Optimization of chemical cleaning for reverse osmosis membranes with organic fouling using statistical design tools

Ki-Bum Park¹, Changkyoo Choi¹, Hye-Weon Yu¹, So-Ryong Chae², In S. Kim^{1†}

¹Global Desalination Research Center (GDRC), School of Earth Sciences and Environmental Engineering, Gwangju Institute of Science and Technology (GIST), Gwangiu 61005, Republic of Korea

ABSTRACT

The cleaning efficiency of reverse osmosis (RO) membranes inevitably fouled by organic foulants depends upon both chemical (type of cleaning agent, concentration of cleaning solution) and physical (cleaning time, flowrate, temperature) parameters. In attempting to determine the optimal procedures for chemical cleaning organic-fouled RO membranes, the design of experiments concept was employed to evaluate key factors and to predict the flux recovery rate (FRR) after chemical cleaning. From experimental results and based on the predicted FRR of cleaning obtained using the Central Composite Design of Minitab 17, a modified regression model equation was established to explain the chemical cleaning efficiency; the resultant regression coefficient (R²) and adjusted R² were 83.95% and 76.82%, respectively. Then, using the optimized conditions of chemical cleaning derived from the response optimizer tool (cleaning with 0.68 wt% disodium ethylenediaminetetraacetic acid for 20 min at 20°C with a flowrate of 409 mL/min), a flux recovery of 86.6% was expected. Overall, the results obtained by these experiments confirmed that the equation was adequate for predicting the chemical cleaning efficiency with regards to organic membrane fouling.

Keywords: Chemical cleaning, Design of experiment, Organic fouling, Reverse osmosis membrane

1. Introduction

Reverse osmosis (RO) membrane-based technologies are now widely being used for producing potable and ultra-pure water from wastewater, seawater, and surface water rather than using conventional water treatment processes because the energy consumption of the RO process is much lower and the operational safety is higher [1-4].

However, fouling is inevitable in RO processes, which causes a flux reduction, high energy demand, and increases membrane damage and the need for replacement. As such, the productivity and lifetime of RO membranes are severely limited by the fouling [5-7]. For this reason, periodic membrane cleaning is an integral part of the successful operation of RO processes. There are two general methods for the removal of foulants: physical cleaning and chemical cleaning. Physical cleaning includes backflushing, vibration, air sparging, automatic sponge ball cleaning, and ultra-sonication [8]. Foulants can also be efficiently eliminated using various chemical cleaning agents [9-10], though the cleaning efficiency is strongly influenced by parameters such as temperature, cleaning agent concentration, operating pressure, flowrate, and cleaning time [11].

The operational cost of RO processes can increase due to excessive and frequent membrane chemical cleaning, with membrane cleaning generally accounting for 50% of the total operation costs of seawater desalination plants. Frequent membrane chemical cleaning can also shorten the membrane lifetime [12]; hence, optimized protocols for membrane chemical cleaning must be employed to overcome the drawbacks of RO membrane operation [13].

A full factorial design (FFD) allows multiple factors to be investigated at the same time and enables the more accurate identification of interactions between factors. A central composite design (CCD) is an alternative model for determining the curvature in quadratic terms. Hence, a design of experiments



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† Corresponding author Email: iskim@gist.ac.kr

Tel: +82-62-715-2436 Fax: +82-62-715-2434

ORCID: 0000-0002-6016-5267

²Department of Biomedical, Chemical, and Environmental Engineering, University of Cincinnati, 701 Engineering Research Center, Cincinnati, OH 45221, USA

(DOE) concepts, which is one of response surface methods that seek the relationships between several descriptive variables and response variables, was employed in the study of RO membrane cleaning in order to reduce the number of experimental runs required for determining the effect of changing one factor and thereby optimizing conditions by balancing tradeoffs. Shams et al. [14] revealed that FFD and CCD can be used to find the optimal conditions for the filtrate production of a single 8-inch commercial ultrafiltration membrane with regards to feed pressure, backwash pressure, forward filtration time, and backwash time. In addition, Yi et al. [15] revealed that FFD can be employed to screen significant factors affecting the flux decline of anionic polyacrylamide in ultrafiltration processes. Chen et al. [16] conducted experiments to accurately identify key factors and their interactions in physical and chemical cleanings based on a statistical factorial design.

Sodium alginate was selected as the model foulant to simulate organic fouling on the surface of RO membranes in the presence of Ca²⁺ ions [17-19]. Using the factorial design method, the subsets of key factors identified and interactions that impacted the flux recovery and cleaning efficiency were sequentially used in the CCD to model the response variables with curvature, and a response surface designed experiment was then used to determine the optimal setting for each factor during RO membrane cleaning. The objective of this study was to suggest a methodology for selecting an optimal combination of cleaning agents and chemical/physical conditions for efficient cleaning, using DOE as a statistical design tool. Overall, four key chemical/physical factors impacting the RO membrane cleaning efficiency, as reported in Ang et al. [20] and Garcia-Fayos et al. [11], were selected for use in evaluating multiple factors set at various levels: cleaning agent type, chemical concentration, cleaning time, flowrate, and cleaning temperature.

Due to the variety of cleaning protocols of commercial membranes by manufacturers, optimal conditions of membrane cleaning is difficult to be defined. To figure out the optimal conditions on various membranes, the experiments on membranes for the fouling and cleaning should be accomplished. Hence many researchers have been studying to find out the optimal conditions by an experiment [11, 20]. However, too many variables must be considered to increase the accuracy of the optimal conditions, then, the experiment stage becomes so complicated. So, this research suggests the procedure for finding the optimal condition of reverse osmosis membrane cleaning using DOE method to simplify the experimental stage.

Therefore, this paper discusses differences in the chemical cleaning efficiency between statistically determined optimal chem-

ical cleaning factors obtained by FFD and CCD composite and chemical cleaning factors obtained from membrane manufacturer guidelines. We then confirm whether the DOE method can effectively predict the operational factors for chemical cleaning.

2. Experimental Methods

2.1. RO Membrane

A thin-film polyamide brackish water RO (BWRO) membrane, RE8040-BLR (Toray Chemical Korea, Inc., Korea), was used in this study. The permeate flow rate was determined to be 38.08 L/m²·h (LMH) and stabilized salt rejection was 99.6% under the following standard manufacturer-recommended test conditions: NaCl solution of 1,500 mg/L, pressure of 150 psi (10.34 bar) and temperature of 25°C. BWRO membranes were stored in deionized (DI) water at 4°C prior to each experiment.

2.2. Organic Fouling Matter

Sodium alginate (Sigma-Aldrich, USA), extracted from brown algae, was used as the organic foulant. An organic foulant solution (1 g/L) was prepared by dissolving sodium alginate in DI water and adding 1 mM $CaCl_2 \cdot 2H_2O$ (OCI Company Ltd., Korea) as divalent cations to aggressively form an organic fouling layer on the RO membranes. Sodium alginate was stirred for over 24 h to completely dissolve the foulant.

2.3. Cleaning Chemical Agents

Sodium hydroxide (NaOH) from OCI Company Ltd. (Korea), citric acid, sodium dodecyl sulfate (SDS), and ethylenediaminetetra-acetic acid (EDTA) from Sigma-Aldrich (USA) were used as the chemical cleaning agents.

2.4. Dead-end RO System and Operating Conditions

A lab-scale dead-end filtration system was used to investigate the efficiency of static cleaning for each of the chemical cleaning agents (i.e., NaOH, SDS, EDTA, and citric acid). Prior to cleaning test, dense layer of organic fouling was made by dead-end filtration. In Fig. 1, this filtration system is seen to be comprised of pressure gas, a feed solution reservoir and stirred hot plate, a permeate tank with digital balance, a membrane cell, a pressure meter, and a data-acquisition computer. The water flux (J_w ; LMH) was measured using a digital balance (GF-6100, A&D, USA) and was automatically recorded on a computer. The operating conditions of the dead-end filtration are summarized in Table 1.

Table 1. Operating Conditions in RO Dead-end Filtration System

Operational factors	Description	Note
Effective membrane area (cm²)	19.6	-
Feed solution	1 g/L Sodium alginate (1 L)	Contains 1 mM of CaCl ₂
Dead-end gas pressure (Kgf/cm²)	15.3 ± 0.1	Pressure gas: N_2 (99.999%)
Temperature (°C)	$20~\pm~0.2$	
Static cleaning time (min)	60	At 20°C

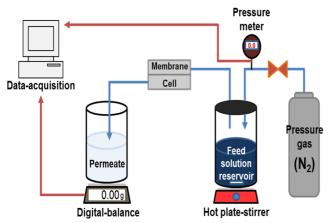


Fig. 1. Schematic diagram of the RO dead-end filtration system.

2.5. Cross-flow RO System and Operating Conditions

To determine the optimum membrane cleaning conditions, a lab-scale RO system in cross-flow mode was applied. In Fig. 2, the feed and cleaning solutions were circulated using gear pumps (Micropump, Cole-Parmer, USA). The hydraulic pressure and flowrate were adjusted using a bypass and pressurization valves. The permeate volume was measured using a digital balance, and the water flux was calculated using a data-acquisition computer. The operating conditions of this system are summarized in Table 2.

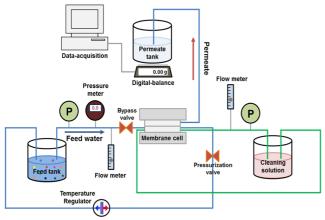


Fig. 2. Schematic diagram of the RO system.

Table 2. Operating Conditions in RO System

Operational factors	Description	Note
Effective membrane area (cm²)	19.3	-
Feed solution	DI water	Measurement of initial flux and flux after cleaning
Cleaning solution	EDTA	0.5 wt% or 2.5 wt%
Hydraulic pressure (bar)	$15~\pm~0.2$	
Temperature (°C)	$20~\pm~0.2$	
Cleaning time (min)	20 or 80	At 20°C or 40°C
Flowrate (mL/min)	100 or 700	-

2.6. Experimental Design Using FFD

FFD has been used to accurately identify interactions between factors and to determine the effects of one factor from several levels; it can reduce the number of experiments required to determine the effects derived from the one factor change [16]. The FFD analyzes the influence of every factor at low (-1), center (0), and high (+1) levels. The specific experimental parameters are shown in Table 3. In terms of cleaning solution, only EDTA was used, according to the experimental results of static cleaning.

Detailed levels at low, center and high levels were determined based on membrane manufacturer guidelines. The curvature effect was investigated while the center point (Ct-Pt) was added to the FFD. Experimental designs of FFD are presented in Table 4. Factorial plots were obtained to reveal the influence of each significant factor, interaction between factors, and curvature effect. Minitab 17 (Minitab Inc., USA) was used for the factorial design analysis.

2.7. Experimental Design Using CCD

CCD was employed to elucidate the curvature effect from the factorial design and establish the quadratic polynomial model. The central point (0) and axial point (α) are added to the FFD. Experimental conditions in the CCD analysis are summarized in Table 5. Eq. (1) of the quadratic polynomial model was obtained using Minitab 17 (Minitab Inc., USA) [21]:

$$\begin{split} Y_{u} &= \beta_{0} + \beta_{1}x_{1u} + \beta_{2}x_{2u} + \beta_{11}x_{1u^{2}} \, \beta_{22}x_{2u^{2}} \\ &+ \beta_{12}x_{1u}x_{2u} + e_{u} \end{split} \tag{1}$$

where Y_u is the predicted response, β_0 is the constant coefficient, x_{1u} and x_{2u} are the linear effect coefficients, x_{1u^2} and x_{2u^2} are the quadratic effect coefficients, $x_{1u}\,x_{2u}$ is the interaction effect coefficient, and e_u is an unobserved random error.

2.8. Organic Fouling and Cleaning Experiments

2.8.1. RO dead-end filtration and static cleaning tests

To determine the cleaning efficiencies of the chemical cleaning agents (i.e., NaOH, SDS, EDTA, and citric acid) on s densely formed organic fouling layer using static cleaning, the organic fouling and membrane cleaning procedures in a dead-end filtration system are as follows: 1) filtrate with DI water (membrane

Table 3. Coded Levels and Independent Factors

Factors	Factor details	Level details				
Factors	ractor details	Low (-1)	Center (0)	High (+1)		
A	Concentration of EDTA (wt%)	0.5	1.5	2.5		
В	Cleaning Time (min)	20	50	80		
С	Temperature (°C)	20	30	40		
D	Flowrate (mL/min)	100	400	700		

Table 4. Experimental Designs of Flux Recovery Rate

1 0 /					Uncoded factors				
Run order	Coded factors								
Kun oruei	A	В	C	D	A (wt%)	B (min)	C (°C)	D (mL/min)	
1	-1	-1	+1	-1	0.5	20	40	100	
2	+1	+1	-1	-1	2.5	80	20	100	
3	-1	+1	-1	+1	0.5	80	20	700	
4	-1	+1	-1	-1	0.5	80	20	100	
5	+1	+1	+1	+1	2.5	80	40	700	
6	+1	+1	-1	+1	2.5	80	20	700	
7	+1	-1	-1	+1	2.5	20	20	700	
8	-1	-1	-1	+1	0.5	20	20	700	
9	-1	-1	-1	-1	0.5	20	20	100	
10	-1	-1	+1	+1	0.5	20	40	700	
11	+1	+1	+1	-1	2.5	80	40	100	
12	-1	+1	+1	-1	0.5	80	40	100	
13	-1	+1	+1	+1	0.5	80	40	700	
14	+1	-1	-1	-1	2.5	20	20	100	
15	+1	-1	+1	+1	2.5	20	40	700	
16	+1	-1	+1	-1	2.5	20	40	100	
17	0	0	0	0	1.5	50	30	400	
18	0	0	0	0	1.5	50	30	400	

^{*} A: concentration of EDTA, B: cleaning time, C: temperature, and D: Flowrate

conditioning) for 15 min; 2) measure the initial water flux using DI water for 15 min; 3) filtrate with 1 L of 1 g/L sodium alginate containing 1 mM $CaCl_2$, then, it can gain the 80 mL of permeate water; 4) perform static cleaning with each chemical agent at 30°C for 1 h; 5) measure the water flux after static cleaning for 15 min, and 6) calculate the cleaning efficiency using Eq. (2).

Flux recovery ratio (FRR, %) =
$$\left(\frac{J_{wc}}{J_{wi}}\right) \times 100$$
 (2)

where J_{wi} is the initial water flux, and J_{wc} is the water flux after membrane cleaning.

2.8.2. RO cross-flow filtration and dynamic cleaning test

To find the optimum chemical cleaning efficiency of EDTA on the dense organic fouling layer in cross-flow mode, the steps used to form the dense fouling layer and the membrane cleaning procedure are as follows: 1) filtrate with DI water for 120 min for membrane compaction, 2) measure the initial water flux using DI water for 30 min, 3) filtrate with 1 L of 1 g/L sodium alginate containing 1 mM CaCl₂, then, it can gain the 75 mL of permeate water, 4) perform cross-flow cleaning with EDTA solution using DOE experimental conditions, 5) measure the water flux after cleaning for 15 min, and 6) calculate the cleaning efficiency using Eq. (2).

^{*} Values of center level are provided by the membrane manufacturer, and values of low and high levels are fixed by authors to analyze the FFD.

Table 5. Experimental Design of Central Composite Design

D.m. o	Coded factors					Uncoded factors				
Run order	A	В	C	D	A (wt%)	B (min)	C (°C)	D (mL/min)		
1	-1	+1	+1	+1	0.5	80	40	700		
2	-1	-1	-1	+1	0.5	20	20	700		
3	-1	0	0	0	0.5	50	30	400		
4	0	0	0	0	1.5	50	30	400		
5	+1	+1	+1	+1	2.5	80	40	700		
6	+1	+1	-1	+1	2.5	80	20	700		
7	0	0	-1	0	1.5	50	20	400		
8	+1	0	0	0	2.5	50	30	400		
9	+1	+1	+1	-1	2.5	80	40	100		
10	-1	+1	-1	+1	0.5	80	20	700		
11	0	-1	0	0	1.5	20	30	400		
12	0	0	0	-1	1.5	50	30	100		
13	+1	-1	-1	+1	2.5	20	20	700		
14	-1	+1	-1	-1	0.5	80	20	100		
15	+1	-1	-1	-1	2.5	20	20	100		
16	0	0	0	0	1.5	50	30	400		
17	+1	-1	+1	-1	2.5	20	40	100		
18	-1	-1	-1	-1	0.5	20	20	100		
19	0	0	+1	0	1.5	50	40	400		
20	0	+1	0	0	1.5	80	30	400		
21	0	0	0	0	1.5	50	30	400		
22	-1	-1	+1	-1	0.5	20	40	100		
23	-1	+1	+1	-1	0.5	80	40	100		
24	0	0	0	+1	1.5	50	30	700		
25	-1	-1	+1	+1	0.5	20	40	700		
26	+1	-1	+1	+1	2.5	20	40	700		
27	+1	+1	-1	-1	2.5	80	20	100		

^{*} A: concentration of EDTA, B: cleaning time, C: temperature, and D: Flowrate

3. Results and Discussion

3.1. Static Cleaning Test; Normalized Water Flux (J_w/J_0) and Flux Recovery Ratio (FRR)

In this study, four different classes of cleaning agents were used to clean the organic-fouled RO membrane, including: acid solution (citric acid), alkaline solution (NaOH), metal chelating agent (EDTA), and surfactant (SDS). To clean the organic-fouled membranes, acid solutions were used to dissolve metallic oxides and hydroxides and carbonates, whereas alkaline solutions are applied to remove organic matter by hydrolysis and solubilization. Metal chelating agents remove divalent cations from the complexed organic molecules. Surfactants that have both hydrophilic and hydrophobic groups can solubilize macromolecules by forming micelles around them and help to improve

the cleaning efficiency of the fouled membrane.

Prior to optimizing the chemical cleaning conditions by using DOE, static chemical cleaning tests were conducted in order to select which chemical agent is most suitable to remove organic fouling formed through the operation of an RO dead-end filtration system, reducing the overall number of experimental sets and runs. Fig. 3 shows the changes in water flux when the RO filtration was operated to form organic fouling on the membrane surface, static chemical cleaning using four different agents for 1 h, and recovery of the water flux. From the FRR (%) of each chemical agent (NaOH, citric acid, SDS, and EDTA), 1 wt% of EDTA displayed the best cleaning efficiency of 69.04% (± 2.4) compared to the other cleaning agents. From a previous study [17-19], the efficiency of chemical cleaning depends on whether the chemical agent can break down the bonding between alginate and Ca²⁺ when Ca²⁺ exists in the organic fouling layer. By the

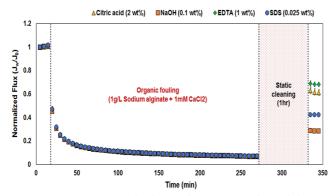


Fig. 3. Comparison of normalized water fluxes for static chemical cleaning.

same token, EDTA, a metal chelating agent, can be combined with divalent cations such as Ca^{2+} , to break down the bonds

between alginate and Ca^{2+} [12]. Therefore, EDTA was chosen as chemical agent to clean the fouled-RO membrane, and DOE was then used to optimize the cleaning parameters in this study.

3.2. FFD in RO Cross-flow Filtration System

A multi-factor experiment (FFD) using DOE was carried out at three levels per factor, for every possible combination of the other factors and their levels using four chemical/physical cleaning factors on cleaning agent type: chemical concentration, cleaning time, flowrate, and cleaning temperature (Table 4). By defining the factors and levels, full factorial experiments that study all paired interactions can be economic and practical to reduce the number of experimental runs.

Fig. 4 illustrates the significant impact of each factor, their interaction, and center point with regards to water flux recovery

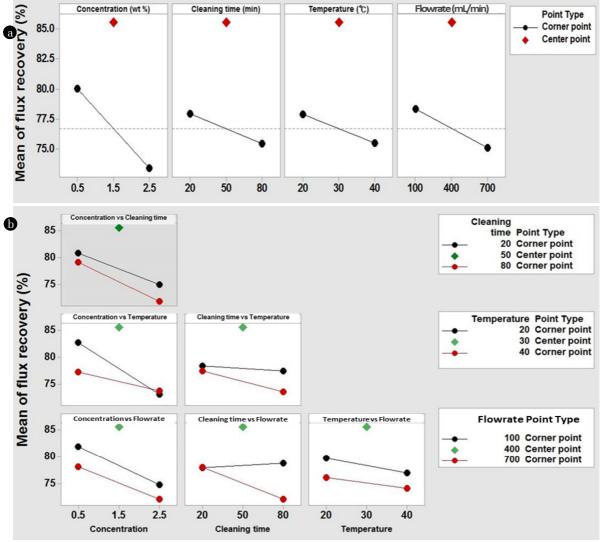


Fig. 4. (a) Main effects plots and (b) interaction plots of the flux recovery response (%).

Table 6. Regression Analysis of Variables after Stepwise Implementation

Term	Coefficient	P-value
Constant	76.709	0.000
Concentration of EDTA (A)	-3.306	0.000
Cleaning time (B)	-1.236	0.015
Temperature (C)	-1.200	0.017
Flowrate (D)	-1.617	0.005
Concentration of EDTA (A) vs. Temperature (C)	1.561	0.006
Concentration (A) vs. Flowrate (D)	0.293	0.431
Cleaning time (B) vs. Temperature (C)	-0.728	0.087
Cleaning time (B) vs. Flowrate (D)	-1.694	0.004
Temperature (C) vs. Flowrate (D)	0.205	0.575
Concentration (A) vs. Temperature (C) vs. Flowrate (D)	-0.685	0.102
Cleaning time (B) vs. Temperature (C) vs. Flowrate (D)	-0.909	0.045
Ct Pt	8.83	0.000

and cleaning efficiency. All independent factors show a negative slope, which means that the flux recovery at a low-level is higher than at a high-level. In other words, low-level conditions for all factors have an advantage in restoring the water flux. In particular, the chemical agent concentration is seen to be one of the most important variables, showing the largest slope of the factors. A regression analysis was then carried out to quantitatively identify the factors affecting the flux recovery; a p-value of more than 0.1 was treated as the error-term, and a stepwise method was used. Overall, eight factors including combinations of concentration, cleaning time, temperature, and flowrate affecting the flux recovery are summarized in Table 6. From the table, the equation model created by factorial design is deemed unsuitable for determining the optimum chemical cleaning conditions. Furthermore, the center points of all factors are much higher than the linear line between the low and high levels. Since a curvature effect was detected in the FFD, CCD was subsequently performed to model a response variable having curvature.

3.3. CCD in RO Crossflow Filtration System

3.3.1. Regression model equation and analysis by CCD

CCD was used to efficiently estimate the first- and second-order terms and to establish a model equation by adding center and axial points to previously-performed factorial experiments (Table 5). The experimental results and the predicted FRR of cleaning by CCD are shown in Table 7. The following regression equation in coded units was established using statistical software (Minitab 17, Minitab Inc., USA) to explain the efficiency of chemical cleaning.

$$\begin{split} Y_{F\!R\!R} &= 85.48 + 0.57A + 0.0410B - 0.348\,C + 0.0331D \\ &- 2.72A^2 - 0.000036\,D^2 + 0.1561A\,C - 0.000188BD(3) \end{split}$$

where Y_{FRR} , as a dependent variable, is the predicted FRR by

chemical cleaning, and independent variables are the concentration of EDTA (A), cleaning time (B), temperature (C), and flowrate (D). To evaluate the suitability of the regression equation of FRR, Eq. (3) was verified using a coefficient of determination (R²), a value representing whether a regression model fits the experimental data. In addition, an adjusted coefficient of determination (adj-R²) was used to verify the suitability of the model, since the value of R² tends to increase when independent variables are added to the model. The values of R² and adj-R² at a 90% confidence level were 83.95% and 76.82%, respectively. Therefore, the values predicted using the equation present a relatively high correlation with the experimental data.

3.3.2. Residual analysis of CCD regression model equation

A residual analysis, which is the difference between experimental and predicted responses, was performed to verify the model adequacy. Fig. 5 presents the residual plots for error values in CCD. Model adequacy must have the following conditions: 1) the residual should conform to a normal distribution; 2) homoscedasticity, i.e., the residual should be randomly scattered on a center of 0, with no outliers existing on the residual versus fit plot; and 3) independence and stability, which dictate that the relation between the residual and observation order should not be a regular pattern.

Fig. 5(a) illustrates whether the probability plot of the residual follows a normal distribution. Here, the residual was deemed normal since it was linearly distributed between -5 and +5. In addition, the residual and predicted response are randomly scattered within ±4 (Fig. 5(b)); thus, homoscedasticity was identified. Fig. 5(c) shows that the residual is irregularly distributed, regardless of the experimental order, i.e., the residual is unaffected by data order. From these results, the regression model equation of the CCD was determined such that all elements (normality, homoscedasticity, independence, and stability) of the residual were satisfied, and was sufficiently confirmed to explain the CCD.

Table 7. Experimental and Predicted Results of Chemical Cleaning of CCD

Standard order	Concentration of	Cleaning time	Temp.	Flowrate	Fl	Flux recovery (%)			
Standard order	EDTA (wt%)	(min)	(°C)	(mL/min)	Experimental	Predicted	Difference		
1	0.5	20	20	100	83.8415	83.0800	-0.7615		
2	2.5	20	20	100	75.0670	74.1440	-0.923		
3	0.5	80	20	100	87.3112	84.4120	-2.8992		
4	2.5	80	20	100	72.7034	75.4760	2.7726		
5	0.5	20	40	100	77.8976	77.6810	-0.2166		
6	2.5	20	40	100	74.6631	74.9890	0.3259		
7	0.5	80	40	100	78.6486	79.0130	0.3644		
8	2.5	80	40	100	76.4706	76.3210	-0.1496		
9	0.5	20	20	700	81.0888	83.4040	2.3152		
10	2.5	20	20	700	73.6709	74.4680	0.7971		
11	0.5	80	20	700	78.8618	77.9680	-0.8938		
12	2.5	80	20	700	70.7246	69.0320	-1.6926		
13	0.5	20	40	700	80.7163	78.0050	-2.7113		
14	2.5	20	40	700	76.6129	75.3130	-1.2999		
15	0.5	80	40	700	71.7514	72.5690	0.8176		
16	2.5	80	40	700	67.3077	69.8770	2.5693		
17	0.5	50	30	400	78.6301	82.7565	4.1264		
18	2.5	50	30	400	79.0419	76.9425	-2.0994		
19	1.5	20	30	400	80.8219	83.5955	2.7736		
20	1.5	80	30	400	82.0896	81.5435	-0.5461		
21	1.5	50	20	400	82.8169	83.7080	0.8911		
22	1.5	50	40	400	81.5126	81.4310	-0.0816		
23	1.5	50	30	100	79.2553	80.8595	1.6042		
24	1.5	50	30	700	77.3743	77.7995	0.4252		
Center point	1.5	50	30	400	81.5476	82.5695	1.0219		
Center point	1.5	50	30	400	86.0058	82.5695	-3.4363		
Center point	1.5	50	30	400	85.0704	82.5695	-2.5009		

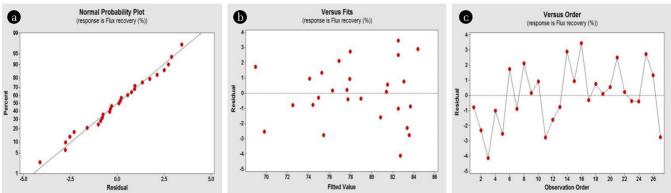


Fig. 5. Residual plots of the model for error values in central composite design: (a) normal probability, (b) scatter plot of residual and predicted values, and (c) scatter plot of residual and order.

3.3.3. Contour plot and response surface plot of CCD

After running a factorial experiment to determine the significant factors, a response surface methodology was applied to determine the optimal conditions for chemical cleaning, using a 2D-contour plot and a 3D-response surface plot. The two plots graphically display the effects of the independent variables and their relationships (dependent variables). In Fig. 6(a), the 2D-contour plots show the impact on flux recovery between independent variables; the range of optimum chemical cleaning conditions for the flux recovery was more than 86%. From these results, the EDTA concentration range was 0.5 mg/L to 1.1 mg/L, the cleaning time was 20 min to 35 min, the temperature was 20°C to 22°C, and the flowrate was 300 mL/min to 500 mL/min.

In Fig. 6(b), the 3D-response surface plot highlights the potential relationships and curvature effects between the two independent variables and dependent variable (response). As such, the 3D-response surface plot can determine the maximum response, which is the curvature spot of each independent variable. Through 3D-response surface plot, the regression model equation of the chemical cleaning effect is seen to have a stationary point, and a point of maximum response confirms its existence in the region of exploration of the independent variable. From the 3D-response surface plots, the curvature spot of the EDTA concentration was roughly between 0.5 mg/L and 0.8 mg/L, the cleaning time was close to 20 min, the temperature was 20°C, and the flowrate was 400 mL/min.

3.3.4. Optimization condition for chemical cleaning of organic fouling

Contour plots could be used to determine the area for the optimization of independent variables; however, they could not obtain correct values for the optimal conditions for chemical cleaning. Consequently, in Fig. 7, the response optimizer tool of Minitab 17 (Minitab Inc., USA) was used to find the values for optimal conditions. As results, in a range of 85-87% flux recovery, the optimal conditions for chemical cleaning are as follows: an EDTA concentration of 0.68 wt%, cleaning time of 20 min, temperature of 20°C, and flowrate of 409 mL/min. Under these conditions, the flux recovery is estimated to be \sim 86.56%.

3.4. Verification of Modeling

The optimum conditions of chemical cleaning for RO membrane were derived from the model equation using DOE. To verify the predicted conditions and cleaning efficiency from the model equation, comparative experiments were undertaken based on cleaning conditions recommended from the membrane manufacturer guidelines and DOE analysis. These details are summarized in Table 8.

In the Table, there are notable differences between the membrane manufacturer guidelines and results from the DOE analysis, especially with regards to cleaning time and temperature. The optimal cleaning time and temperature obtained using the DOE analysis were only 20 min and 20°C, respectively, as opposed

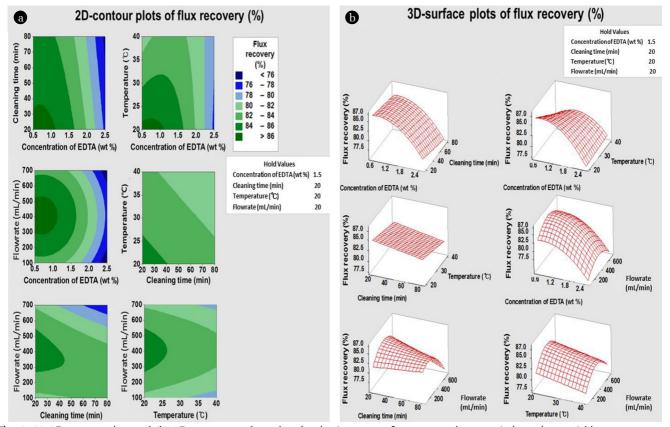


Fig. 6. (a) 2D-contour plots and (b) 3D-response surface plots for the impact on flux recovery between independent variables.

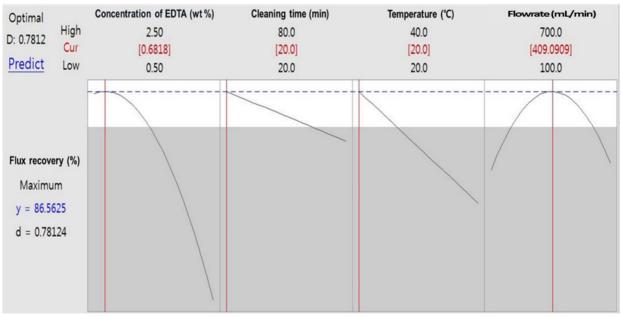


Fig. 7. Optimization of chemical cleaning conditions based on response optimizer.

Table 8. Comparison of Optimized Condition by DOE and Membrane Manufacturer Cleaning Guideline

Chemical cleaning condition	Membrane manufacturer guideline	Optimized condition by DOE
Concentration of EDTA (wt%)	1.0	0.7
Cleaning time (min)	60	20
Temperature (°C)	30	20
Flowrate (mL/min)	350	400

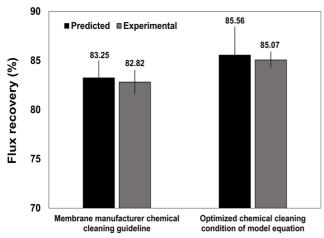


Fig. 8. Comparison of flux recovery rate in conditions predicted by DOE analysis and membrane manufacturer cleaning guidelines.

to 60 min and 30°C as suggested by the manufacturer guidelines. The reduction of cleaning time leads to an increase in the permeation time, and eventually induces a flux increase in the membrane. The low temperature could also reduce the energy consumption required for chemical cleaning.

Fig. 8 presents the predicted and experimental results for

the membrane guidelines and DOE analysis, which are 83.25% and 85.56%, respectively. Therefore, the cleaning efficiency predicted using conditions from the DOE analysis was 2.31% higher for the membrane manufacturer guidelines. In lab-scale experiments, the cleaning efficiencies were 82.82% and 85.07%, respectively, i.e., the cleaning efficiency predicted by DOE was 2.25% higher than for the membrane manufacturer's guidelines. Overall, there were minimal differences between the results predicted by DOE and the lab-scale experiments. In addition, it is posited here that the conditions predicted by DOE analysis could be closer to the optimal conditions for chemical cleaning of RO membranes.

Furthermore, the verification of the model equation by lab-scale test indicates that the model equation obtained by DOE is adequate for computationally predicting the cleaning efficiency of RO membranes with regards to organic fouling.

4. Conclusions and Summary

To optimize the cleaning conditions required to control organic fouling on RO membranes, factorial designed experiments using DOE were employed using chemical/physical factors such as cleaning agent type, chemical concentration, cleaning time, flow-rate, and cleaning temperature. The resultant regression equation

model governing the effect of operational parameters on water flux recovery and cleaning efficiency is as follows: ($R^2=83.9$ at a 90% confidence level) Based on this regression model, the optimal conditions for the chemical cleaning of RO membrane are: EDTA concentration of 0.68 wt%, cleaning time of 20 min, temperature of 20°C, and flowrate of 409 mL/min; the flux recovery is then estimated to be $\sim 86.6\%$.

The predicted and experimental results for chemical cleaning conditions obtained from membrane manufacturer guidelines and the DOE analysis showed that conditions from DOE analysis were closer to being optimum than those provided by the membrane manufacturer. Subsequent verification of the model equation via a lab-scale test confirmed that the model equation obtained by DOE is suitable for predicting the cleaning efficiency of RO membranes with regards to organic fouling. Overall, the framework suggested in this study could also provide a promising way for selecting an optimal combination of cleaning agents and chemical/physical conditions cleaning in other membrane-based filtration systems, which have inevitably suffered from the formation of organic or biofouling on their membrane surface.

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