HVLD)
- System Block Diagram
- Detection Process
- Voltage Reference Adjustment
- Buddy Reject Effect
- Detection Constraints



<u>Sterile Product Packaging – Integrity Evaluation <1207></u>

Package integrity is defined as a package's ability to *prevent product loss*, maintain *product sterility*, and in some cases, prevent oxygen ingress or maintain sub-atmosphere headspace pressures.

- •Leakage beyond inherent leakage rate is caused by:
 - Poor assembly
 - Component defects

Maximum Allowable Leakage Limit (MALL)

is that smallest gap or leak rate that puts product quality* at risk (sometimes called the 'critical leak')

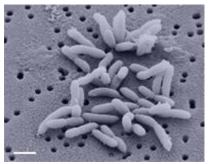
Dana Guazzo, PhD, RxPax, LLC



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Smallest leak to allow ingress determination

Lee Kirsch, et al, PDA J Pharm Sci & Technol, Vol. 51, No. 5, 1997



108 to 1010 P. diminuta From: MicrobeWiki

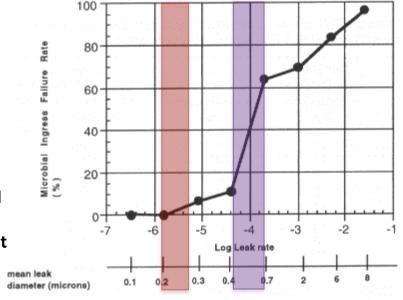


E. Coli From Wikipedia

- Glass micro-pipettes through wall of stoppered glass vial
 - 0.1 to 10µm diameter (Sized via helium mass spec)
- Microbial challenge by immersion + liquid tracer element
- Challenge conditions
 - Water bath immersion 60°C 2hr, then 25°C 1hr
 - 24 hr immersion, ambient pressure

Ingress Risk Dropped: Log -3.8 sccs (Leak < ~1µm)

No Ingress: Log -5 to -5.8 sccs (Leak ~0.3 to 0.2µm)



Most bacteria are $0.2~\mu m$ in diameter and $2-8~\mu m$ in length. The three basic bacterial shapes are coccus (spherical), bacillus (rod-shaped), and spiral (twisted), however pleomorphic bacteria can assume several shapes.



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Study Author	Challenge medium	Challenge microbe	Challenge path	Challenge conditions	Threshold path size
Kirsch JPDA '97-'99	Liquid	P. diminuta E. coli	Glass micro-pipette 0.3 µm	Airlock elimination step + 24 hr ambient	0.3 μm
Keller J Applied Pkgg Res 2006	Aerosol	P. Fragi	Nickel micro-tube	Varied: -20 kPato +20 kPa 4 to 37°C	5 μm
Burrell JPDA 2000	Liquid	E. Coli	Poly-coated glass micro-tube	ISO closure reseal: 30 min 22"Hg + 30 min ambient	10 µm

"Critical leak" threshold ranged from 0.3 to 10µm

Dana Guazzo, PhD, RxPax, LLC



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Test Method

Deterministic: the leakage event is based on phenomena that follow a *predictable* chain of events, and leakage is *measured* using *physicochemical technologies* that are readily *controlled* and *monitored*, yielding objective *quantitative data*.

Probabilistic: its stochastic in nature in that it relies on a series of sequential and/or simultaneous *events* each associated with *uncertainties*, yielding *random outcomes* described by probability distributions.

Deterministic methods

- Electrical Conductivity and Capacitance (HVLD)
- Laser-Based Gas Headspace Analysis
- Mass Extraction
- Pressure Decay
- Tracer Gas Detection, Vacuum Mode
- Vacuum Decay

Probabilistic methods

- Bubble Emission
- Microbial Challenge, Immersion Exposure
- Tracer Gas Detection, Sniffer Mode
- Tracer Liquid



LEAK INSPECTION METHODS

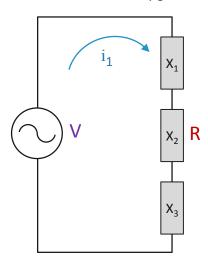
HIGH VOLTAGE LEAK DETECTION (HVLD)

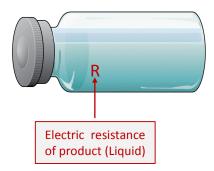


Detection Circuit

The High Voltage Leak Detection (HVLD) is based on the Ohms Law and the principle of a high voltage spark-test system and is capable of handling any product as long as the container is made of electrically insulated material such as glass, rubber, plastic or plastic film, and contains an electrically conductive solution.

Note: V normally goes from 18 to 24 kV 500Hz





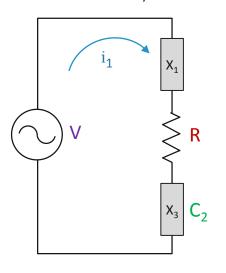


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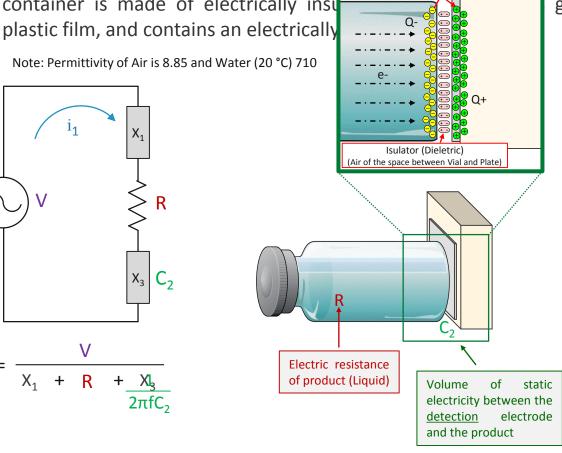
Detection Circuit

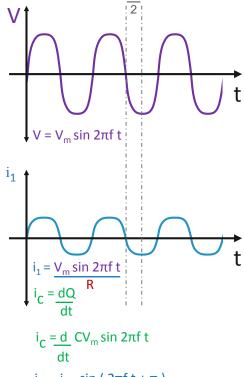
The High Voltage Leak Detection (HVLD) is based on the Ohms Law and the principle of a high voltage spark-test system and is y product as long as the **Conductive Surfaces** (Bottom of Container & Electrode Metal plate) container is made of electrically insu glass, rubber, plastic or

Note: Permittivity of Air is 8.85 and Water (20 °C) 710



$$i_{m} = \frac{V}{X_{1} + R + \frac{XI_{3}}{2\pi fC_{2}}}$$





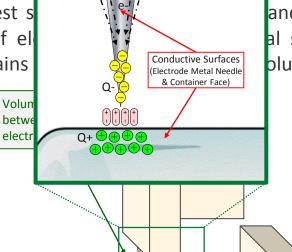
$$i_{C} = \frac{d}{dt} CV_{m} \sin 2\pi f t$$

$$i_{1} = i_{m} \sin (2\pi f t + \pi)$$

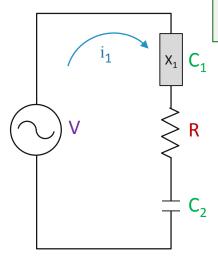


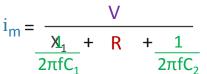
Detection Circuit

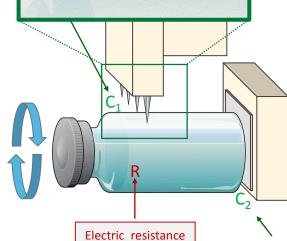
The High Voltage Leak De high voltage spark-test s container is made of el plastic film, and contains



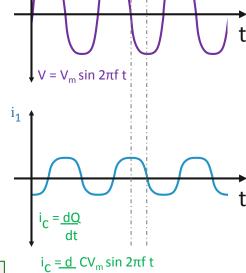
the Ohms Law and the principle of a andling any product as long as the al such as glass, rubber, plastic or olution.







of product (Liquid)



$$i_1 = i_m \sin(2\pi f t + \frac{\pi}{2})$$



of

electricity between the

static

electrode

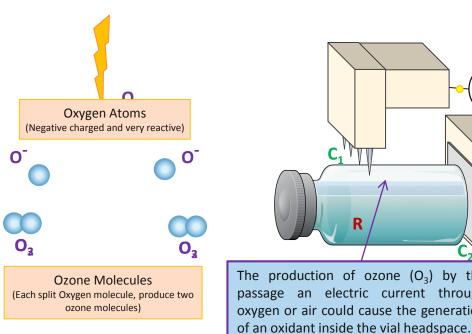
Volume

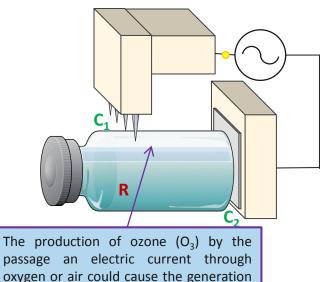
detection

and the product

Detection Circuit

The High Voltage Leak Detection (HVLD) is based on the Ohms Law and the principle of a high voltage spark-test system and is capable of handling any product as long as the container is made of electrically insulated material such as glass, rubber, plastic or plastic film, and contains an electrically conductive solution.





Good Containers

The value of the current i, will have similar levels in a population of N good sealed containers.

The variables are maintained, thus the only source of a possible fluctuation is the distance of the gap between the electrodes and the containers body.

$$C = \frac{K*Eo*A}{d}$$

Eo = 8.854×10^{-12} C²/N.m² (Electric Constant)

K is the material dielectric constant.

A is the surface area of the points.

d is the distance between the electrode and the container.

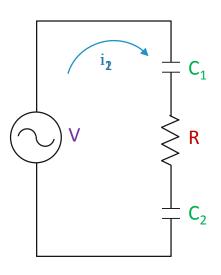


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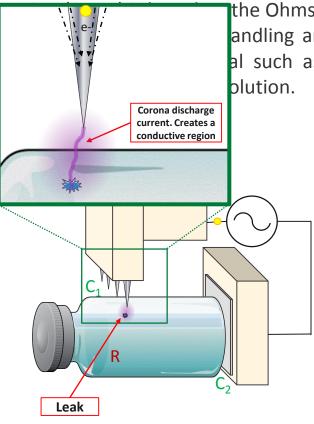
Principles of HVLD

Detection Circuit

The High Voltage Leak De high voltage spark-test s container is made of elplastic film, and contains



$$i_2 = \frac{V}{\frac{1}{2\pi fC_1} + R + \frac{1}{2\pi fC_2}}$$



the Ohms Law and the principle of a andling any product as long as the al such as glass, rubber, plastic or

Leaking Containers

With a constant voltage being applied to the container, a defective container will have a larger electric current volume i_2 than a container with no leak present i_1 . The difference of the electric current volume determines whether the seal is defective.



 $i_2 > i_1$



Principles of HVLD

Concern exists surrounding the use of HVLD for biopharmaceuticals due to the possible degradation caused by the ozone attacking the proteins.

- Ozone attack is generally directed towards aromatic rings
- Histidine displays reactivity to ozone, due to the presence of an imidazole ring, which is in agreement with the Hospira study when oxidation of the imidazole ring in the drug product occurred

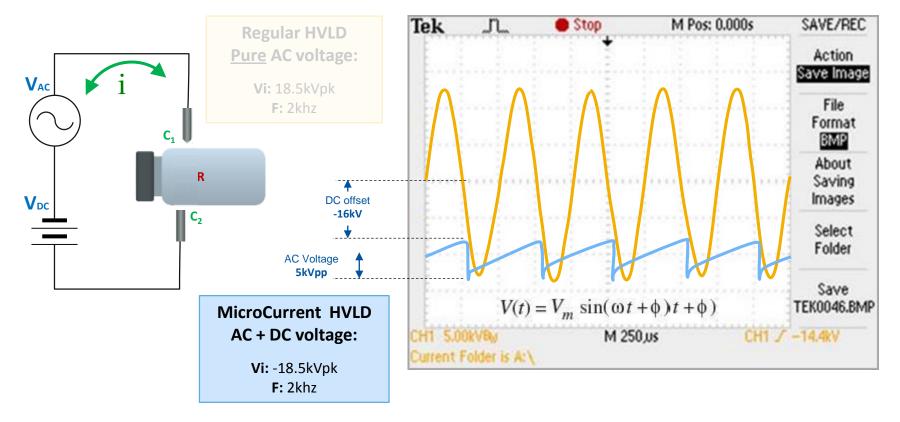
The effect of ozone on histidine:

McGinley, C. M., et al. Poster: Degradation of Drug Product Caused by a Leak Detection Instrument: Mechanistic Studies of Degradation. Hospira, Inc., Lake Forest, IL 60045.



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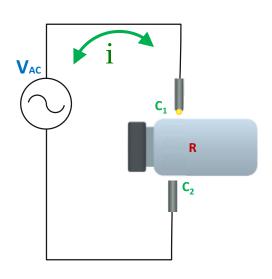
This new technology applies less than **50% of the voltage** used with conventional high voltage technologies and the product its exposed to less than a **5% of the voltage exposure** experienced when testing with comparable HVLD solutions.



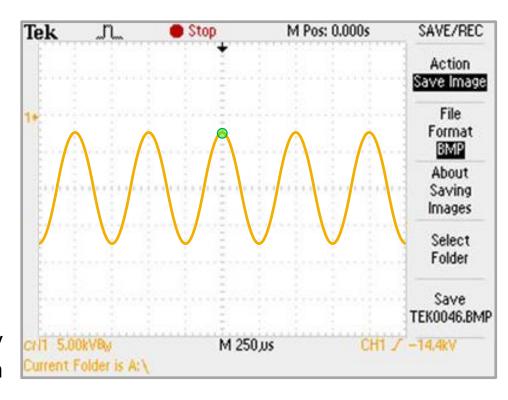


Standard HVLD (AC Voltage)

The regular High Voltage Leak Detection System, can only inspect aqueous solutions with a conductivity value of over 1.2µS cm².



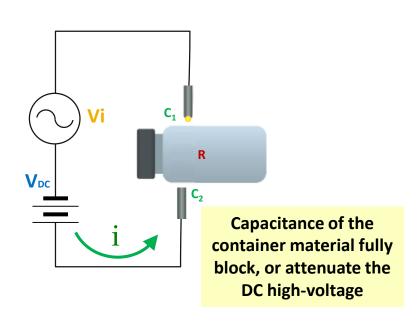
In a regular HVLD system the polarity of its electric field **alternates** with frequency of the AC high voltage, during an inspection.



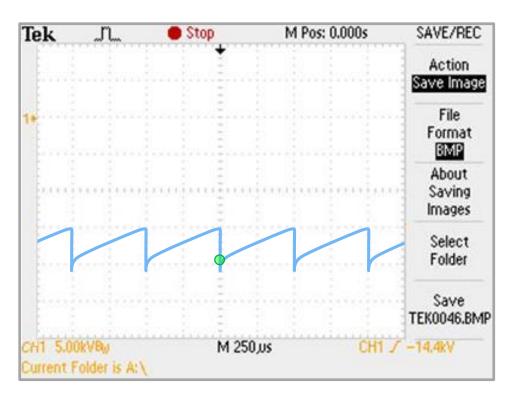


MicroCurrent HVLD (DC Voltage Offset)

The nature of this solution allows the testing of packages with extremely low conductivity liquids such as sterile water (WFI).



In a MicroCurrent HVLD system the polarity of its electric field **does not** alternate.



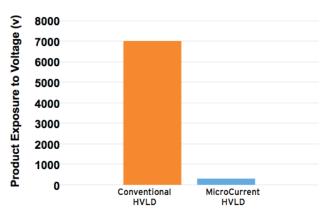


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The MicroCurrent applied to the product during the test greatly reduces the voltage exposed to the product and environment.

Exposure Voltage		
Conventional HVLD	MicroCurrent HVLD	
7,000 V	300 V	
4.3%		







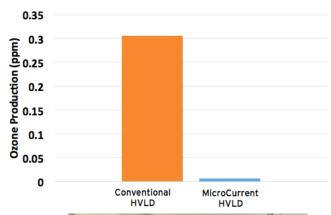
Source: PTI / Packaging Technologies and Inspection



The MicroCurrent applied to the product during the test greatly reduces the voltage exposed to the product and environment.

Ozone Production		
Conventional HVLD	MicroCurrent HVLD	
0.305 ppm	0.006 ppm	
2.0%		



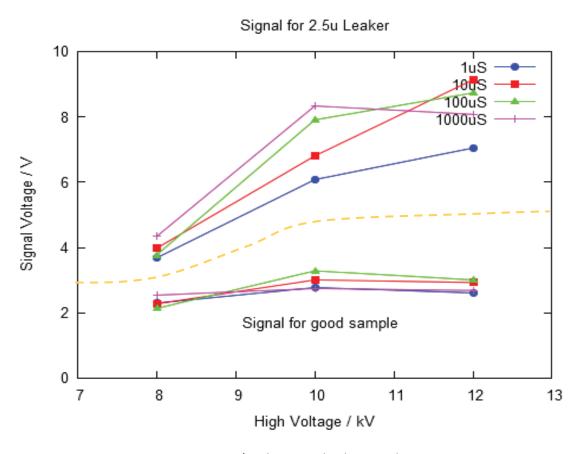




Source: PTI / Packaging Technologies and Inspection

SPE.

The nature of this solution allows the testing of packages with extremely low conductivity liquids such as sterile water (WFI).



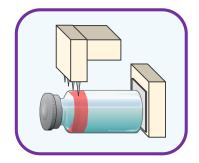
Source: PTI / Packaging Technologies and Inspection



PTI E-Scan 655		Method Comparison using a leak detector with Standard HVLD and a MicroCurrent HVLD		
		HVLD	MicroCurrent HVLD	
	Product safety	7,000 Volts was exposed to product inside the vial directly when 18.5kV high voltage was used for inspection.	Only 300 Volts was measured inside the vial filled with product when -18.5kV voltage was used for inspection.	
	Ozone production	0.305ppm ozone was produced during 1min @ 18.5kV high voltage	0.004ppm ozone was produced during10min @ -18.5kV voltage	
	Sensitivity	The ratio of the signal levels for syringes with and without defect was 5.3V/3.5V=1.5 @ 12kV high voltage	The ratio of signal levels for syringes with and without defect was 7.7V/2.2V=3.5 @ -10kV high voltage	

Source: PTI / Packaging Technologies and Inspection

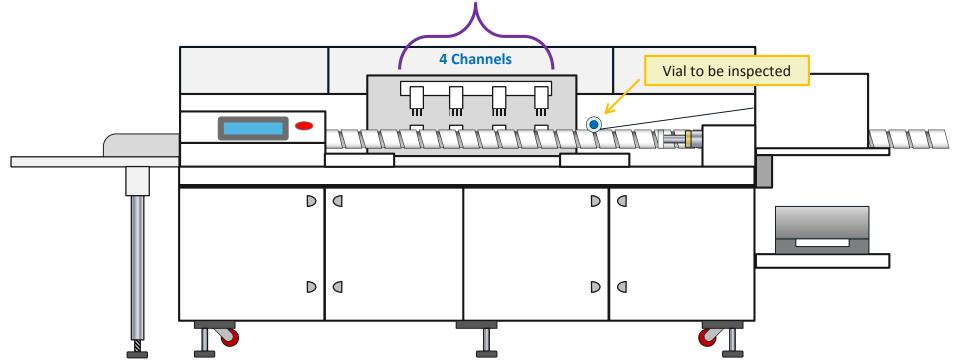


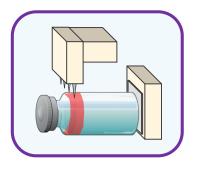


Station 1 (CH 1)
Shoulder of the Vial

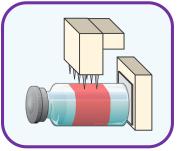


All containers are inspected at specific areas referred to Channels (4). Each one has a different electrode configuration and will produce an individual Inspection Signal Value (data point).

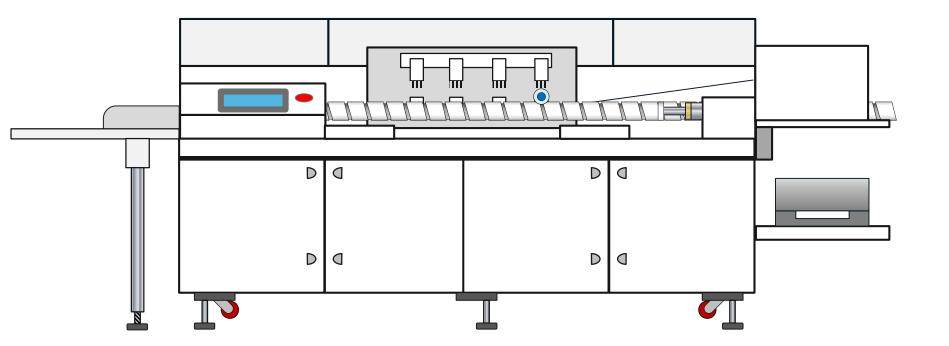


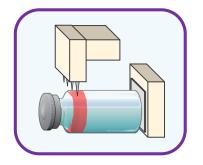




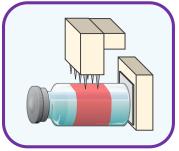


Station 2 (CH 2)
Entire sidewall (body) of the vial

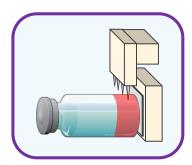




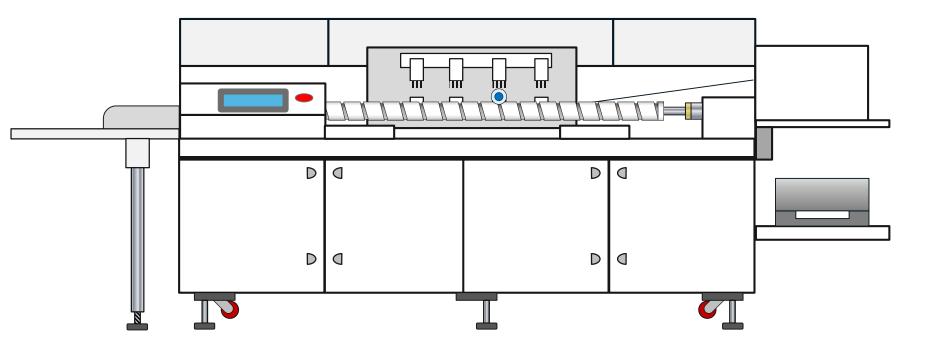
Station 1 (CH 1)
Shoulder of the Vial

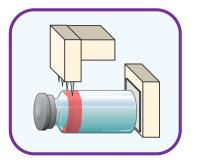


Station 2 (CH 2)
Entire sidewall (body) of the vial

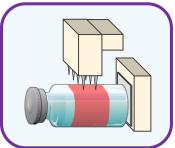


Station 3 (CH 3)
Bottom / Heel of the vial

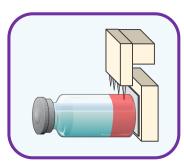




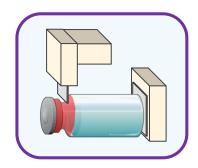
Station 1 (CH 1)
Shoulder of the Vial



Station 2 (CH 2)
Entire sidewall (body) of the vial

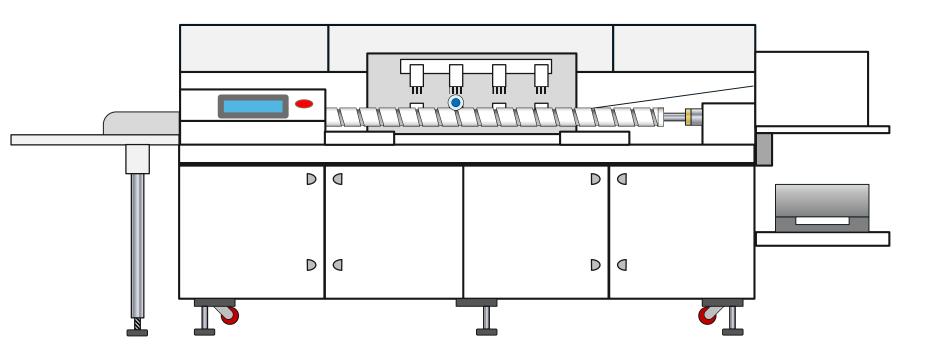


Station 3 (CH 3)
Bottom / Heel of the vial

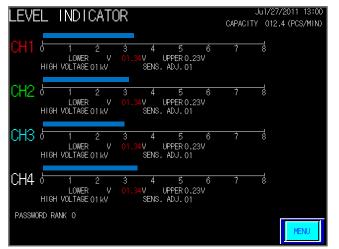


Station 4 (CH 4)

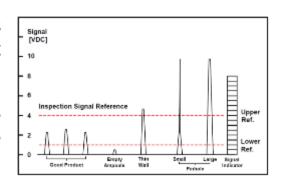
Neck, seal and cap/closure of the vial



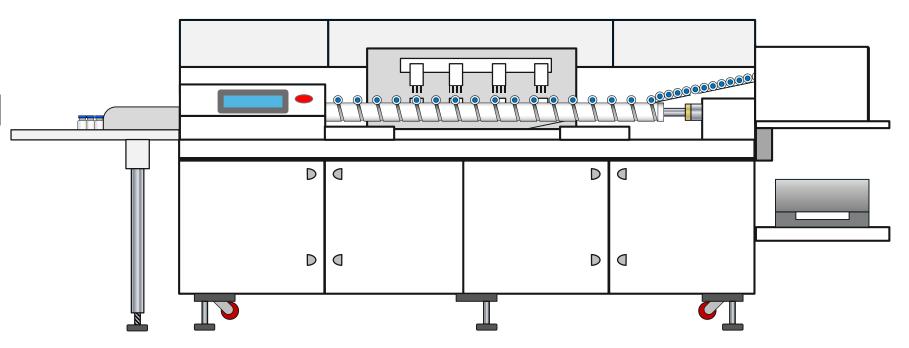
Voltage Reference Adjustment



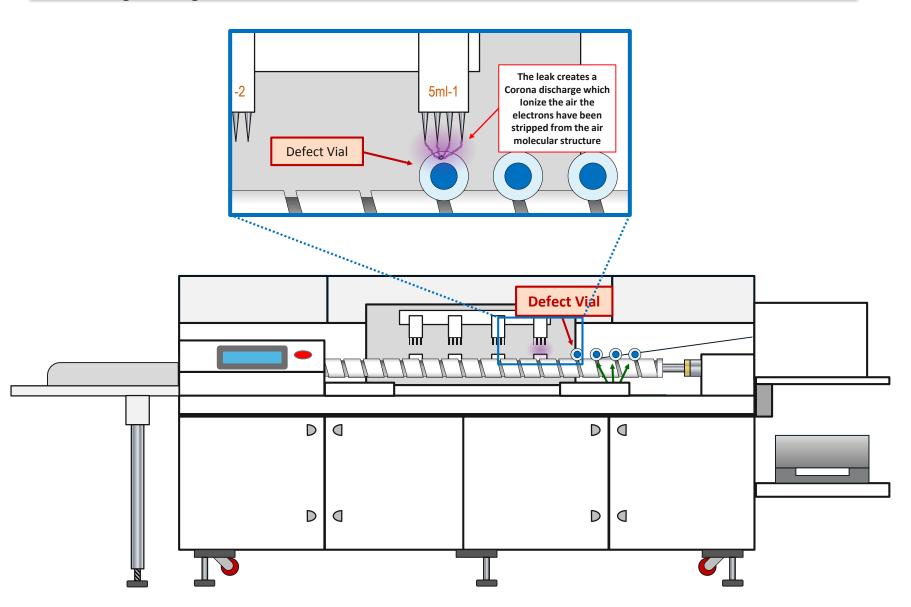
The vial inspection parameters are routinely setup by running sixteen (16) "good" vials through the machine based on the signal generated the machine automatically determines the initial Upper Reference (voltage).



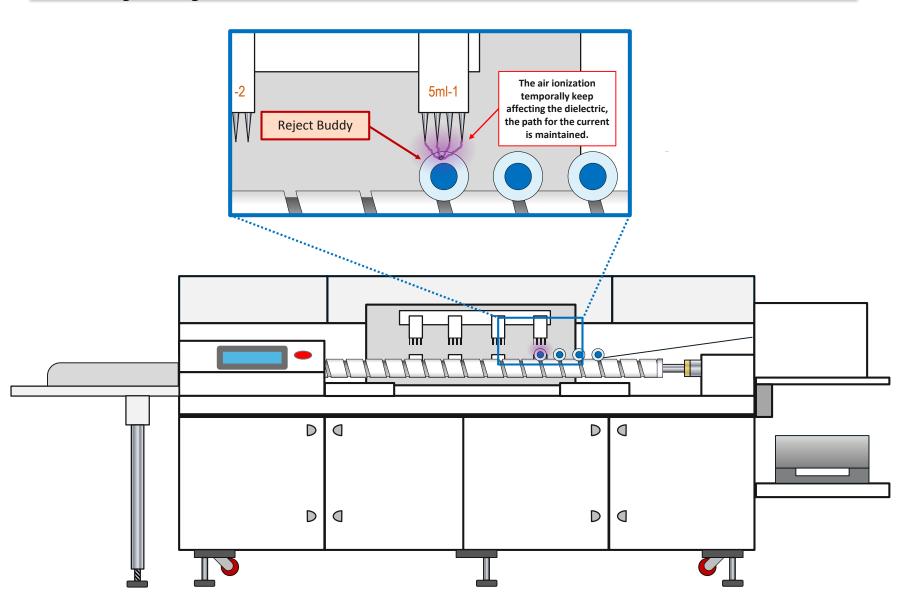
Upper Reference = Average of 16 good product signals + GAP **Lower Reference** = 1V (Lower Reference Set key-switch is ON) OV (Lower Reference Set key-switch is OFF)



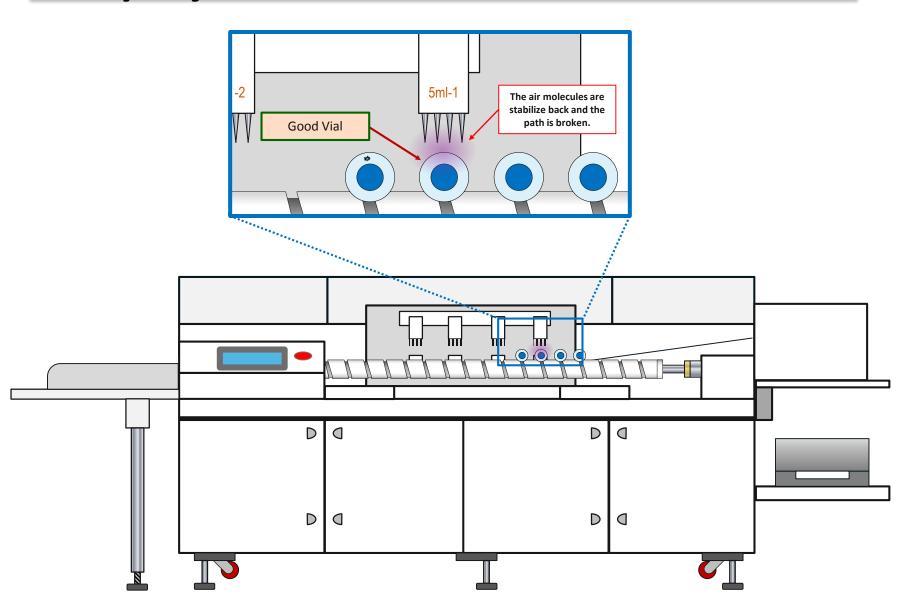
Buddy Reject Effect



Buddy Reject Effect



Buddy Reject Effect



Summary: HVLD Contraints

Types of Products that CANNOT be Inspected

Products

- × Aqueous solutions with a conductivity value of less than 1.2μS cm²
- Oil Based Products
- × Flammable Products
- Products with > 25% Alcohol Content
- Many Gel Products
- X Oxidant Sensitive Solutions

Containers

- Metallic Containers
- × Aluminum Induction Sealed Bottles
- Metalized Film Material

When testing oxygen sensitive products, It is important that method development tests verify that the equipment setup and exposure to electricity will not cause ozone build-up that can result in oxidation.



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LEAK INSPECTION METHODS

PRESSURE & VACUUM LEAK DETECTION (P/V)



Theory Behind Automated Inspection Technologies.

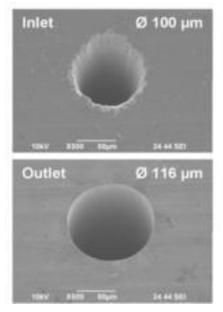
Content

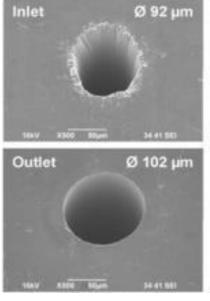
- Pressure Leak Detection Methods
 - Pressure Decay
 - Differential Pressure
 - Vacuum Decay
 - LFC (Liquid Filled Container) Method
- Laser Head Space Analysis (HSA)
- Tracer gas (vacuum mode)

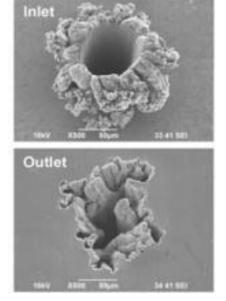


The drilling process produces variability to the topography of the orifice. Sometimes a Certificate of Conformance of the orifice dimension may not be enough to support a qualification process.

The theoretical diameter of a circular hole, that allows a corresponding gas flow, can be calculated.





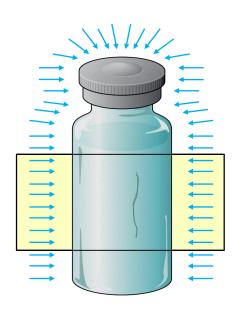


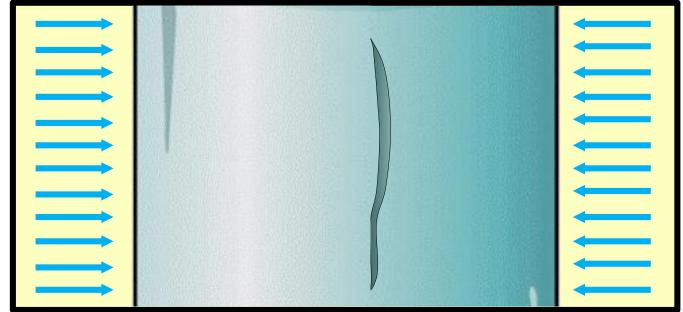
Heat accumulation during pulsed laser materials processing

Rudolf Weber, Thomas Graf, Peter Berger, Volkher Onuseit, Margit Wiedenmann, Christian Freitag, and Anne Feuer



The use of pressure during the leak testing cycle could close or reduce the dimensions of the crack, preventing the detection.

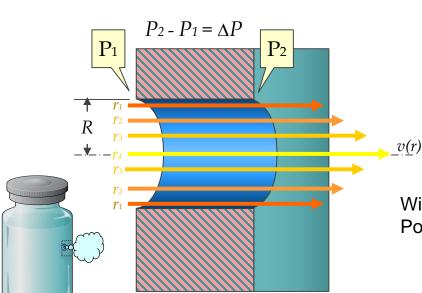






Considering a system under an adiabatic condition and not providing any energy/work to the environment, the gas flow through an opening is calculated as follows:

Due to the viscosity of the flowing media (air) the volume flow through a orifice depends on the length, shape, and the smoothness of the leak conduit. The sketch below shows the volume flow through a circular hole according to Hagen-Poiseuille.



$$v(r) = \frac{\Delta P}{4.11.1} (R^2 - r^2)$$

With η = dynamic viscosity of air at a known temperature

The lower the γ value the faster the air flow

$$v(r_4) > v(r_1)$$

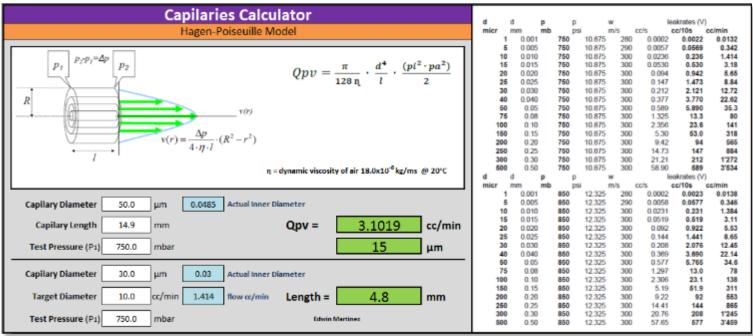
With a airflow considered laminar, the Hagen-Poiseuille relation can be used.

$$Qpv = \frac{\pi}{128 \, \eta} \times \frac{d^4}{l} \times \frac{(pi^2 - pa^2)}{2}$$





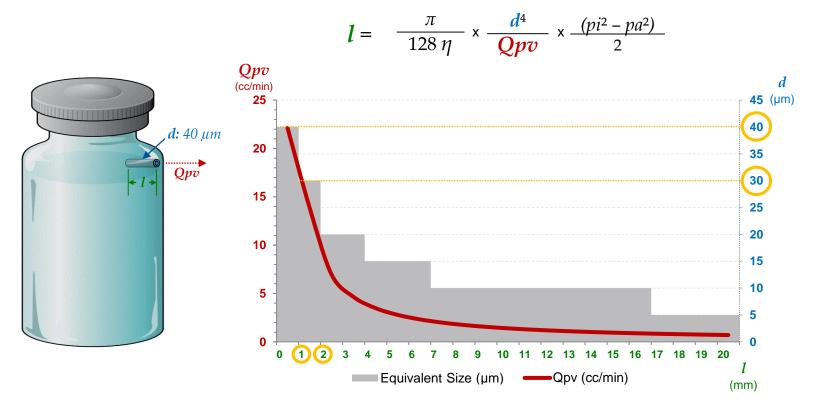
Capillary or micropipettes are cut according to a calculated length and then glued into the container, it always has to be verified that the airflow passing through the conduit is in accordance with the target hole diameter. This method requires an experience user for avoiding any blockage of the capillary as well as a good closure around the capillary.



Length
calculator
developed for
a validation
process using
micropipettes

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Capillary or micropipettes are cut according to a calculated length and then glued into the container, it always has to be verified that the airflow passing through the conduit is in accordance with the target hole diameter

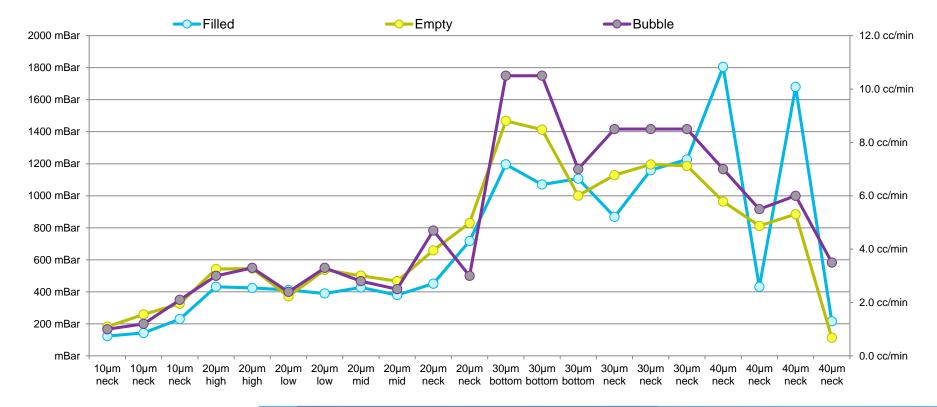








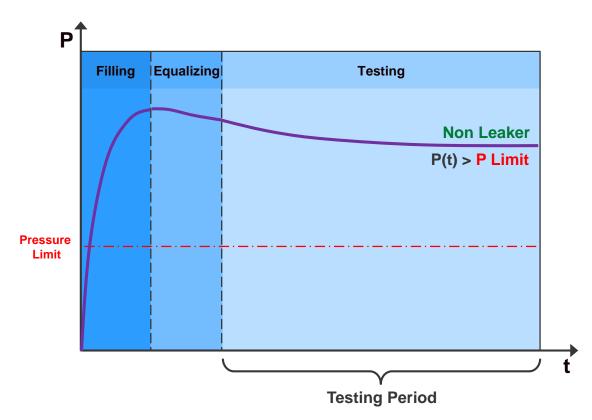
A visual comparison between the curves representing the results of the (3) different measuring approaches, a similar response can be observe on the domain of these tree (3) waves.

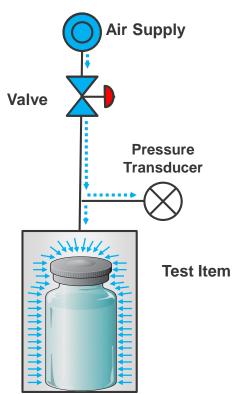




Test Chamber Pressure Decay Method

In the pressure-decay method for leak testing, a item is pressurized, the test circuit is isolated. A transducer reads the pressure change, and the pressure drop associated with a minimum accepted pressure limit.



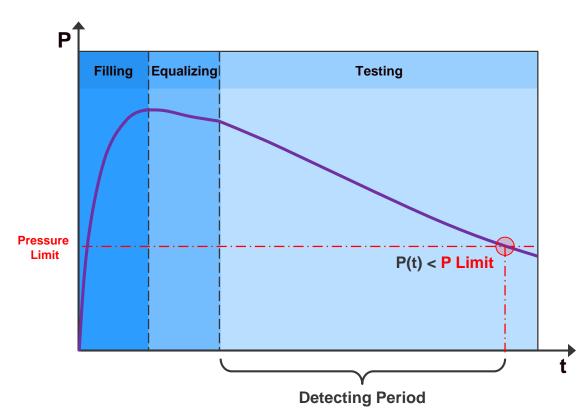


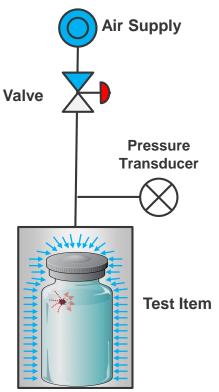


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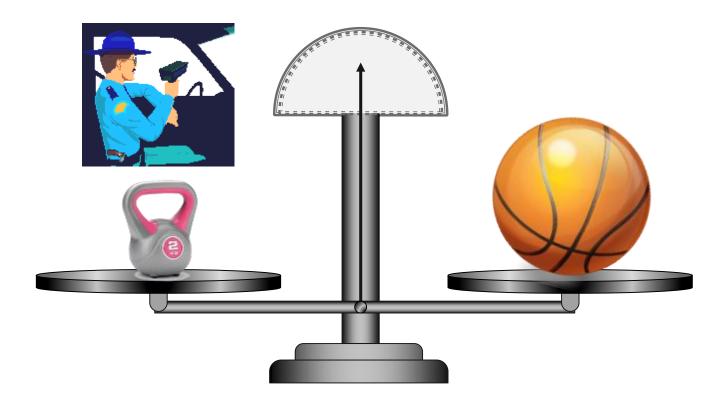




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Differential Measurement

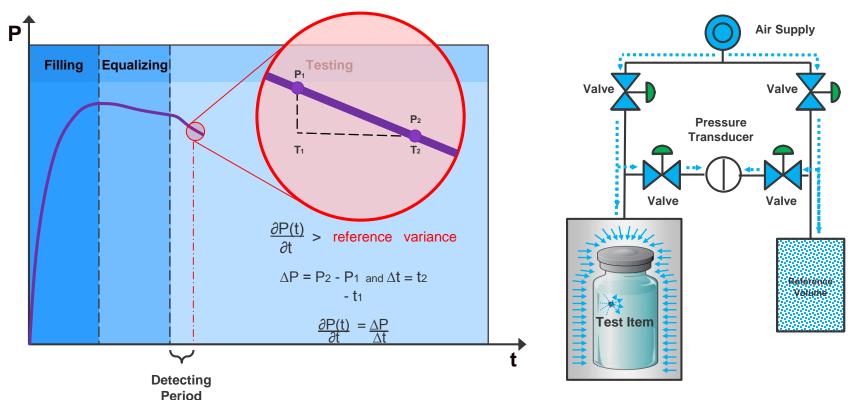
The differential pressure type air leak tester uses the same principle as the balancing scale. The same air pressure is charged to both the test subject and the reference with no leak and the change in pressure balance within a fixed time is checked for the presence of a leak.





Differential Pressure

Testing involves pressurizing a reference volume along with a test part. The pressure differential between the non-leaking reference volume and the test item is then measured by a transducer over time. This method requires measuring pressure at **two points in time** to obtain a **pressure change** reading. It is an indirect method of measuring leakage rate because the time and pressure data must be converted into **leakage rate**.



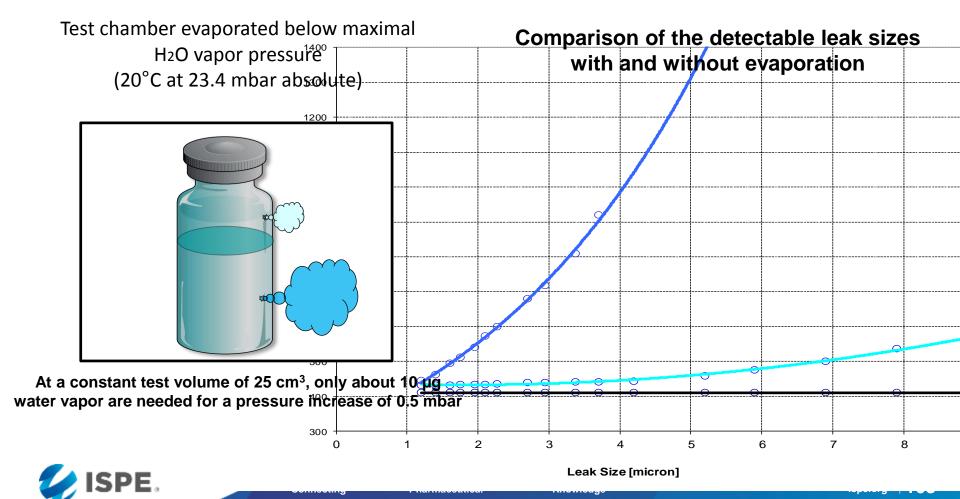


Theory Behind Automated Inspection Technologies.

LFC (Liquid Filled Container)

Functional Principle

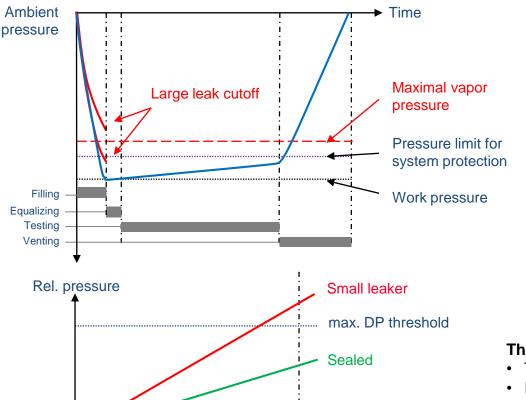
At room temperature 20°C (68°F) water evaporates at 23.4 mbar (17.3 mmhg) absolute pressure. The vapor volume causes a sharp pressure increase inside the test chamber.

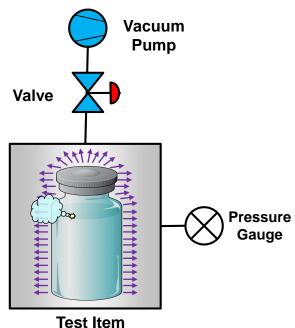


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Functional Principle

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Things to consider using LFC method:

- The product has to be dry on the outside
- If the liquid becomes high in viscosity (oil-based) it may not vaporize anymore

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min. DP threshold

Time

Knowledge

Summary: P/V Inspection Constraints







10 μm^1 (Risk of closing a leak by pressing the stopper against it. Depending on Vial/Stopper combination.)

10 μm¹

10 μm^1 The headspace volume is impacting the detectability of gross leaks. The smaller the head space the more difficult it is to detect gross leaks by using the P/V method.

10 μm¹ (needs to mitigate the force generated by the weight and viscosity of the solution volume)

10 μm¹ (suction force could crystalize the solution at the leak and block the flow)

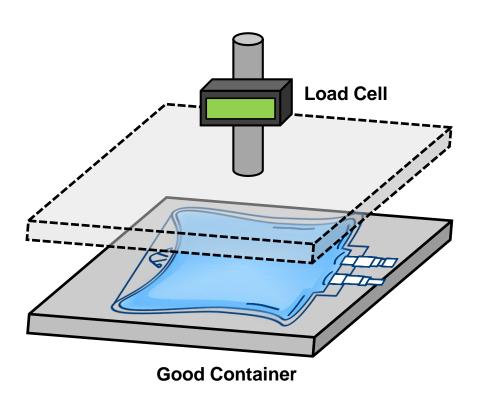
- Product may **clog defects masking their presence**. This is especially a concern with proteinaceous solutions, but has been observed with simple salt solutions as well.
- During the qualification the detection is normally tested using laser drilled holes due to the challenge to detect some type of cracks.
- Because of potential product effects, validation of test methods should employ product-filled positive controls (with defect packages).
- Exposure of defective packages to vacuum **can draw product into the test chamber** and test system. A plan to address such contamination must be developed (beyond simply 'drying out' the chamber with vacuum).
- 1 Based on manufacturer information and preliminary studies.
- 2 By increasing the testing time, the limit of detection can be improved to leak sizes <u>smaller 10 microns</u>. If for any reasons pressure or vacuum closes a leak (e.g. a crack with different layers), this cannot be detected.

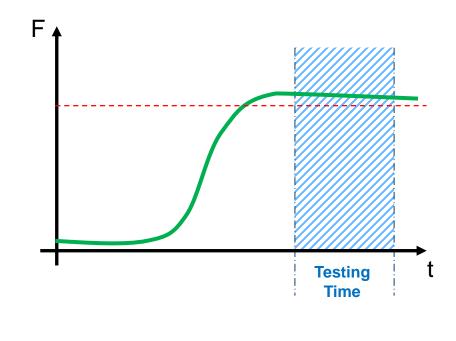


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A force sensor measures the expansion force of the flexible container.

The force of the bag is measured with a force measuring system and by analyzing the curve shape of the force a fine leak can be identified.



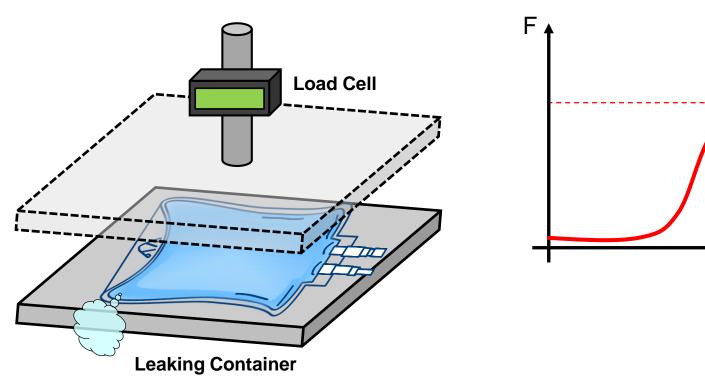


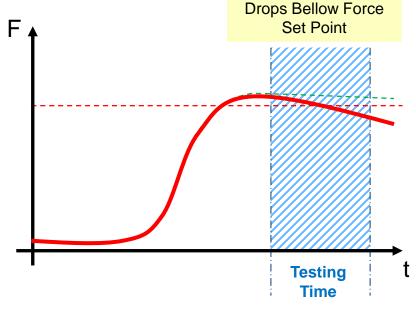


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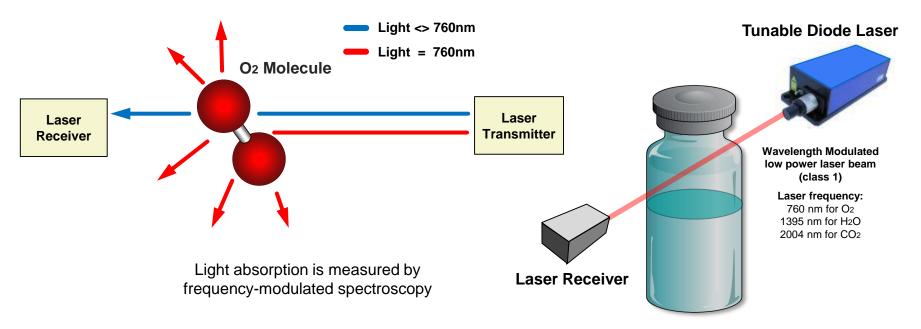






Head Space Analysis (HSA)

Upon test start, frequency-modulated spectroscopy is used to cause a near-infrared (IR) diode laser light to pass through the gas headspace region of the sealed test sample. Light is absorbed as a function of **gas concentration** and **pressure**. The absorption information is processed using phase-sensitive detection techniques; a mixer demodulates the signal. The output voltage, which is proportional to the absorption line shape, is digitally converted and further analyzed by a microprocessor, yielding test sample signal results.

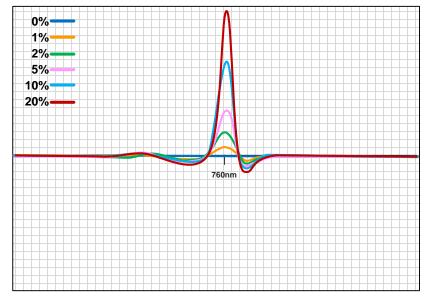




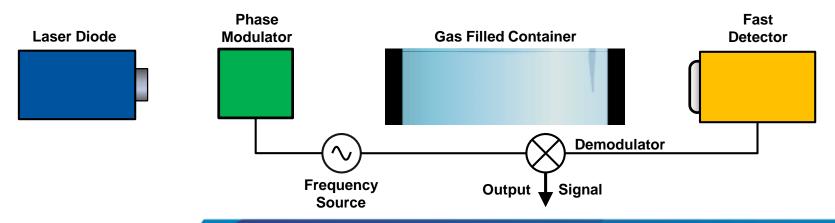
Leak Detection Methods

Head Space Analysis (HSA)

- Measuring of oxygen concentration or gas total pressure in sealed containers.
- The technology used is the Laser absorption spectroscopy (TDLAS)
- Used for verification of nitrogen gassing efficiency, vacuum efficiency and Container Closure Integrity testing



Light absorption is measured by frequency-modulated spectroscopy



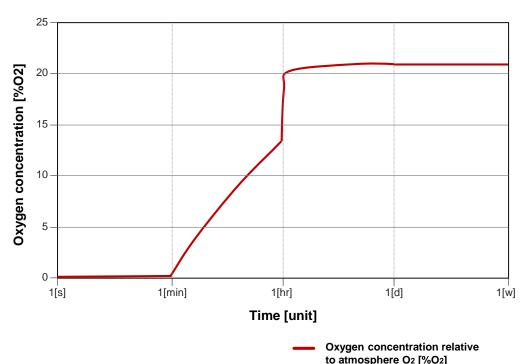
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Leak Detection Methods

Head Space Analysis (HSA)

- Measuring of oxygen concentration or gas total pressure in sealed containers.
- The technology used is the Laser absorption spectroscopy (TDLAS)
- Used for verification of nitrogen gassing efficiency, vacuum efficiency and Container Closure Integrity testing



Sample:

- 10ml Vial
- 10 micron leak
- 300 mbar N₂



SPE.

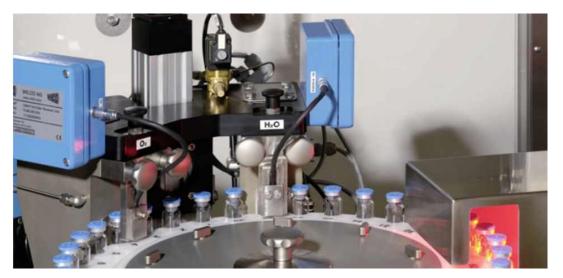
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Leak Detection Methods

Summary: Head Space Analysis (HSA) Constraints

With laser-based methods, **single test point** on-line test results may or may not be related to leakage. Loss of headspace may be the result of **improper headspace flushing/vacuum**, or due to **poor stopper insertion** prior to final aluminum seal application, for example.

The **headspace pressure** affects the percentage of **gas molecules** inside the head space volume, thus on-line laser based testing isn't a leak test method that is readily linked to a defect size.

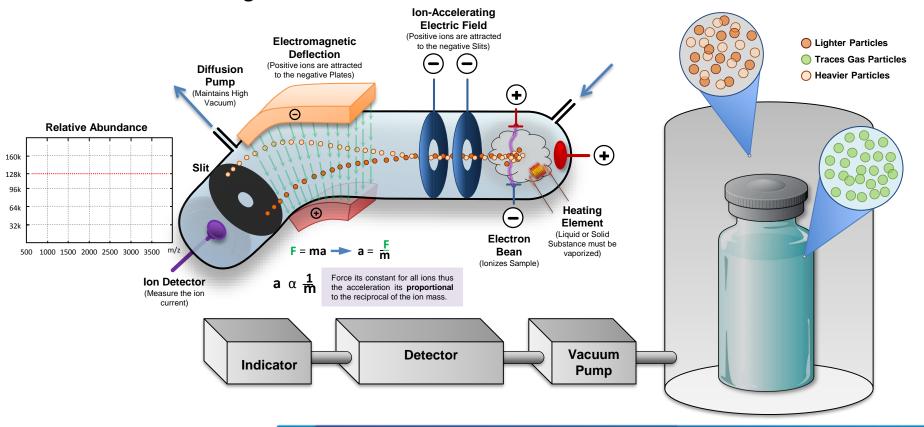




Tracer gas (vacuum mode)

Functional Principle

The container headspace its filled with a tracer gas (ex: Helium) and placed in a chamber connected to spectroscopic analyzer. A vacuum pump drawn the gas into the analyzer which measures the gas mass flow rate.

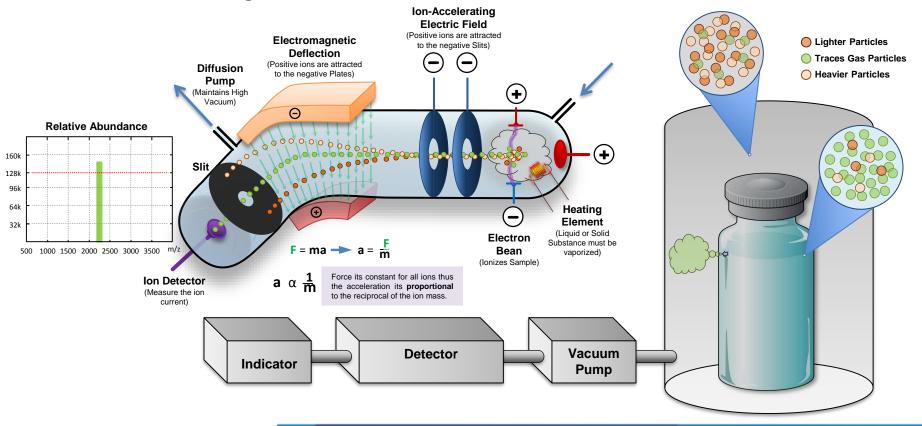




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QUALIFICATION STRATEGIES.

Theory Behind Automated Inspection Technologies.

Content

- Seeded Defect Test Sets
- Manual Inspection Qualification
- Knapp Studies
- Pre-Determined Acceptance Level



Seeded Defect Test Sets

- Seeded Defect Test Sets are critical to the qualification of a visual inspection program; manual and automated
- Comprehensive range of units for defects the process is designed to detect; including possible types and sizes of that particular defect category and are typical of the process/container configuration
- Defect Test Sets should include both good units and defect units
- Defect units can be collected from the Production process (i.e. rejected units) or can be prepared in a controlled environment



Seeded Defect Test Sets

Below are examples of Defect units

Particulate Defects				
Material	Shape	Size (microns)		
Stainless Steel	Amorphous	100 through 1000		
Glass Sphere	Spherical	100 through 1000		
Glass Shard	Amorphous	100 through 1000		
Elastomer Material	Amorphous	100 through 1000		
Light Colored Fiber	Fiber/String	500 through 2000		
Dark Colored Fiber	Fiber/String	500 through 2000		
Integrity Defects				
Defect Types	Location	Size		
Small Cracks	Bottom, Heel, Sidewall, Barrel, Shoulder, Neck	1/16" to 1/8"		
Medium Cracks	Bottom, Heel, Sidewall, Barrel, Shoulder, Neck	1/8" to 1/4"		
Large Cracks	Bottom, Heel, Sidewall, Barrel, Shoulder, Neck	> 1/4"		
Laser Drilled Pin Holes	Bottom, Heel, Sidewall, Barrel, Shoulder, Neck	5 μ through 40 μ		
Closure Defects	Neck/Tip Opening	Missing stopper, overseal, or tip		



Manual Inspection

- The Qualification of an Automated Visual Inspection Process usually starts with a Manual Visual Inspection Program
- Manual Visual Inspection is generally considered the baseline to compare the performance of the Automated Process
- A Manual Visual Inspection Program should include a phase approach that may include Defect Recognition Training, Defect Qualification, and On the Job Training
- A Manual Visual Inspection Program should utilize a white background; black background; illumination between 2000 and 3750 lux; and specific procedures to manipulate and view the container



Knapp Studies

- A method to establish a baseline for the performance of manual inspection (for comparison to automated inspection) is the Knapp Studies
- There are different views of Knapp Studies, but generally they include:
 - A Seeded Defect Test Set (normally just for Particulates)
 - Qualified Inspectors
 - Multiple Inspection per Inspectors
 - Simulated Production Environment
- Probability of Detection (POD)
 - Per original Knapp Reject Zone Defects (i.e. 70% to 100%) should be utilized for comparisons
 - Other approaches may utilize Gray Zone Defects (i.e. 31% to 69%) and Accept Zone (i.e. 0% to 30%)



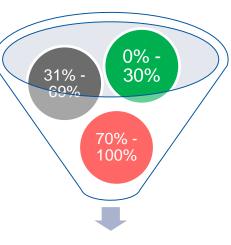
Knapp Studies



SEEDED DEFECT TEST SET



QUALIFIED INSPECTORS



PROBABILITY OF DETECTION (POD)



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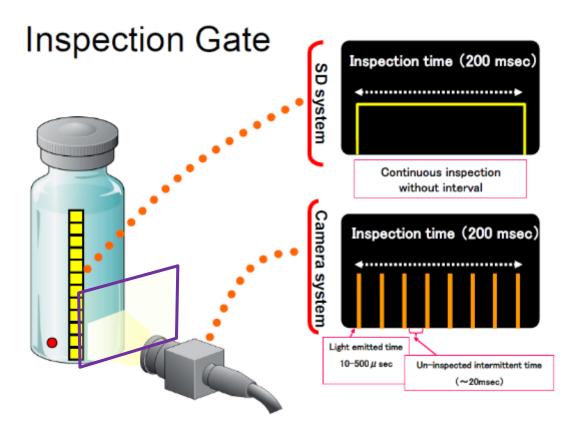
Pre-Determined Acceptance Level

- Another approach for Qualification is to utilize a pre-determined acceptance level (i.e. 100%, 90%, 80%, etc.)
- This approach maybe better suited for integrity defects. Many of the aforementioned technology can accurately and repeatedly detect integrity defects.
- For particulate defects, a pre-determined acceptance level could be utilized but may be more challenging for the qualification process.



THEORY BEHIND AUTOMATED INSPECTION TECHNOLOGIES.
SUMMARY

Summary: Particle Inspection Methods Comparison



- ✓ Continuous Inspection
- √ Faster Response
- ✓ Self contain
- × Narrow Inspection View
- × Color Blind
- ✓ Larger Inspection Area
- ✓ Highly Customizable
- ✓ Color Detection
- × Sampling Time
- × Complex Configuration
- × Limited Particle Size*

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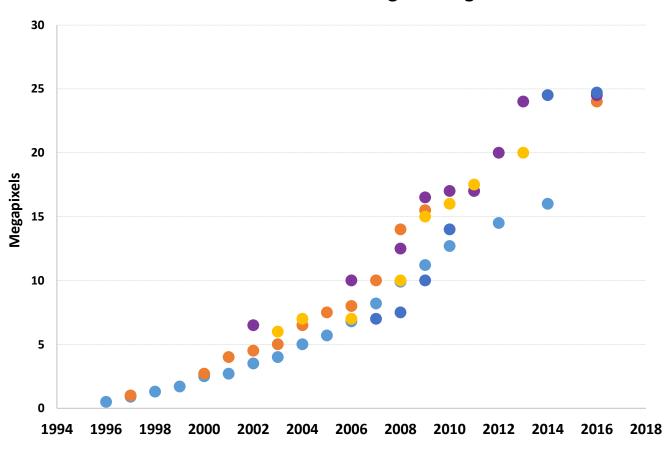
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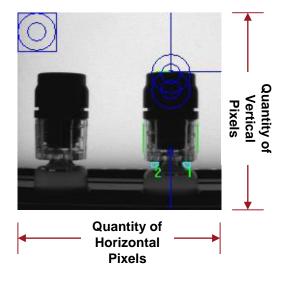
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Knowledge

Summary: Camera Inspection

Evolution of the Resolution of Digital Image Sensors





Resolution = **H**pixels **X V**pixels

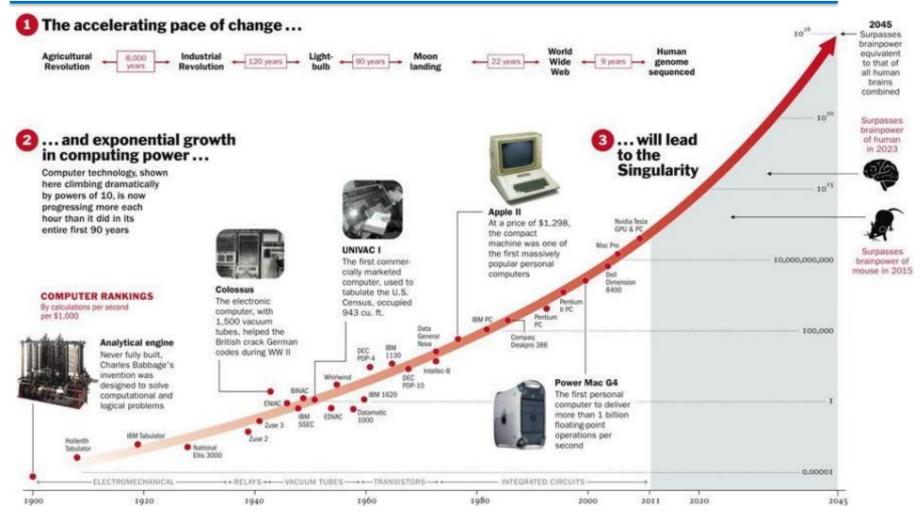
Example:

 $2,400px \times 3,000px = 7,200,000$

7.2 Megapixels



Summary: Camera Inspection



Accelerating Peace of Change Ray Kurzweil, Singularity Institute



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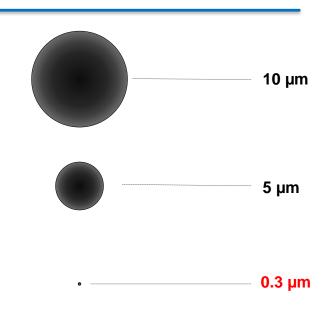
Summary: Camera Inspection



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Summary: Leak Inspection Capabilities

Method	Off-Line	In-Line
Electrical Conductivity and Capacitance	2-5 μm	5-10 µm
Pressure Decay	2-5 μm	8-10 µm
Vacuum Decay	2-5 μm	8-10 µm
LFC (Liquid Filled Container)	2-5 μm	5-10 μm
Laser-Based Gas Headspace Analysis	0.1 µm	2-5 µm
Tracer Gas Detection, Vacuum Mode	<0.01 µm	
Mass Extraction	2-5 μm	



- None of the in-line methods presented, can detect a leakage from a orifice of 0.3 µm in size.
- The packaging development; the testing and qualification activities should use appropriated test methods to challenge the detection of leakages on the 0.3 µm range.
- The In-line testing methods offer means to monitor your process and detect leaks on the range of 8 μm and up, commodity defects or that could be produced during the transport or the process.



Summary: Implementation



Track and Trending

Monitor the infeed and outfeed process.

- Adjust detection parameters to respond to the latest process defect trends.
- Reduce scrap rates by adjusting tools based on historical data analysis.





Acknowledgements

John G. Shabushnig, Ph.D. Insight Pharma Consulting, LLC

"Particulate Matter Definitions"

Michael Wiggenhorn, PhD. Coriolis PharmaService GmbH

"Complementary analytics needed to cover the size range"

Thomas Reisinger, et al. "Poisson's spot with molecules."

Physical Review A 79.5 (2009): 053823. (C) 2010 The American Physical Society.

Equipment Images and Technical Information Thanks to:













THEORY BEHIND AUTOMATED INSPECTION TECHNOLOGIES

THANKS